

# Full Physics-based Radiative Transfer Model for Ocean Observation: Application to HypsIRI-type Imaging Spectrometer

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# Why do we care about RT?

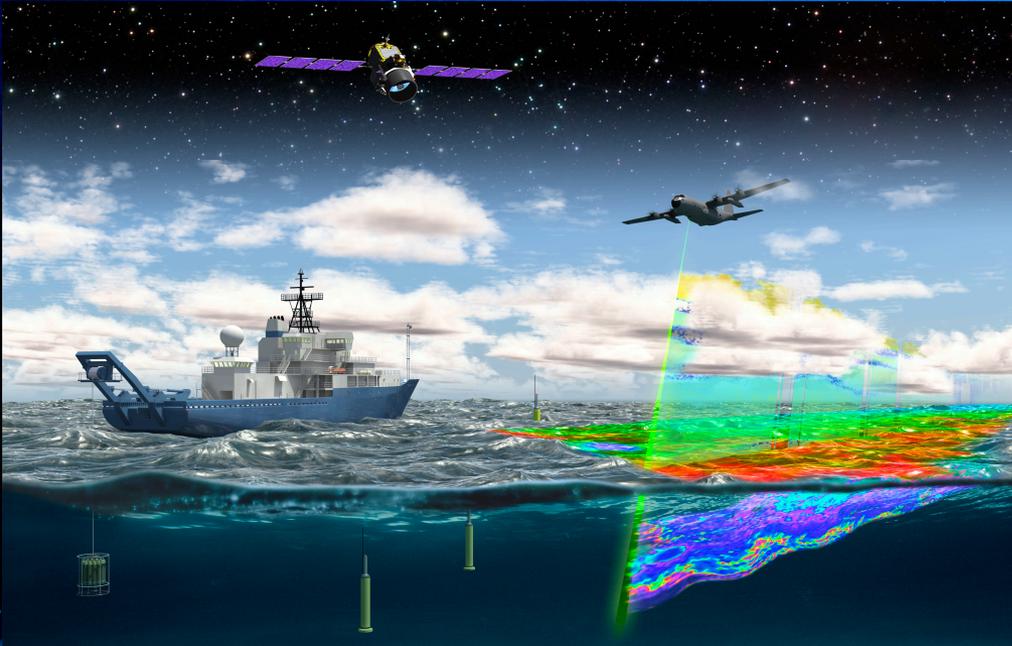
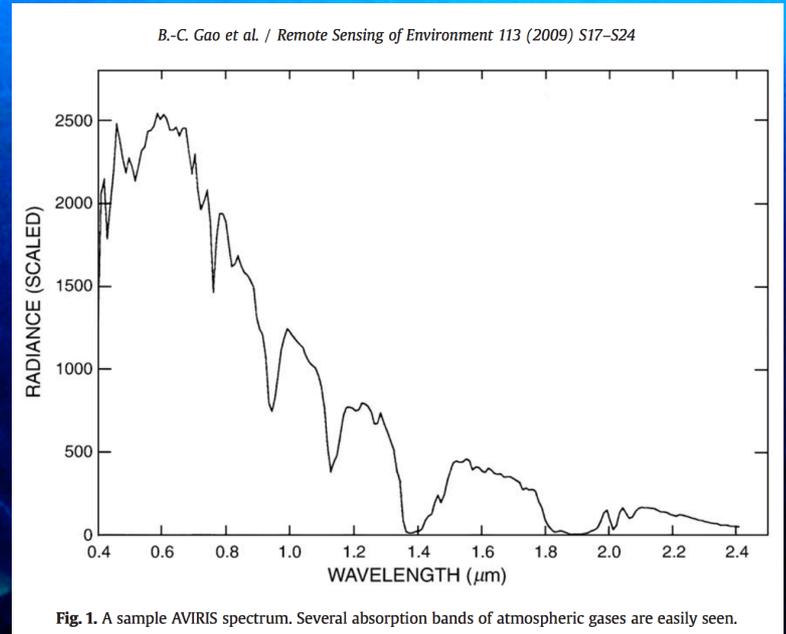


Image Credit: NASA/ Tim Marvel  
<https://naames.larc.nasa.gov>



Source: Bo-Cai Gao et al.  
RSE, 2009

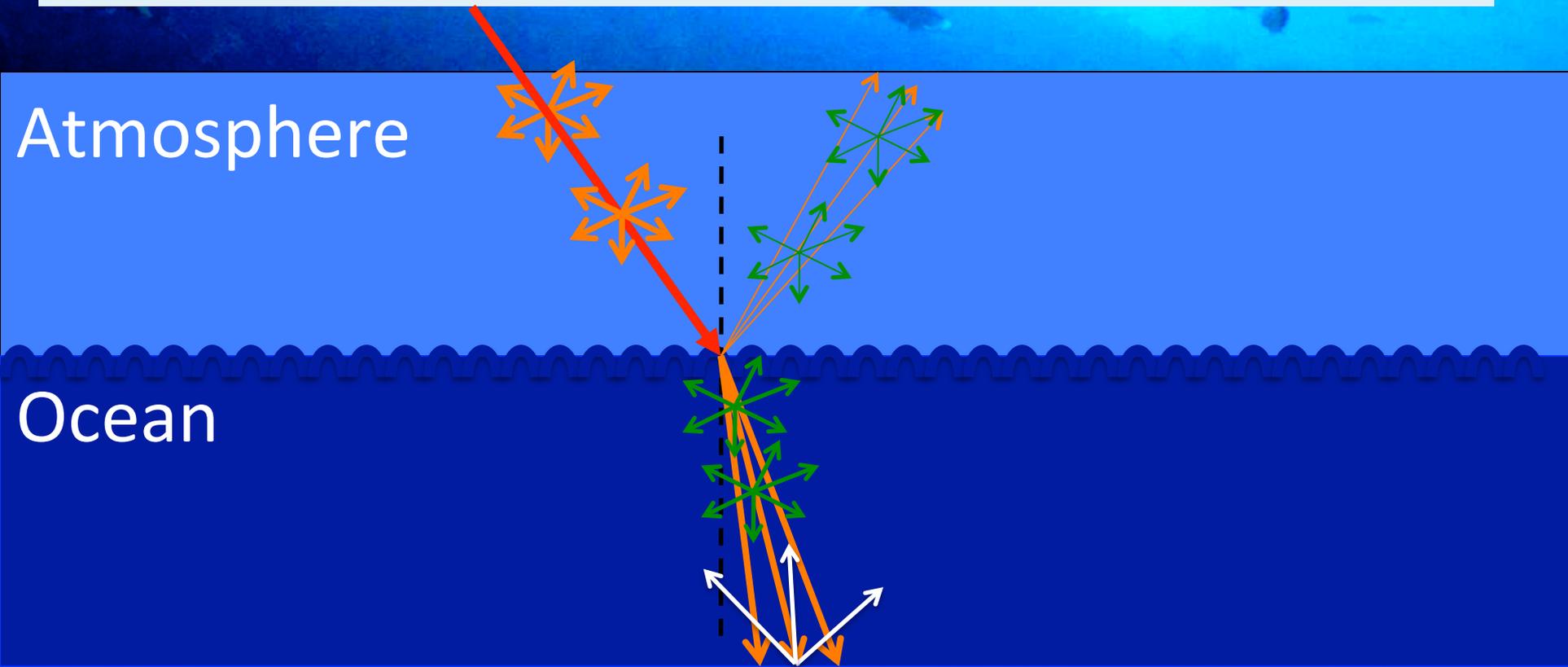
$$\mu \frac{dL(z, \mu, \phi, \lambda)}{dz} = -c(z, \lambda)L(z, \mu, \phi, \lambda) + S(z, \mu_0, \mu, \phi, \lambda) + S_i(z, \mu_0, \mu, \phi, \lambda).$$

# How can RT help for HypsIRI?

Many uses, for example:

- New atmospheric correction schemes;
- New retrieval algorithms;
- Sensitivity study for environmental parameters;
- Validating remote sensing algorithms;
- Understand optical scattering and absorption processes...

# So, How do you solve the RT equation?



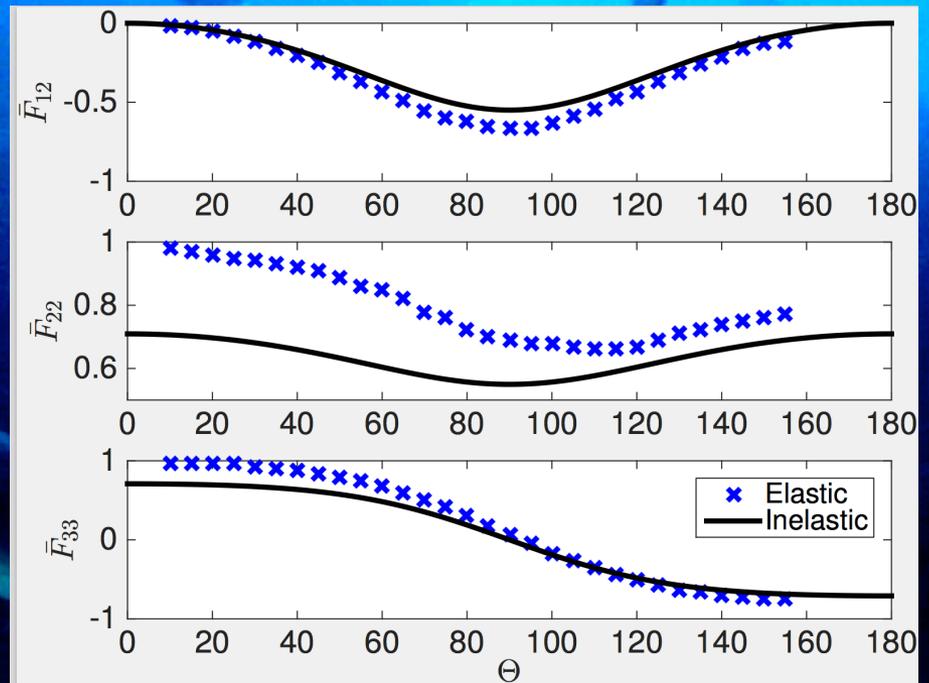
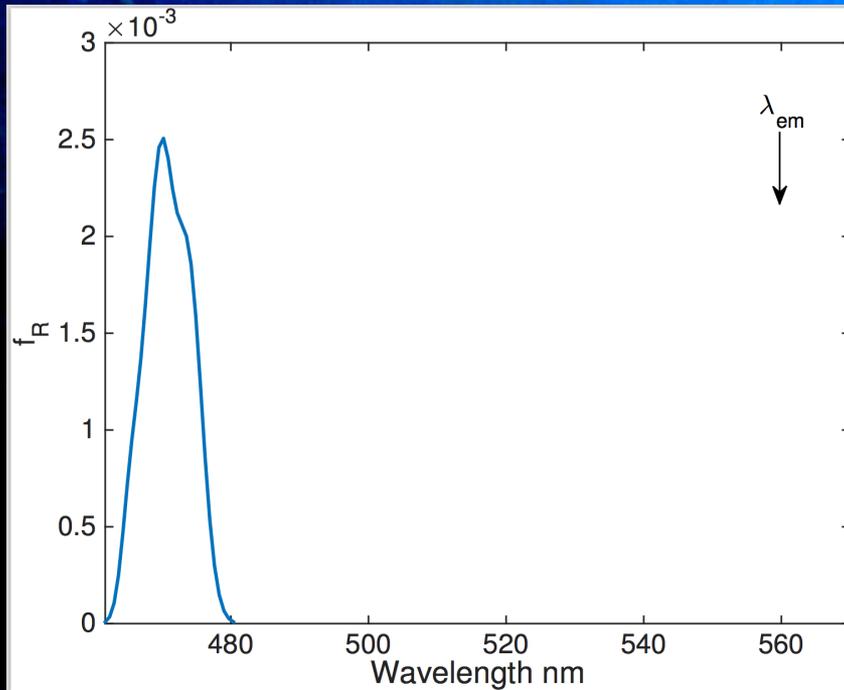
Zhai, P, et al., "A vector radiative transfer model for coupled atmosphere and ocean systems with a rough interface," *J Quant Spectrosc Radiat Transf*, **111**, 1025-1040 (2010).

Zhai, P, et al., "A vector radiative transfer model for coupled atmosphere and ocean systems based on successive order of scattering method," *Opt. Express* **17**, 2057-2079 (2009).

# What is special about your model?

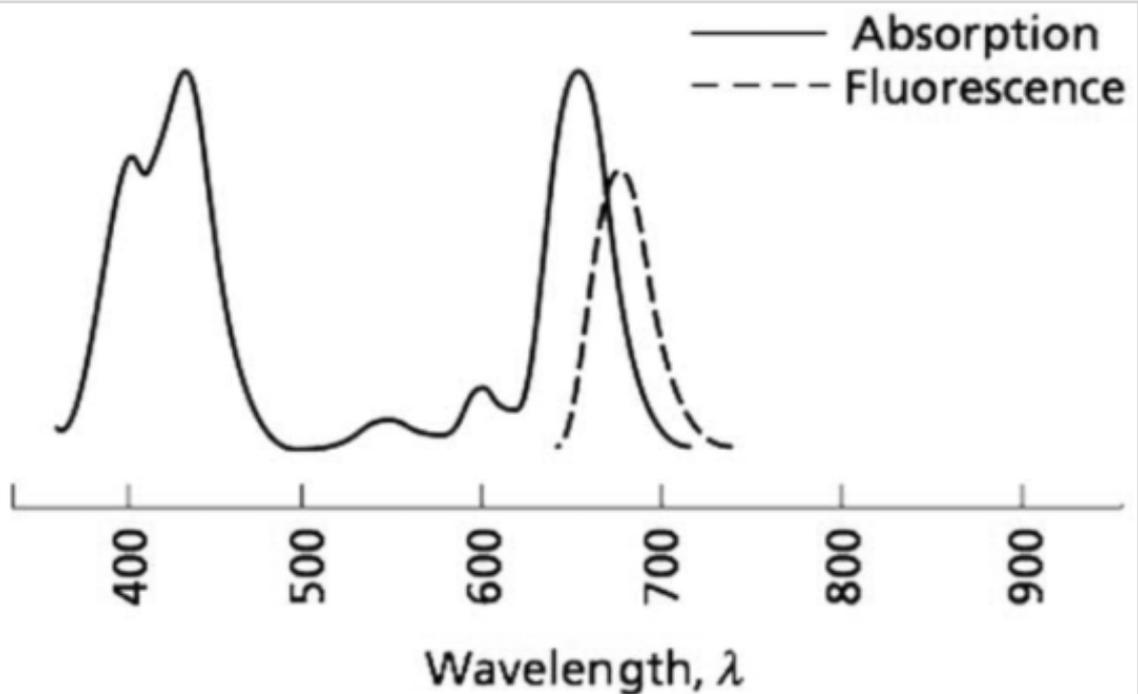
- Full Stokes vector solution, i.e., polarization is preserved.
- Atmosphere-ocean coupling,
- Flexible detector location;
- Major gas absorption is included in the visible and near infrared, and SWIR.
- Inelastic scattering in ocean water;
  - ✓ Raman scattering
  - ✓ Chlorophyll Fluorescence;
  - ✓ Fluorescent Dissolved Organic Matter;

# Raman scattering characteristics



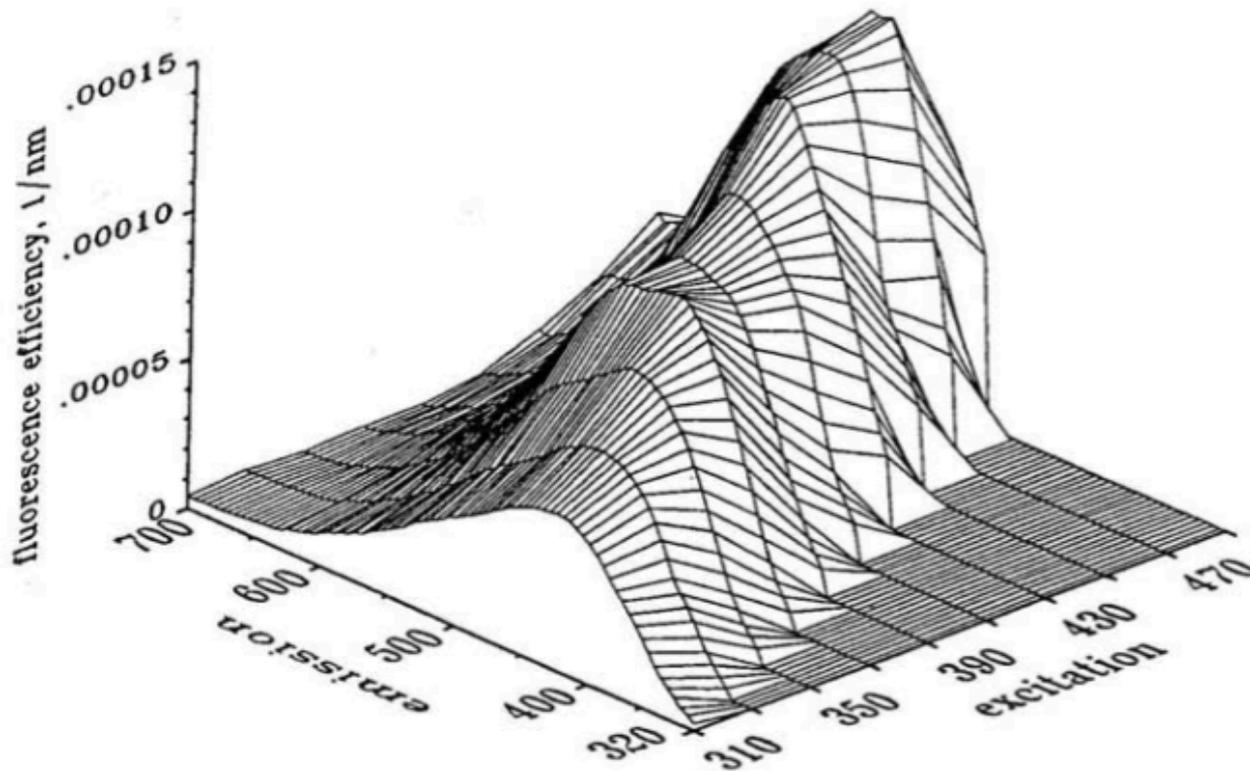
- ❖ The mean frequency shift is around  $3000 \text{ cm}^{-1}$  between excitation and emission wavelengths.
- ❖ Inelastic scattering depolarizes the scattered light more than elastic scattering.

# Chlorophyll fluorescence



- Taiz, L.; Zeiger, E. Plant Physiology, 5<sup>th</sup> ed.; Sinauer Association; Sunderland, MA, USA, 2002; pp. 111-192.
- Fernandez-Jaramillo, A.A.; et al. Instrumentation in Developing Chlorophyll Fluorescence Biosensing: A Review. *Sensors* **2012**, *12*, 11853-11869.

# FDOM Response Function



Example of the spectral fluorescence quantum efficiency function for yellow matter (Hawes, 1992)

# Inelastic Scattering in VRT

$$\mu \frac{d\mathbf{L}(z, \mu, \phi, \lambda)}{dz} = -c(z, \lambda)\mathbf{L}(z, \mu, \phi, \lambda) + \mathbf{S}(z, \mu_0, \mu, \phi, \lambda) + \mathbf{S}_i(z, \mu_0, \mu, \phi, \lambda),$$

$$\mathbf{S}_i = \mathbf{S}_R + \mathbf{S}_Y + \mathbf{S}_C$$



$$\int_0^\lambda \int_0^{2\pi} \int_{-1}^1 b_R(z, \lambda, \lambda_e) \mathbf{P}_R(z, \mu, \phi, \mu', \phi', \lambda, \lambda_e) \cdot \mathbf{L}(z, \mu', \phi', \lambda_e) d\mu' d\phi' d\lambda_e$$

$$\mathbf{S}_F(z, \mu, \phi, \lambda) = \int_0^\infty \int_0^{2\pi} \int_{-1}^1 b_F(z, \lambda, \lambda_e) \mathbf{P}_F(z, \mu, \phi, \mu', \phi', \lambda, \lambda_e) \cdot \mathbf{L}(z, \mu', \phi', \lambda_e) d\mu' d\phi' d\lambda_e,$$

F can be either CDOM or Chlorophyll A.

# Solving an Inelastic RT

$$\mathbf{S}_F(z, \mu, \phi, \lambda) = \int_0^\infty \int_0^{2\pi} \int_{-1}^1 b_F(z, \lambda, \lambda_e) \mathbf{P}_F(z, \mu, \phi, \mu', \phi', \lambda, \lambda_e) \cdot \mathbf{L}(z, \mu', \phi', \lambda_e) d\mu' d\phi' d\lambda_e,$$

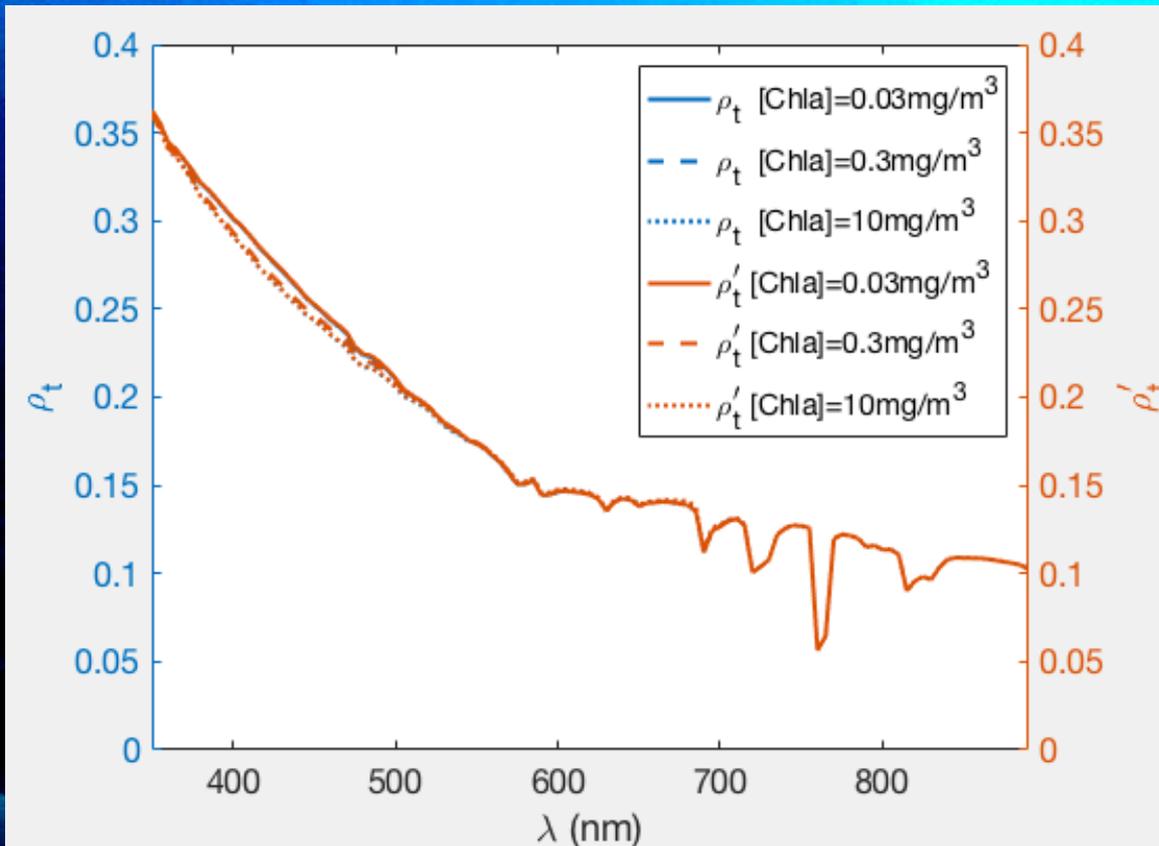
- ✓ Initialize atmosphere and ocean properties with spectral dependence well defined.
- ✓ For each emission wavelength, find excitation wavelengths that have non-negligible contributions to the emission wavelength.
- ✓ Run elastic vector radiative transfer model over all excitation wavelengths.
- ✓ Evaluate the inelastic source terms with the excitation radiation field found in the last step.
- ✓ Run the elastic vector radiative transfer field at the emission wavelength, with inelastic source term included.

# How about gas absorption?

Yes, we have them built in:

- ✓ HITRAN 2012 for H<sub>2</sub>O, O<sub>2</sub>, CH<sub>4</sub>, CO<sub>2</sub>  
Hyperspectral, 0.005 nm resolution in visible, near infrared, and SWIR. (400000 lines for H<sub>2</sub>O, 303800 lines for CO<sub>2</sub>, 243200 lines for CH<sub>4</sub>, 162000 lines for O<sub>2</sub>)
- ✓ NO<sub>2</sub>: K. Bogumil, J. Orphal, and J. P. Burrows, University of Bremen - Institute of Environmental Physics
- ✓ Ozone: Daumont et al., J.Atmos.Chem.15, 145(1992)

# A TOA Reflectance Example



Atmosphere:

$\tau_{a,550 \text{ nm}}=0.15$

Aerosol model: Ahmad et al, 2010

Fine-mode volume fraction: 20%

Relative humidity: 80%

Gas absorption: (H<sub>2</sub>O, CO<sub>2</sub>, CH<sub>4</sub>, O<sub>2</sub>, O<sub>3</sub>, NO<sub>2</sub>)

Ocean water model:

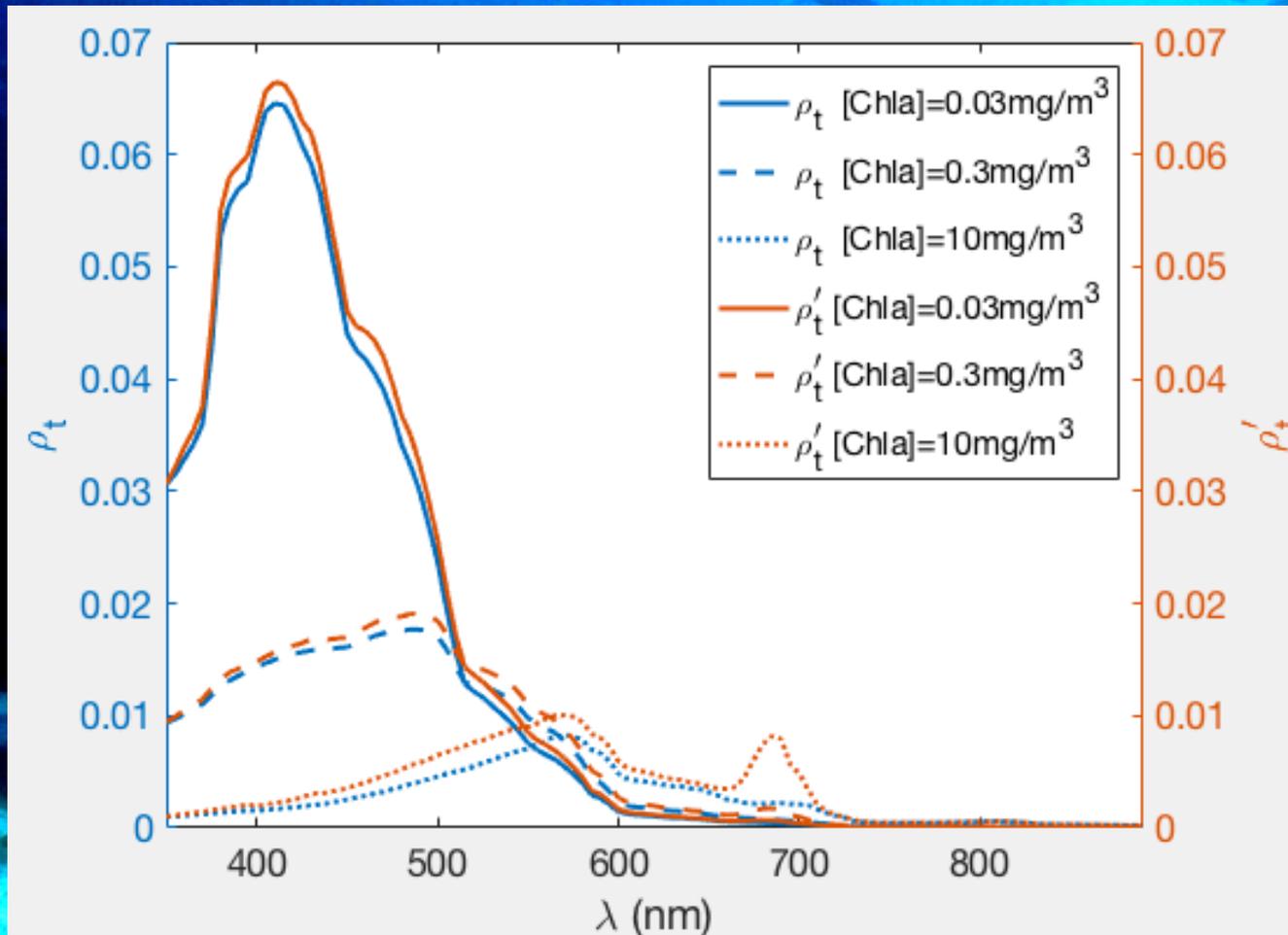
pure sea water,

phytoplankton covariant particle,

CDOM

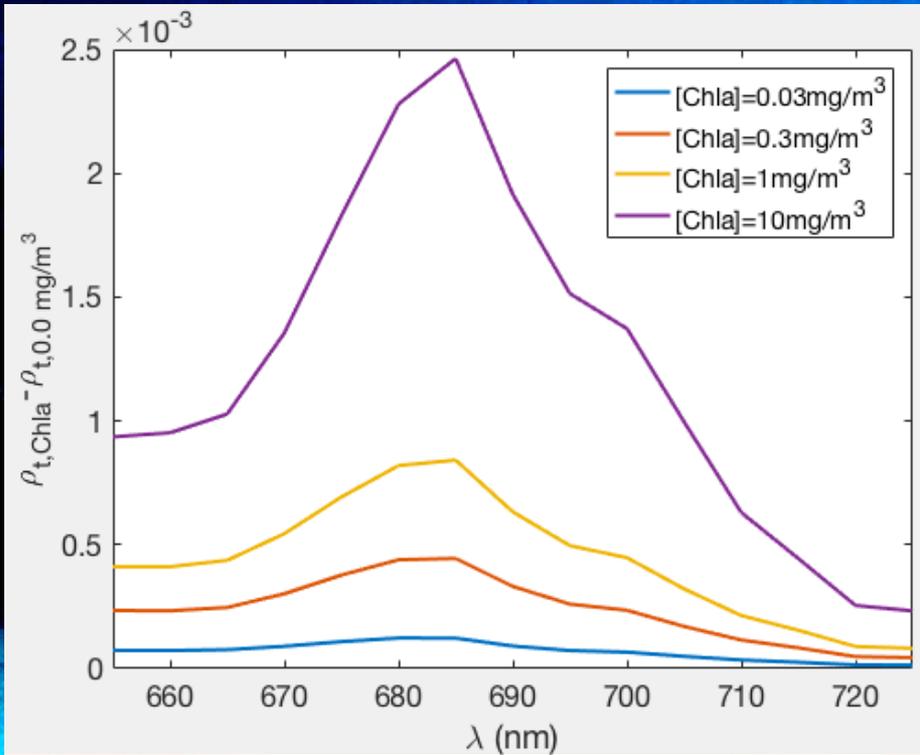
Solar zenith angle: 45 degree.

# TOO reflectance

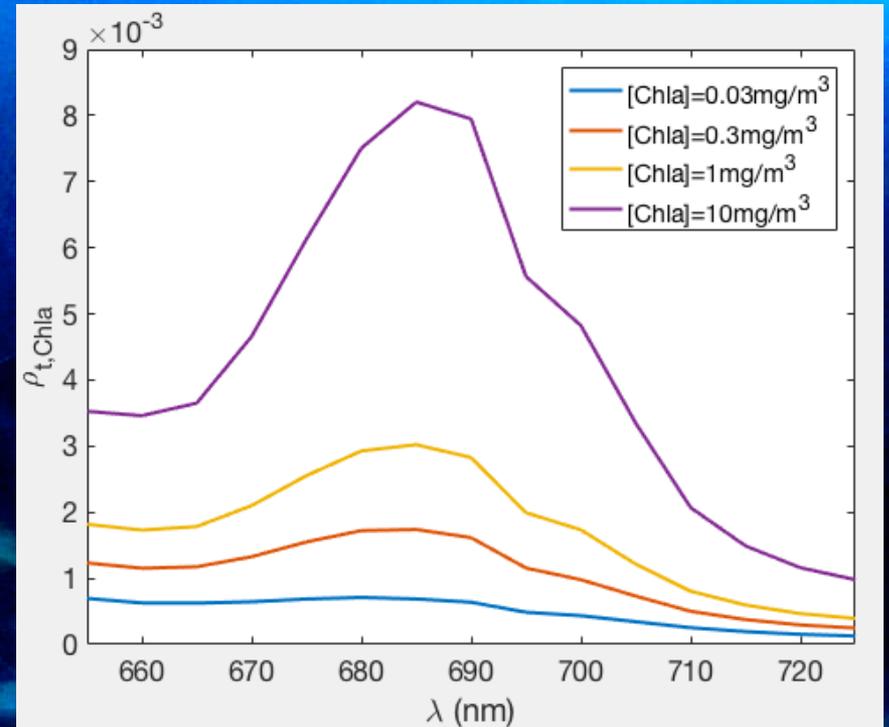


Atmosphere and ocean: the same as previous slides

# Fluorescence



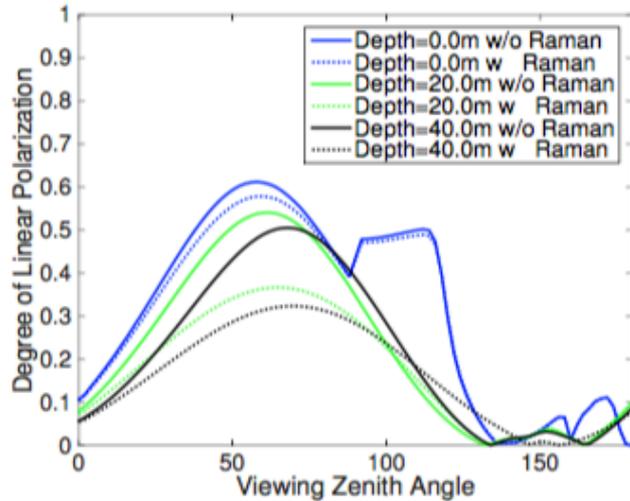
TOA



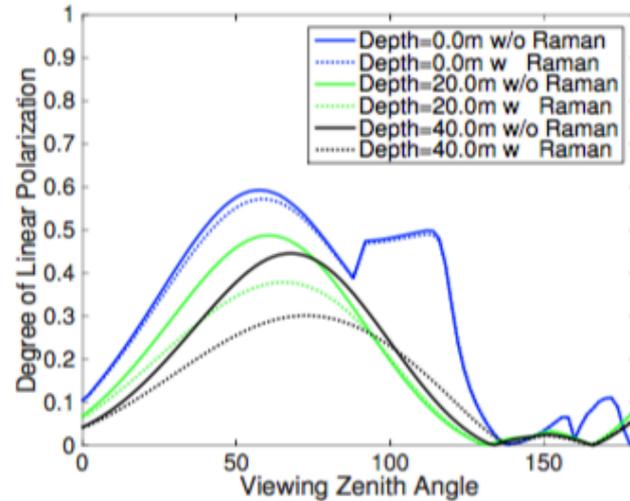
TOO

Quantum yield: 0.02

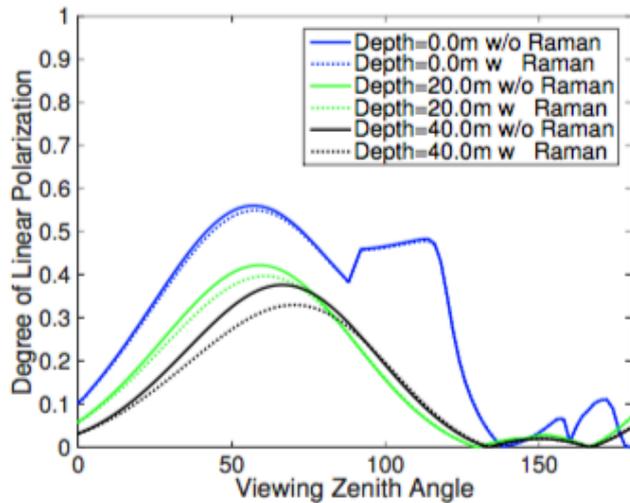
# Degree of Linear Polarization



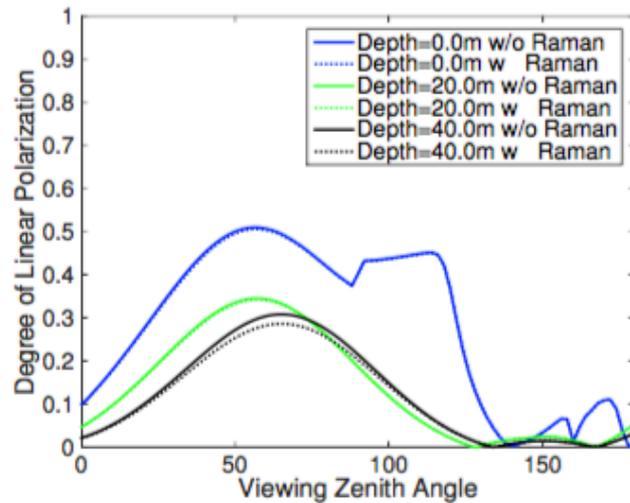
(a)  $[Chla] = 0.03 \text{ mg m}^{-3}$



(b)  $[Chla] = 0.1 \text{ mg m}^{-3}$



(c)  $[Chla] = 0.3 \text{ mg m}^{-3}$

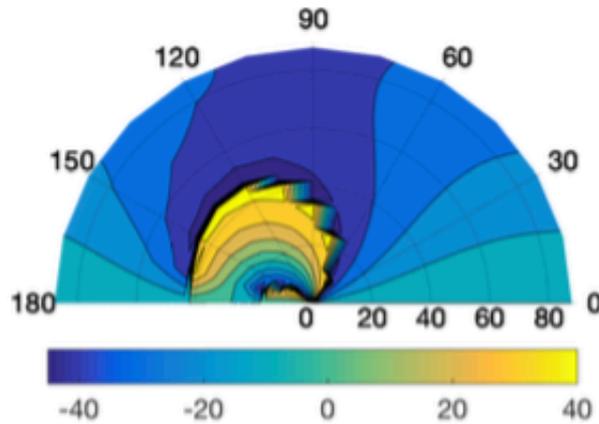


(d)  $[Chla] = 1.0 \text{ mg m}^{-3}$

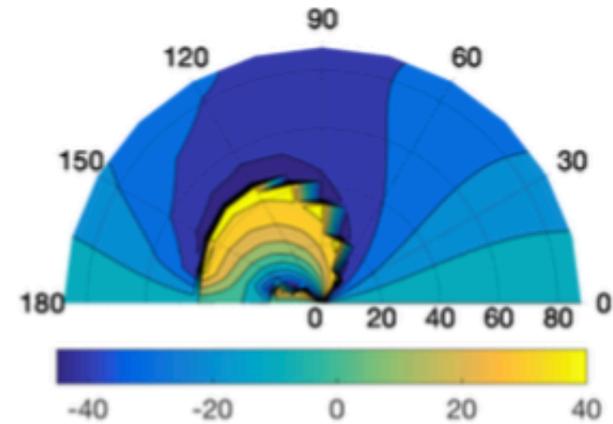
$\lambda = 560 \text{ nm}$ ;  
Solar zenith angle:  $45^\circ$ ;  
Wind speed:  $5 \text{ m/s}$ .



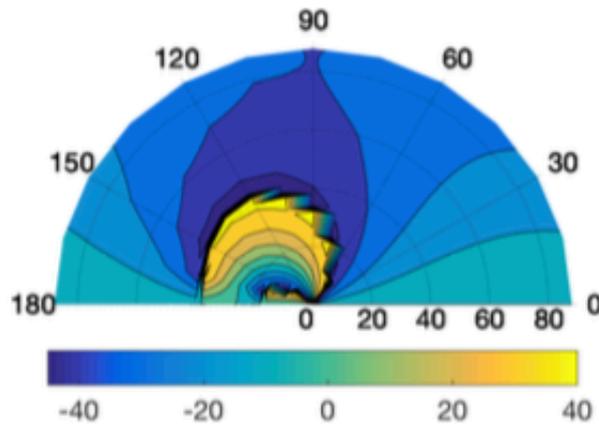
# Orientation of Polarization Ellipse



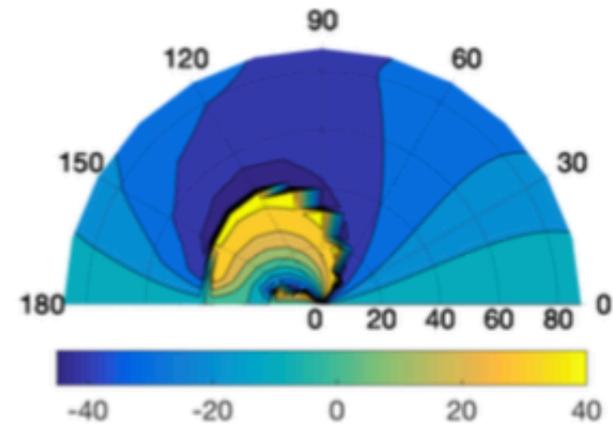
(a) Elastic scattering for a detector just below ocean surface



(b) Total scattering for a detector just below ocean surface



(c) Elastic scattering for a detector 30 meters below the ocean surface



(d) Total scattering for a detector 30 meters below the ocean surface

# Take Home Messages

- ✧ The vector radiative transfer (VRT) equation is solved by the SOS method which rigorously accounts for all physical interactions between light and medium:
  - ❖ atmosphere-ocean coupling,
  - ❖ full Stokes parameter solution,
  - ❖ all major inelastic scattering mechanism.
  - ❖ Major gas absorption in the visible and near infrared, and SWIR.
- ✧ The VRT model can be applied many studies:
  - sensitivity study
    - ❖ simulation of synthetic data to test retrieval algorithms,
    - ❖ sensitivity study of the impacts of different components,
    - ❖ development of new algorithms for ocean color remote sensing.

# Acknowledgment

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