



# Benthic Retrieval – IOP and AOP Models

Ronald Fick, University of Florida.

Jet Propulsion Laboratory, California Institute of Technology

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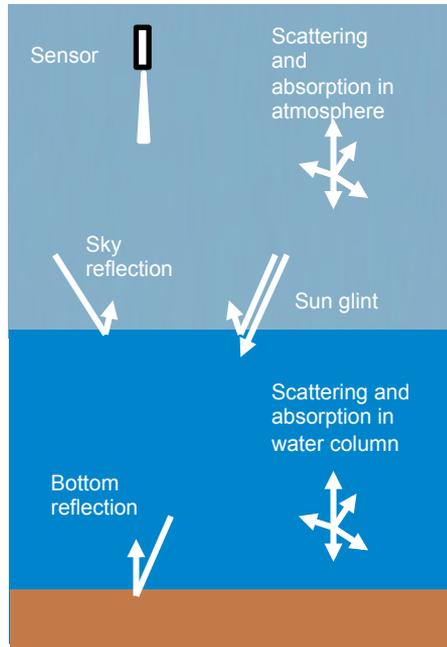
**CISE**

*Department of Computer and Information Science and Engineering*

# Outline

- Background
- Model Description
  - Apparent Optical Properties (AOP)
  - Inherent Optical Properties (IOP)
    - Nadir Assumption
    - View Angle Dependent
- Retrieval Algorithm
- Synthetic Experiments
- Real Data Experiments

# Retrieval Background



## Retrieved quantities

### Step 1

Aerosol optical depth  $\tau$   
Aerosol type  $\zeta$   
Water leaving reflectance  $R_{rs}$

### Step 2

Glint-corrected  $R_{rs}$

### Step 3

Water column properties  
Depth  $H$   
Benthic reflectance  $R_b$

# Subsurface Reflectance Model

- Consider the following from Lee et al, 1998<sup>[1]</sup>:

$$R_{rs} = R_{rs}^{deep} [1 - e^{-2KH}] + R_b e^{-2KH}$$

Reflectance  
just under  
water surface

Reflectance  
from  
optically  
deep water

Effective  
attenuation

Benthic  
reflectance

Depth

$$R_b = \sum e^{-2Kz} R_{b,z} \text{coeff } R_{b,z}$$

- [1] Lee, Zhongping, et al. "Hyperspectral remote sensing for shallow waters. I. A semianalytical model." *Applied Optics* 37.27 (1998): 6329-6338.

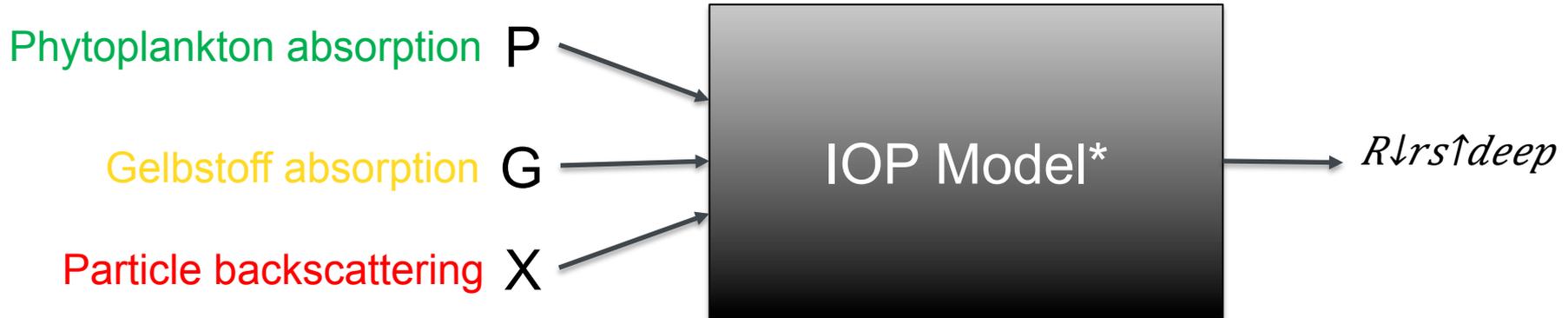
# Apparent Optical Properties (AOP) Model

$$R_{rs}^{\uparrow deep} = b_{\downarrow b} / 2K_{\downarrow d}$$

$$\begin{aligned} b_{\downarrow b} &= \sum_j^{\uparrow} b_{\downarrow b}^{\uparrow} \text{coeff } b_{\downarrow b}^{\downarrow j} \uparrow \text{library } K_{\downarrow d} \\ &= \sum_k^{\uparrow} K_{\downarrow d}^{\downarrow} \uparrow \text{coeff } K_{\downarrow d}^{\downarrow k} \uparrow \text{library} \end{aligned}$$

- [2] Maritorena, Stephane, Andre Morel, and Bernard Gentili. "Diffuse reflectance of oceanic shallow waters: Influence of water depth and bottom albedo." *Limnology and oceanography* 39.7 (1994): 1689-1703.

# Inherent Optical Properties (IOP) Model – Nadir Viewed



\*Full formulation provided in appendix

# IOP Model – View Angle Dependent

$$R_{rs} \approx R_{\downarrow rs \uparrow deep} [1 - e^{-[1/\cos\theta_{\downarrow w} + D_{\downarrow u \uparrow C} / \cos\theta]KH}] + [3]$$
$$R_{\downarrow b} e^{-[1/\cos\theta_{\downarrow w} + D_{\downarrow u \uparrow B} / \cos\theta]KH}$$

Solar zenith  
angle

View angle  
from nadir

- [3] Lee, Zhongping, et al. "Hyperspectral remote sensing for shallow waters: 2. Deriving bottom depths and water properties by optimization." *Applied Optics* 38.18 (1999): 3831-3843.

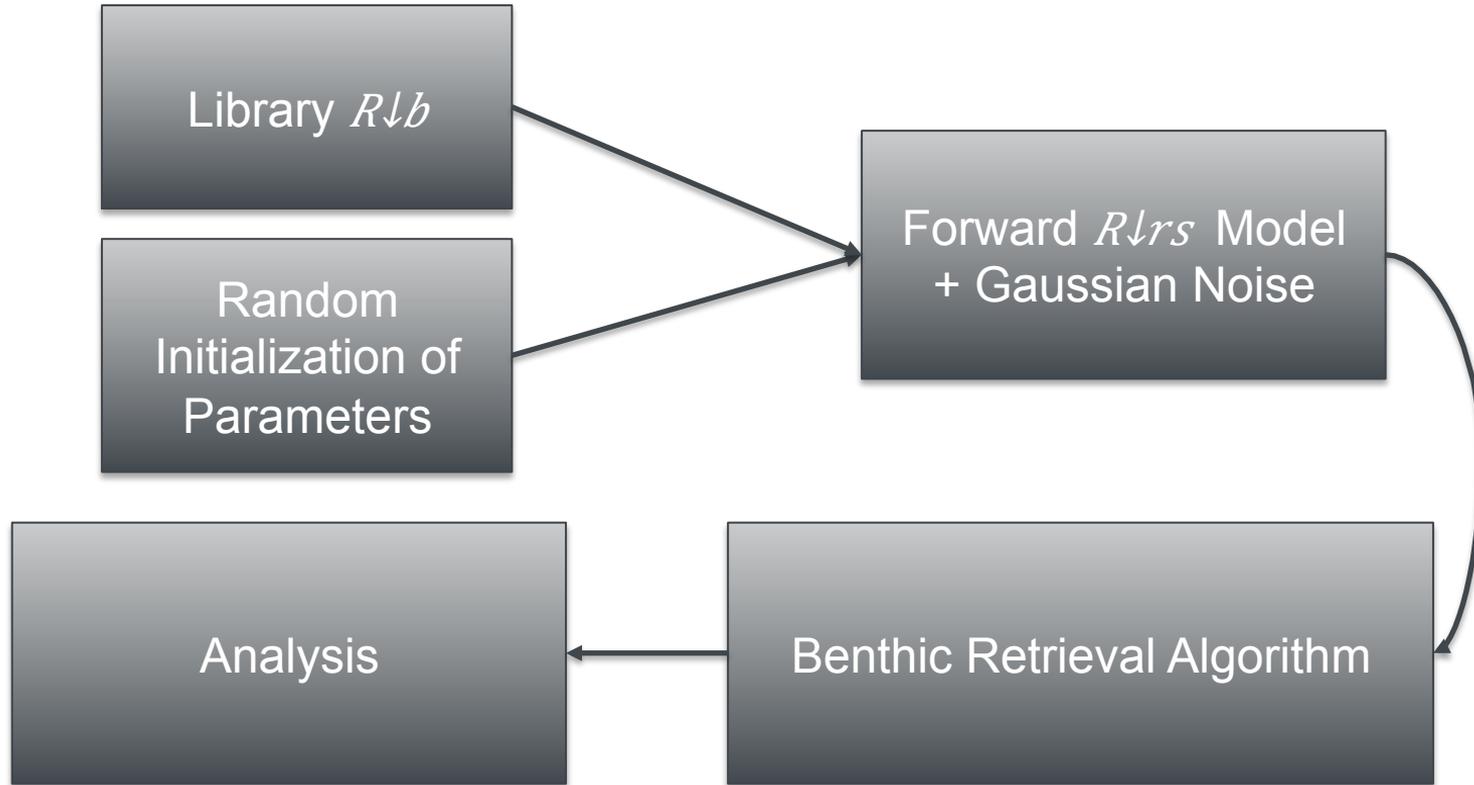
# Retrieval Algorithm

Forward Model	Free Parameters
IOP	P G X H $R \downarrow b \downarrow \uparrow \text{coeff}$
AOP	H $b \downarrow b \downarrow \uparrow \text{coeff}$ $K \downarrow d \downarrow \uparrow \text{coeff}$ $R \downarrow b \downarrow \uparrow \text{coeff}$

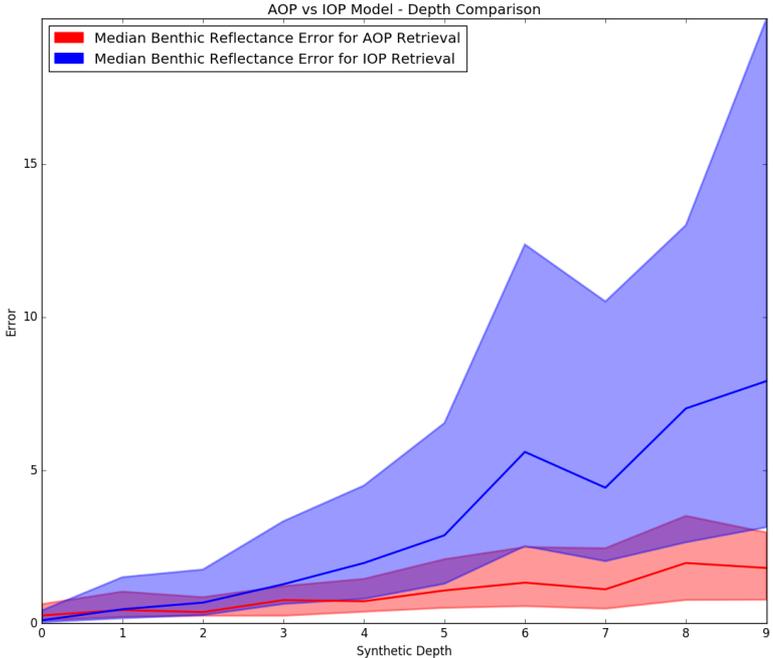
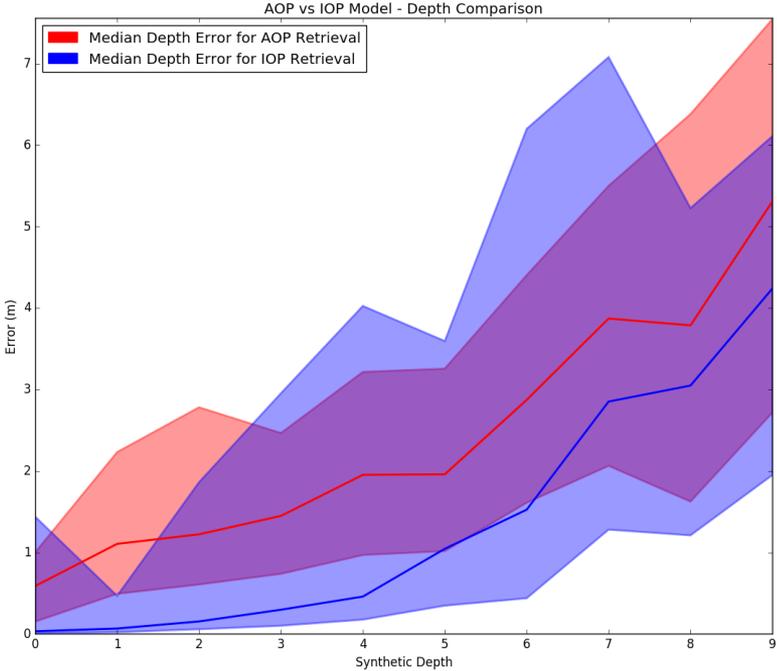
Free parameters are fit by optimizing the following objective function via the Levenberg-Marquardt Algorithm:

$$Error = (R \downarrow rs - R \downarrow rs \uparrow^*)^2 + Priors$$

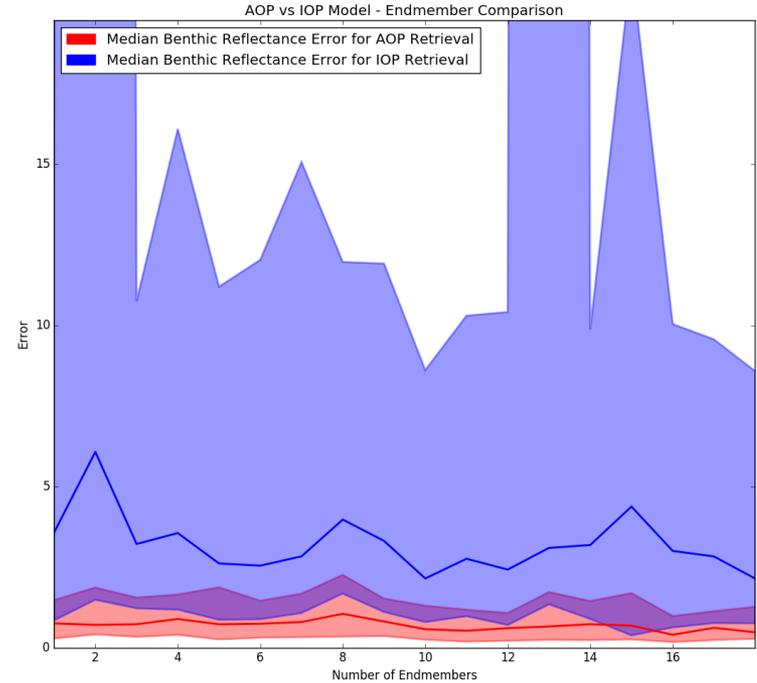
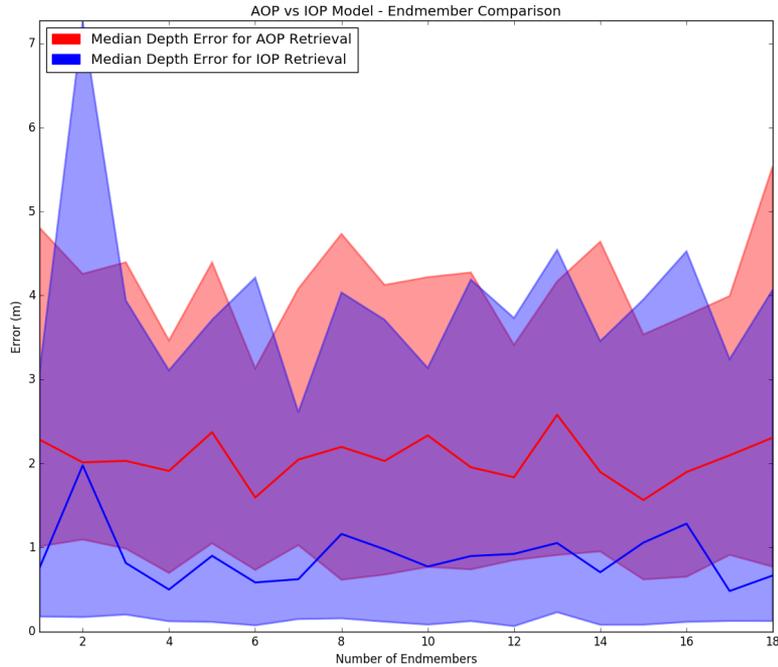
# Synthetic Experiments



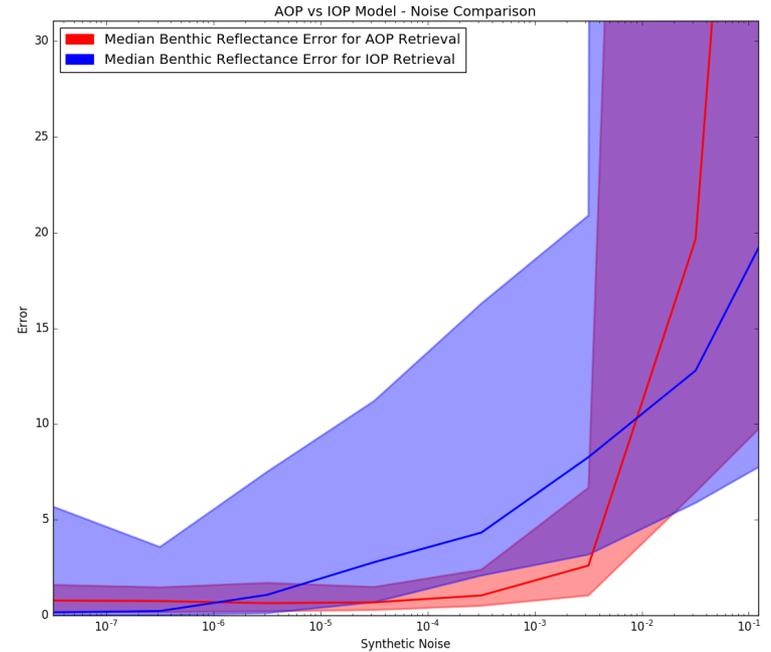
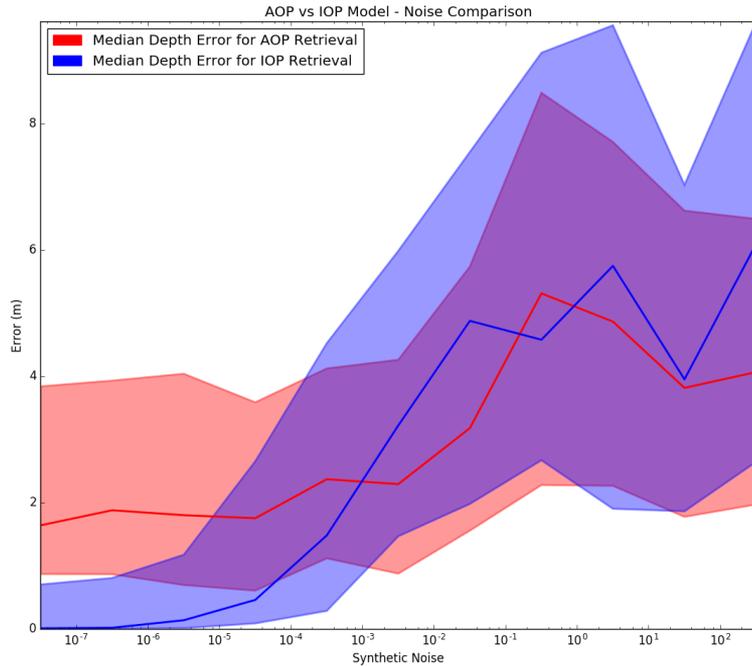
# Synthetic Comparison – Depth



# Synthetic Comparison – Endmembers

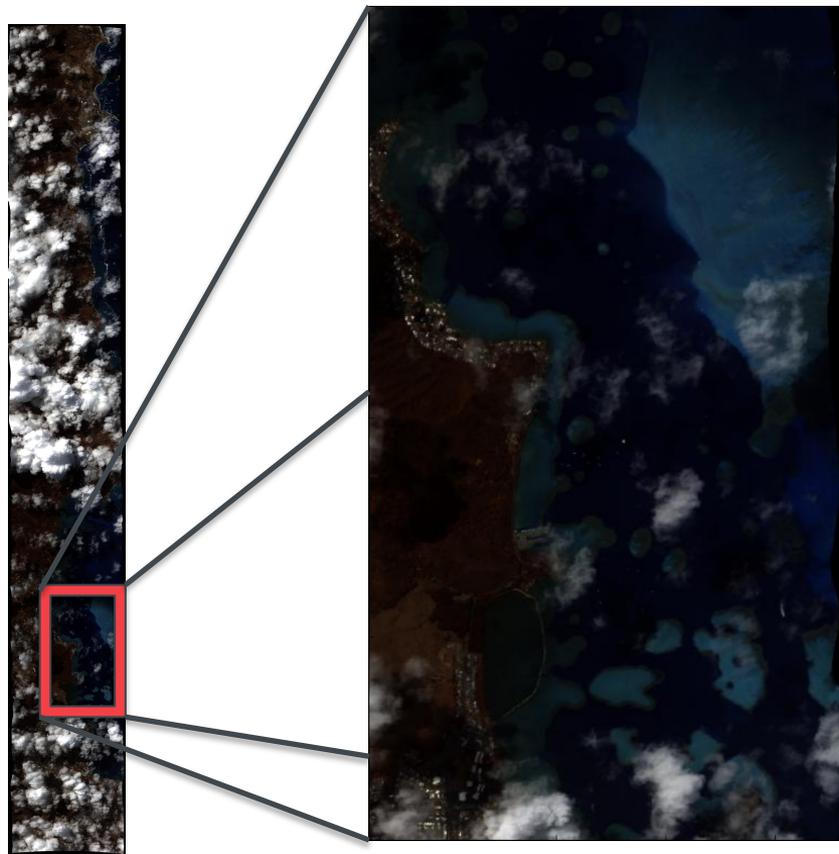


# Synthetic Comparison – Noise



# CORAL ORT Data – Kaneohe Bay, HI

PRISM Flight:  
prm20160620t  
Run ID:  
013600



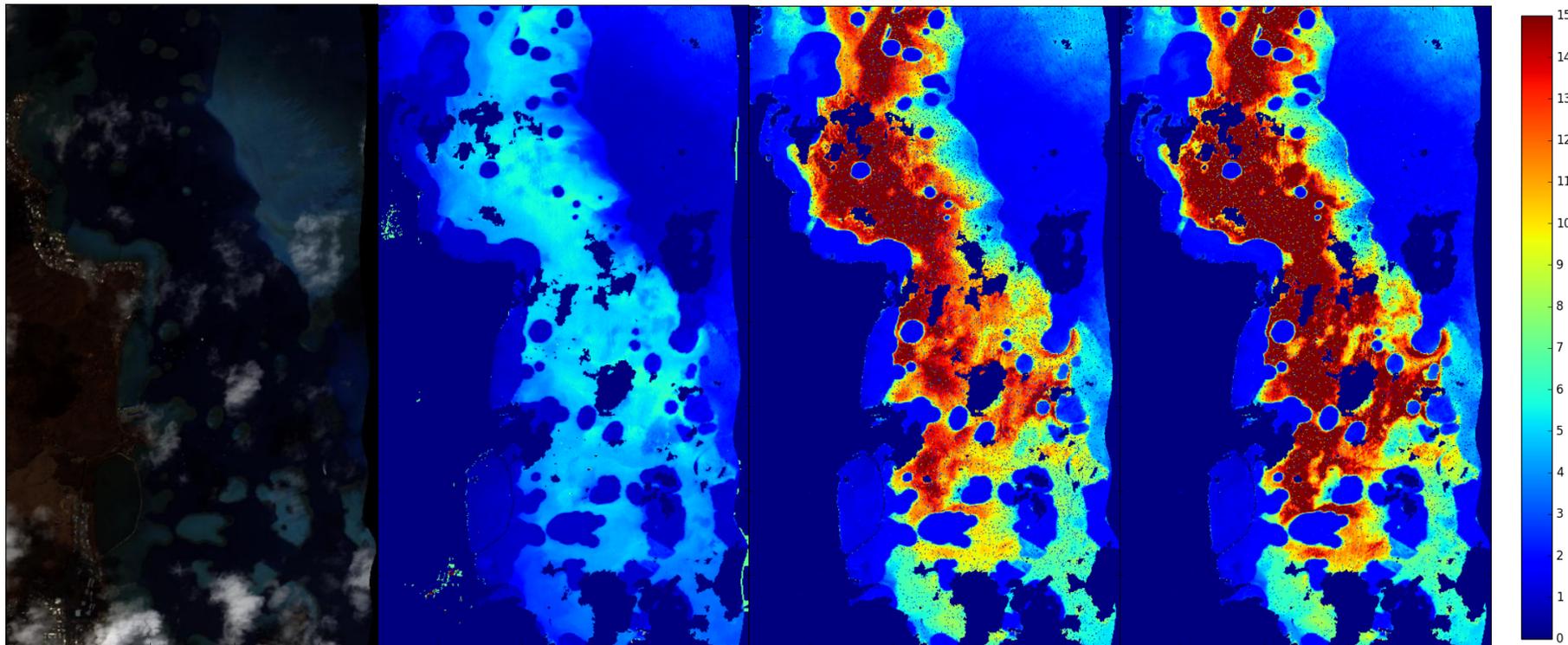
# June 20<sup>th</sup> Flight – Depth Estimation

RGB

AOP

IOP - Nadir

IOP - View



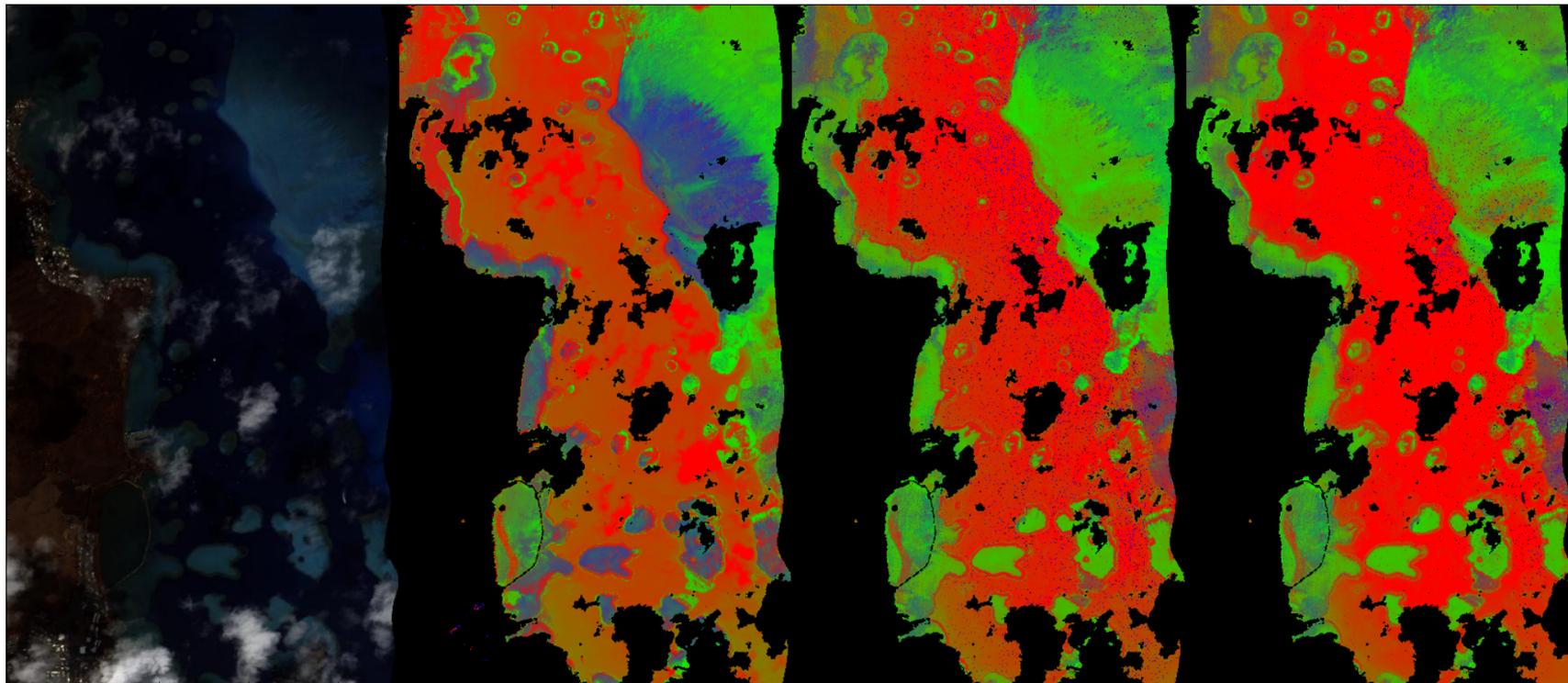
# June 20<sup>th</sup> Flight – $R_{\downarrow b}$ Estimation

RGB

AOP

IOP - Nadir

IOP - View



Legend

Coral

Algae

Sand



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[jpl.nasa.gov](https://jpl.nasa.gov)

# Appendix: IOP Model Formulation

$$R_{rs\uparrow deep} = g_{\downarrow w} b_{\downarrow bw} / a + b_{\downarrow b} + g_{\downarrow p} b_{\downarrow bp} / a + b_{\downarrow b}$$

$$g_{\downarrow p} = G_{\downarrow 0} [1 - G_{\downarrow 1} e^{-G_{\downarrow 2} b_{\downarrow bp} / a + b_{\downarrow b}}]$$

$$a = a_{\downarrow w} + a_{\downarrow \phi} + a_{\downarrow g}$$

$$b_{\downarrow b} = b_{\downarrow bw} + b_{\downarrow bp}$$

$$a_{\downarrow \phi} = [a_{\downarrow 0} + a_{\downarrow 1} \ln(P)] P$$

$$a_{\downarrow g} = G e^{-S(\lambda - 440)}$$

$$b_{\downarrow bp} = X(400/\lambda) \uparrow Y$$

$$Y = 3.44 [1 - 3.17 e^{-2.01 \chi}]$$

$$\chi = R_{rs\uparrow in}(440) / R_{rs\uparrow in}(490)$$

$$R_{rs\uparrow in}(\lambda) = R_{rs\uparrow raw}(\lambda) -$$

$$R_{rs\uparrow raw}(750)$$

Assuming Nadir:

$$g_{\downarrow w} = 0.113, G_{\downarrow 0} = 0.197$$

$$G_{\downarrow 1} = 0.636, G_{\downarrow 2} = 2.552$$