Observing canopy demography of a tropical moist forest with spectral unmixing of hyperspectral images: a model of HyspIRI science application

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Motivating Question: What controls photosynthesis seasonality in tropical evergreen forests?



Phenology matters for tropical photosynthesis!

Two aspects of phenology:



Age dependent hyperspectra



This pattern is consistent with Roberts et al. (1998), suggesting a feasible way to link leaf level hyperspectra to leaf aging process

However, canopies are more complex than individual leaf spectra taken under optimal conditions.

This study, we aim to propose a new conceptual approach for demography modeling at canopy level, based on canopy level spectral reflectance

Study Site

Tapajos National Forest, the KM67 eddy covariance tower site (near Santarem, Brazil)



Eddy Covariance

Data available from 01/2002 to 01/2006, and 08/2008-12/2012

Hyperspectral Vegetation Imaging System (HVIS)

Equipped by Surface Optics Corporation (SOC) 710 camera

Materials: Hyperspectral Vegetation Imaging System

Key parameters:

* Spectral resolution: ~4nm, 128
bands from 385nm to 1050 nm
* Field of view: 36×27 degree
* Temporal resolution: image/10
minutes, from 6 am to 6 pm
* Data available: Jul-Dec, 2012
* Image dimension: 520*696 pixels

Other parameters:

* The camera system was programed to adjust their view angles from nadir view to very oblique view (75°) every 10 minutes, and repeated every hour

A. Hyperspectral Camera C. Composited Image



Materials: 2012 Fall Phenology Campaign

* 6 focal trees+14 understory trees were selected; * **canopy demography survey** were conducted for 1-m branches in the early, middle, and late of dry season



Step1 : Acquire canopy hyperspectral reflectance from hyperspectral camera images



Tree #9, fully illuminated leaves, average reflectance for 10*10 pixels; the images selected were under sunny sky condition; all images selected for this analysis between 11 am-12pm local time

Step2 : Spectral Unmixing Method











Step3 : Identify pure old leaf reflectance and mature leaf reflectance



*All the channels from 450 nm-900 nm were used for spectral unmixing

Step4 : prediction of the seasonality of canopy demography



Step4 : prediction of the seasonality of canopy demography

Four dominant tree species in KM67 forest site



*All the channels from 450 nm-900 nm were used for spectral unmixing

* The spectra unmixing result is dominated by the variation in NIR reflectance

* This result is very similar like that proposed by Doughty and Goulden (2008), who tried to link NIR absorbance to model leaf age





Key Parameters:

(1) Launch date: 11/21/2000

(2) Spectral resolution: 224 bands from 400 nm to 2500 nm

(3) Temporal resolution: 16-day frequencies

(4) Spatial resolution: 30 m pixels, and 8-10 km wide imagery

Earth Observing-1 Hyperion



HyspIRI Mission Study

EO-1 Hyperion in TNF-KM67 site in 2001, 2002

- (1) 25*25 pixels (~1 km*1km)
- (2) The data were radiometricallycalibrated, atmospherically corrected, and converted from radiance to apparent surface reflectance
- (3) Solar Zenith Angle: 39° in early dry season (July) to 34° in late dry season (December)



650

Spectral Wavelength

750

850

550

450



Hyperspectra comparison between nearsurface remote sensing and satellite

*View Angle: ~70 degree (Camera); ~nadir (EO-1 Hyperion)

*Spectra Contribution:

- Limited number of tree crowns, and illuminated leaves (Camera);
- (2) More species diversity, sunny and shade leaves, branches, soils(EO-1 Hyperion)



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Applying spectra unmixing approach to landscape, or even Amazonian basin

(1) More species in one site

(2) More near-surface remote sensing studies (tower based or airborne based)

(3) More field campaigns

Manaus K34 site (INPA and University of Arizona)

Demography CampaignHyperspectral Camera



Summary

1. Canopy demography seasonality is important for plant phenology and tropical forest metabolism

2. Spectral unmxing approach was attempted for the first time here for extracting canopy demographic composition based on canopy spectral reflectance acquired by a tower mounted imaging system.

3. Time-series of canopy spectra (via tower mounted camera system) showed similar trend as that from Hyperion. This suggests the former can be a model system for the study of Hyperion and HyspIRI, while the later can expand the spatial scale of the former measurements.

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Photo Credit: Neill Prohaska

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Future Work

1. Improve Methodology

(1) Give more weight on the spectral reflectance at visible light region

(2) Develop a 2or 3 band "canopy



Future Work

2. Hypothesis Test

The change of spectra in dry season is driven by the change of (H1) canopy demography and/or (H2) leaf amount, and/or other process (e.g. epiphyll Toomy et al., 2009) Old Recently Mature



Future Work

2. Hypothesis Test

It will be great to incorporate some processes based model (e.g. Prospect+SAIL) to quantitatively assess these two hypotheses



Materials: 2012 Fall Phenology Campaign

* 6 focal trees+14 understory trees were selected; * samples were collected in the early, middle, and late of dry season (Mid-Aug to Early-Dec)



Leaf Spectra & Canopy Spectra



Methodology: Reflectance Calculation

 $R\downarrow leaf, \lambda\downarrow i = R\downarrow reference, \lambda\downarrow i *$ $Radiation\downarrow leaf, \lambda\downarrow i /$ $Radiation\downarrow reference, \lambda\downarrow i * D$ $N\downarrow leaf, \lambda\downarrow i / DN\downarrow reference, \lambda\downarrow i (2)$

Premise from equation (1) to equation (2) is DN linearly scales with radiation for each wavelength (was tested in the lab!!)

 $R \downarrow leaf, \lambda \downarrow i af reflectance at wavelength \lambda \downarrow i$ $R \downarrow reference, \lambda \downarrow i ereflectance at wavelength \lambda \downarrow i$





Calibrated by an known surface reflectance Spectralon Under clear sky condition

Diurnal pattern for characterizing BRDF of reference plates

Methodology (Example of *Rireference*) *ili*

 $\begin{aligned} R \downarrow leaf, \lambda \downarrow i = R \downarrow reference, \lambda \downarrow i * \\ Radiation \downarrow leaf, \lambda \downarrow i / \\ Redigtion \downarrow reference, \lambda \downarrow i * D \\ N \downarrow leaf, \lambda \downarrow i / DN \downarrow reference, \lambda \downarrow i (2) \end{aligned}$



Characterizing diurnal BRDF of Reference Plates at UA campus, clear sky condition



Spectra-Age Model

Example species: Aldina (species name), Fabaceae (family name)

Temporal changes in spectral reflectance (Roberts et al., 1998)



Spectra-Age Model

Example species: *Aldina* (species name), *Fabaceae* (family name) Temporal changes in spectral reflectance (Roberts et al., 1998)



Spectra-Age Model

Temporal changes in spectral reflectance (Roberts et al., 1998)



Spectra-Age Model by Doughty and Goulden (2008)

■*NIR*↓*absorbance* =0.048+0.0027@*(months↓since)leaf flush -3)

Deciduous Forests & Evergreen Tropical Forests



Materials: Leaf Demography Survey

• Collected one meter branches multiple times throughout the dry season.

• Branches from sun and shade microenvironments within the trees.

• Counted young, mature and old leaves on sampled branches.

