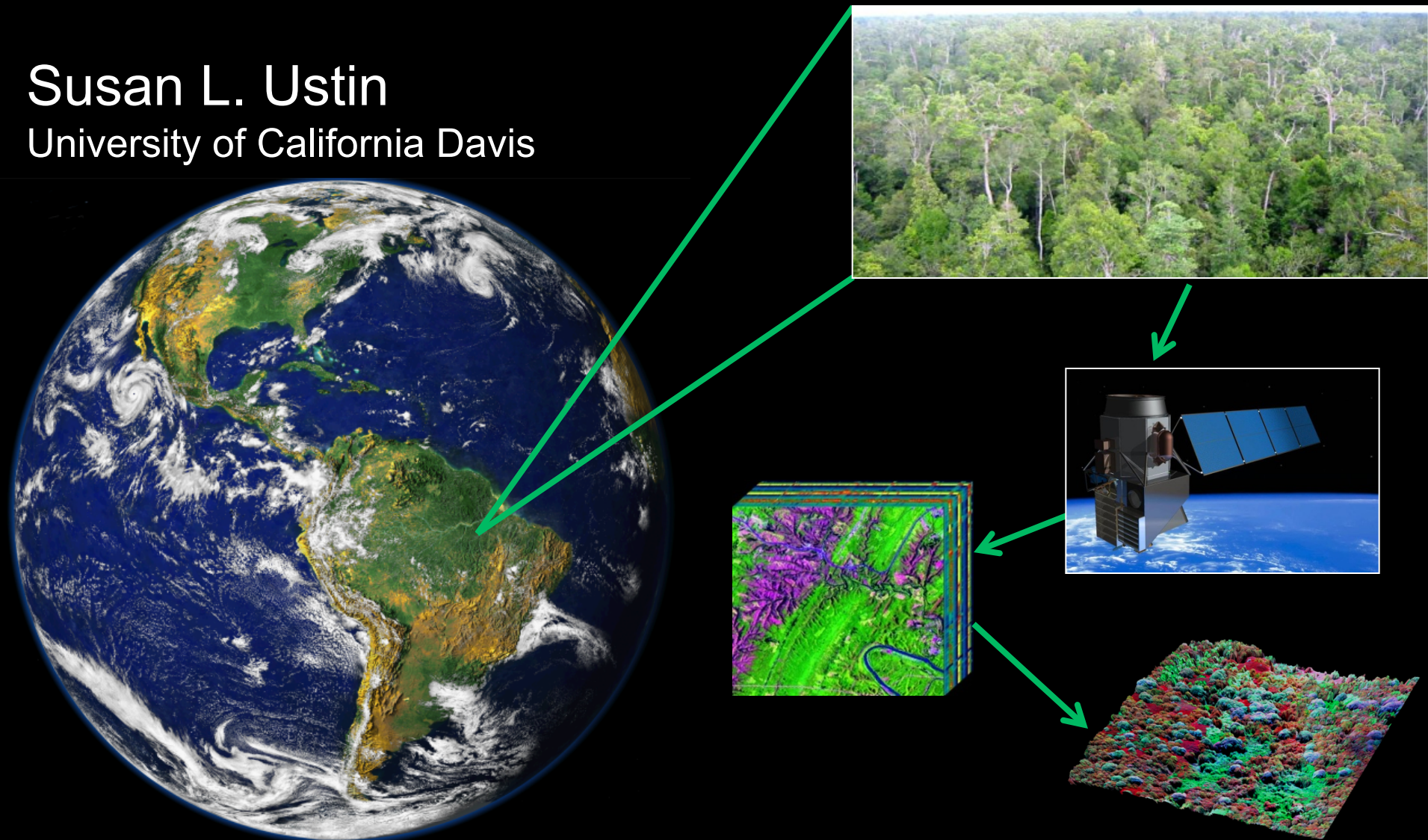


A Framework for Global Mapping of Ecosystem Properties and Composition Using Biophysical/Biochemical Leaf Traits

Susan L. Ustin

University of California Davis

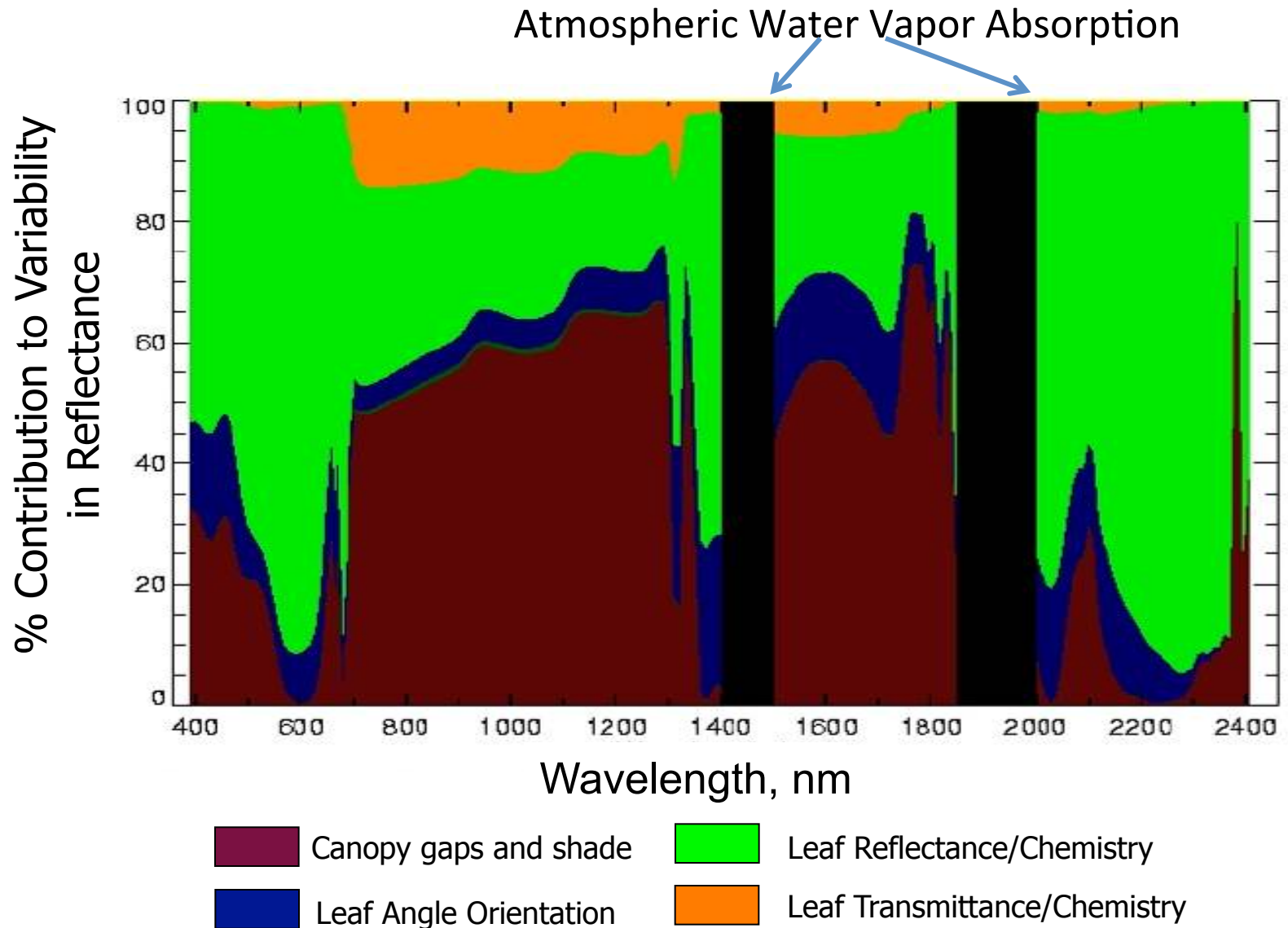


Today's Ecosystem Models Are Over-reliant on Simple Vegetation Inputs

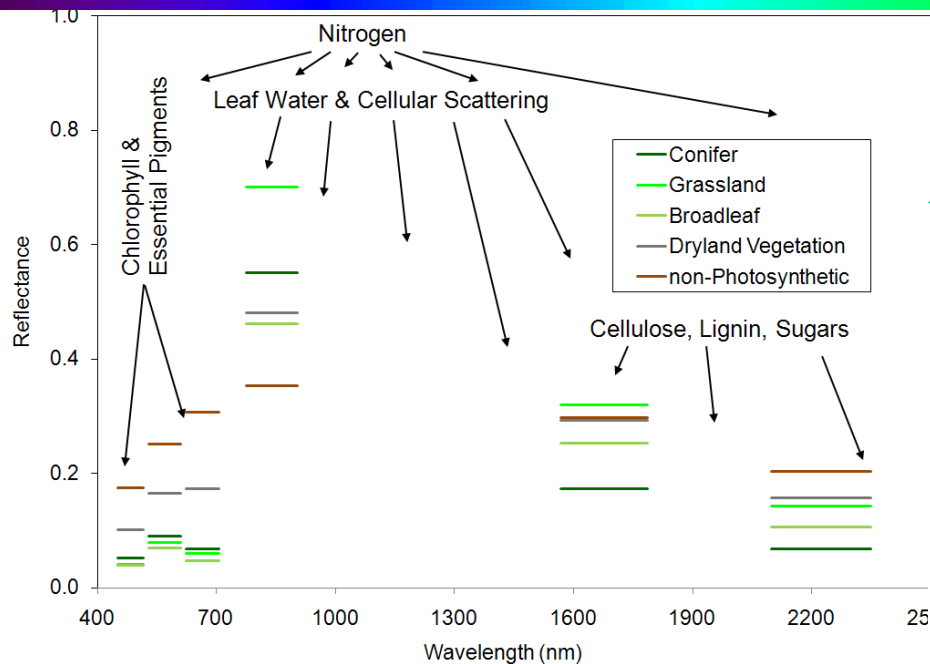
Major Ecosystem Model Inputs	CASA-3D	SiB3	ED-2
Vegetation Type	General Land Cover	General Land Cover	Prescribed
Plant Functional Types	Prescribed	Prescribed	Prescribed
Fractional Carbon Cover	---	---	---
Vegetation Greenness	NDVI	NDVI	NDVI
Fractional PAR Absorption	NDVI	NDVI	NDVI
Leaf Area Index (0-4 LAI units)	NDVI	NDVI	NDVI
Leaf Area Index (4-10 LAI units)	---	---	---
Canopy Gap Frequency and Size	Prescribed	---	Prescribed
Light-use Efficiency (leaf water, N)	Prescribed	Prescribed	Prescribed
Live vs. Senescent Biomass	---	---	Prescribed
Woody vs. Leaf Biomass	Prescribed	Prescribed	Prescribed
Canopy Allometry	Prescribed	Prescribed	Prescribed
Disturbance Type and Intensity	Landsat-SMA	---	Prescribed

Vegetation Inputs from Top 3 land models to GCMs

Contribution of Leaf and Canopy Properties to Pixel Reflectance

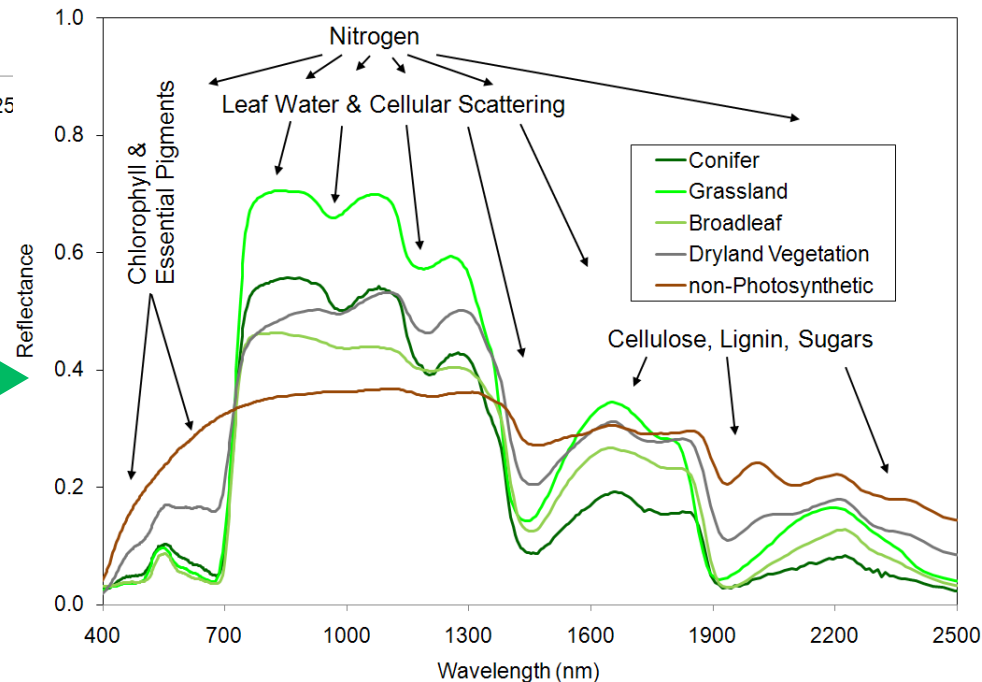


Measuring the Terrestrial Biosphere: An Underdetermined Problem



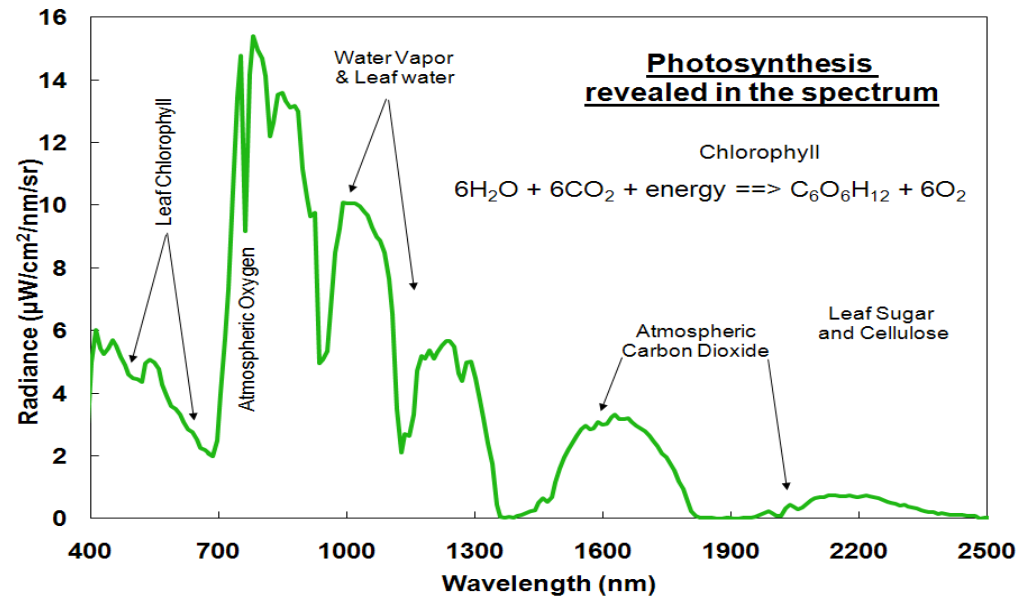
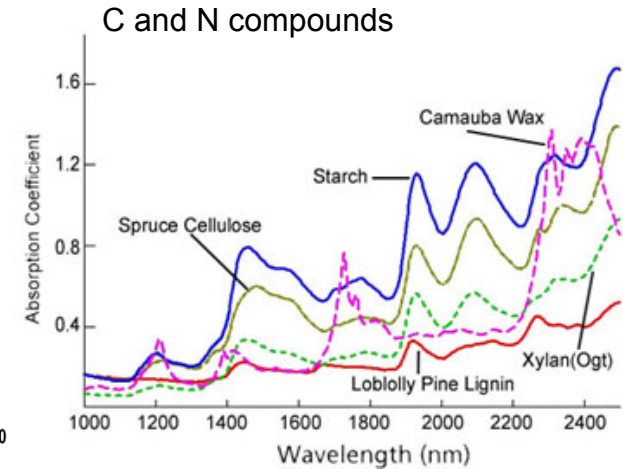
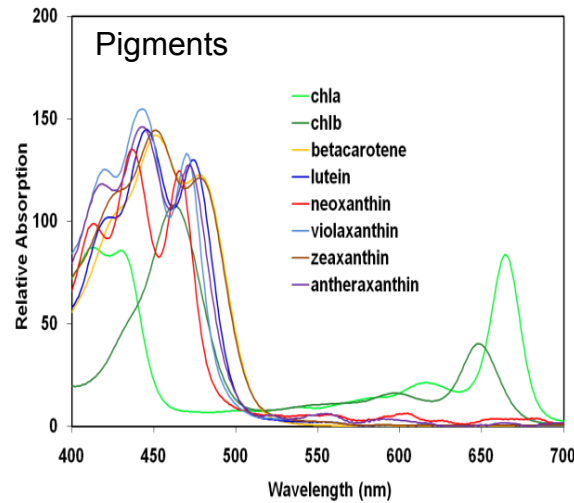
Multi-spectral imaging provides insufficient detail about plant functioning beyond “presence measures”

Imaging Spectroscopy is required to measure biophysical variables that directly relate to functioning of the terrestrial biosphere.

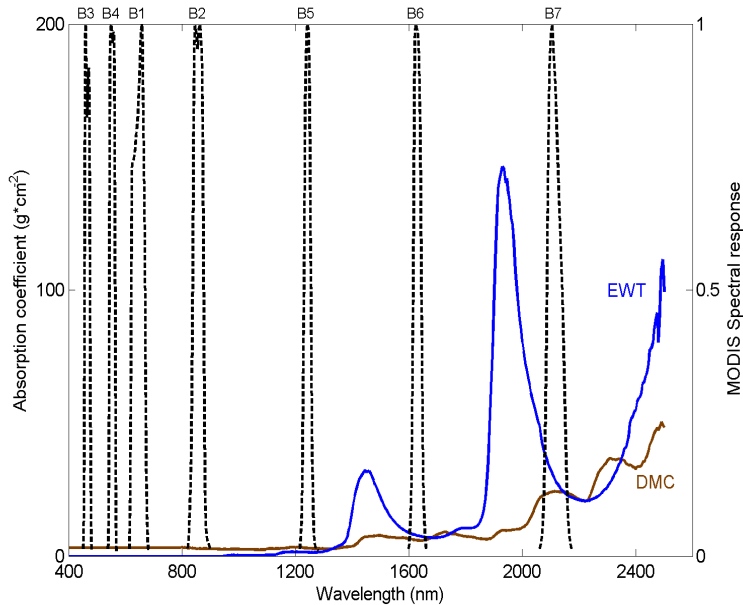


The Spectroscopic Signal of Terrestrial Vegetation

380 to 2500 nm



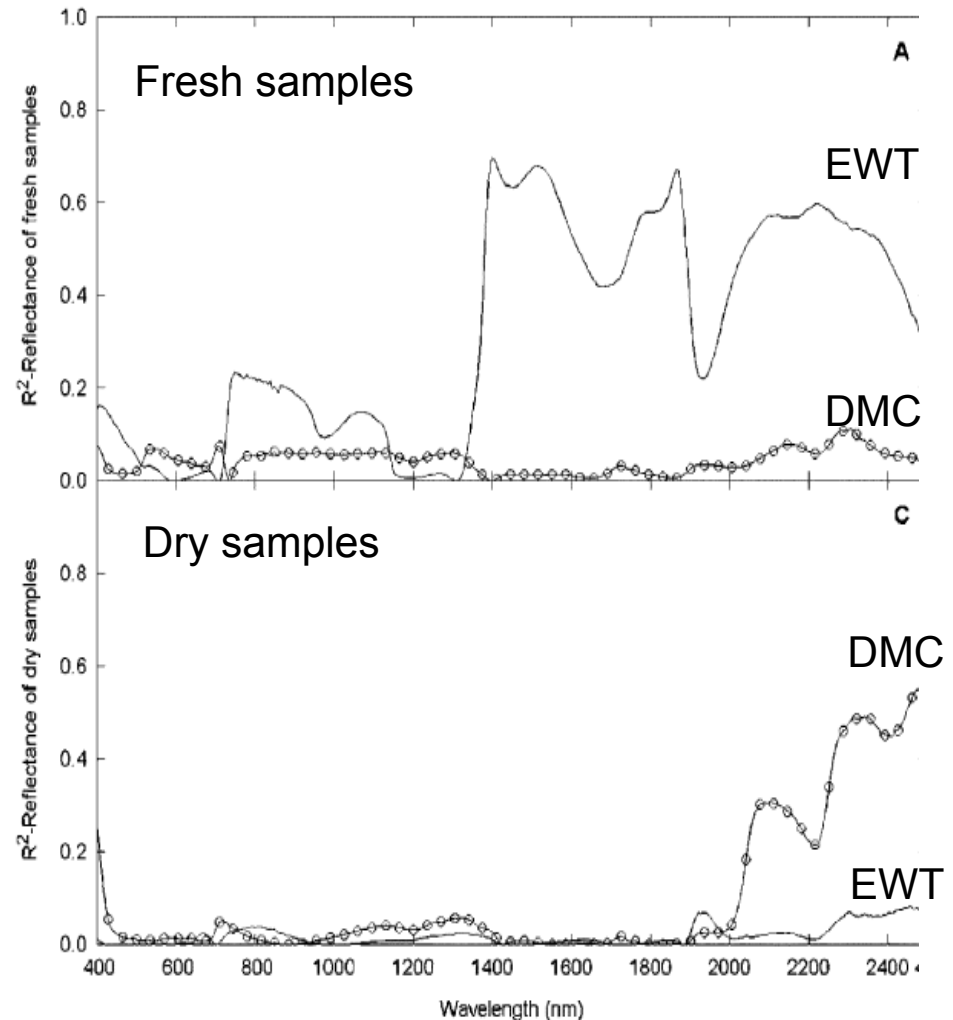
Some Features are Masked by Strongly Absorbing Compounds



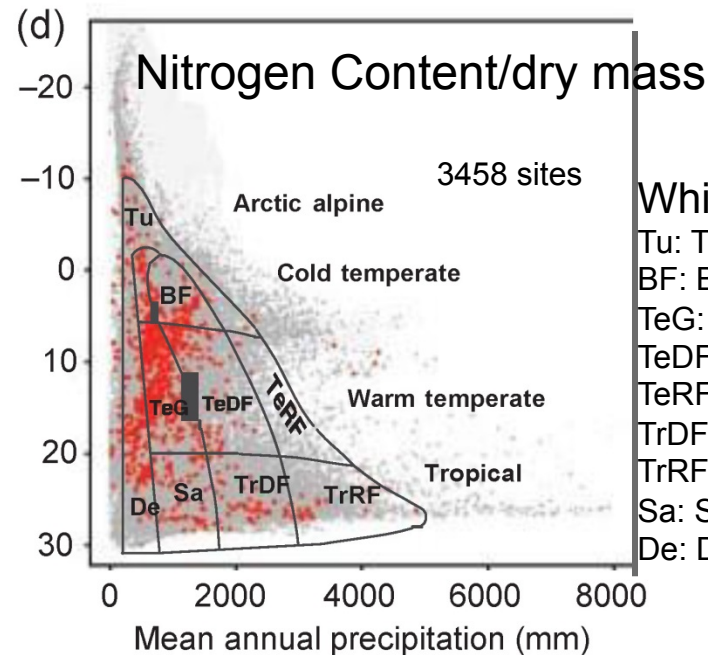
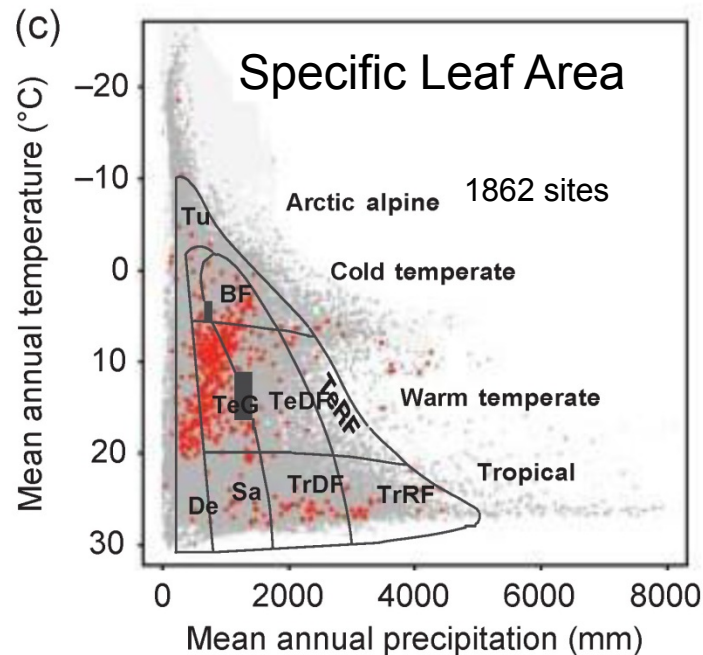
EWT = Equivalent water thickness
DMC = Dry Matter Content

How to consistently retrieve small spectral signals?

- Coordinated multi-sensor acquisitions
The “B Train” (Biological constellation)
- new statistical/signal processing methods?
- improved absorption coefficients?
- empirical/theoretical studies?



Continuous Relationships between Climate Space and Trait-based Variation



Whittaker Biome Types:

Tu: Tundra
BF: Boreal Forest
TeG: Temperate Grassland
TeDF: Temp. Deciduous Forest
TeRF: Temp. Rain Forest
TrDF: Tropical Deciduous Forest
TrRF: Tropical Rain Forest
Sa: Savanna
De: Desert

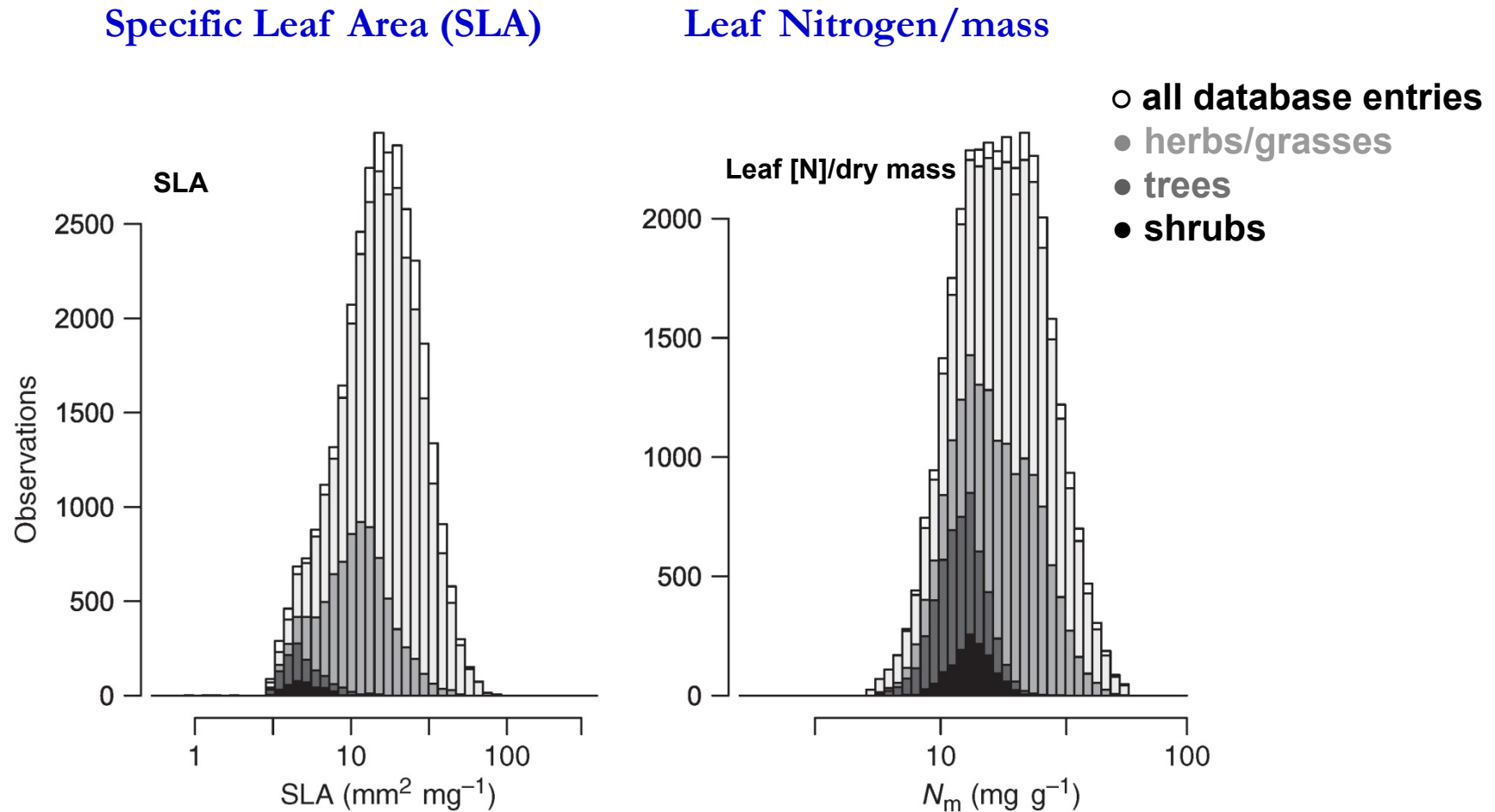
Note: $SLA = 1/LMA$

● Georeferenced in TRY Database (69,296 species; 93 traits)

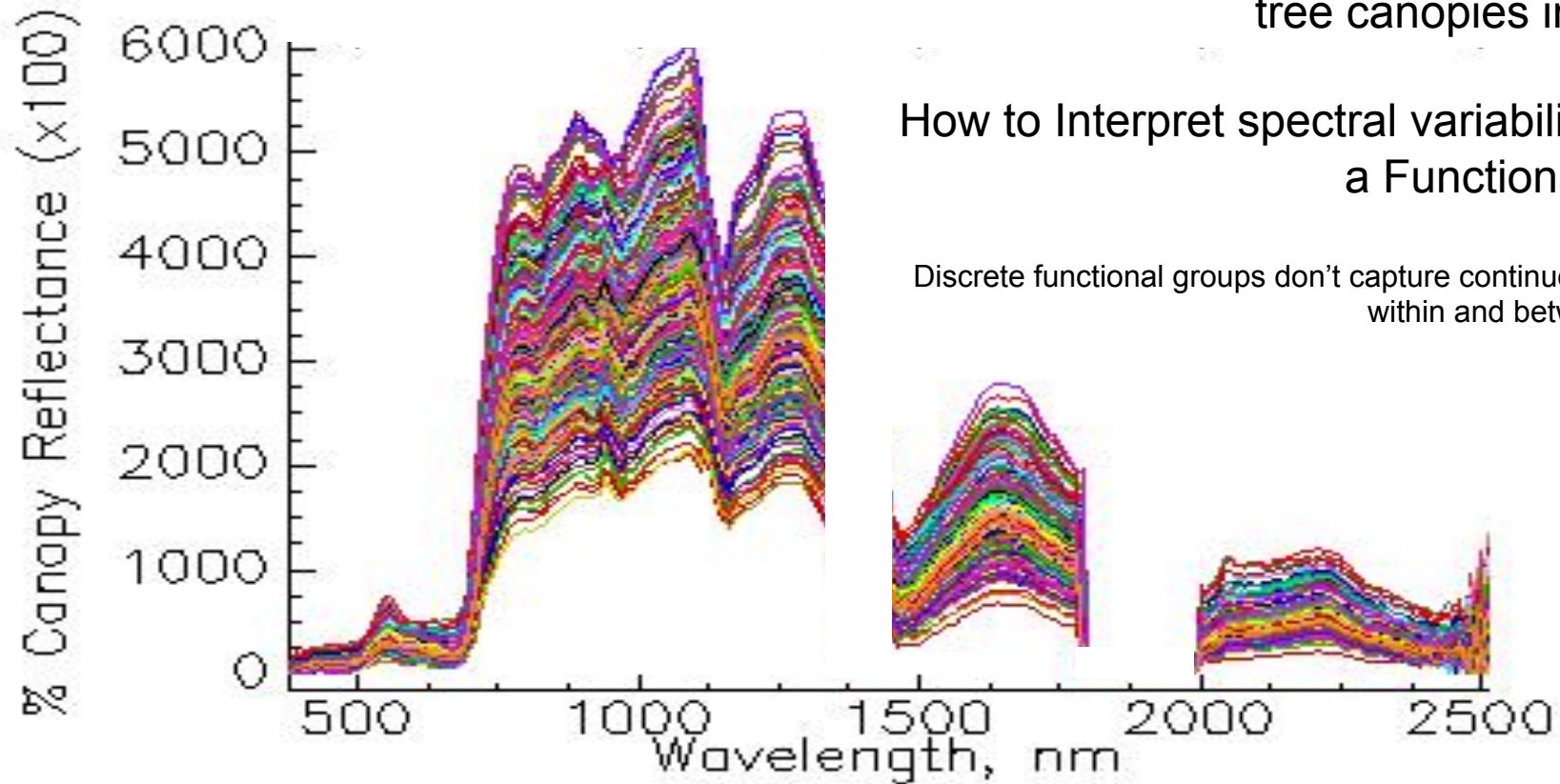
● Global Biodiversity Information Facility Database

J. KATTGE & 134 others, 2011. TRY – A Global Database of Plant Traits.
Global Change Biology (2011) 17, 2905–2935

Trait Frequency Distributions by Functional Groups:



How to Address Biological Complexity in Spectral Properties?



Species from a local area: 583 individual tree canopies in 100 ha

How to Interpret spectral variability within a Functional Type?

Discrete functional groups don't capture continuous variation within and between groups

Unpublished data courtesy of Greg Asner

Measured by CAO-2 Imaging Spectrometer at 100 ha site in the lowland Amazon.
Data controlled for shade and minimum 0.5 NDVI

Peter Reich: Key Canopy Traits Drive Forest Productivity

Proc. R. Soc. B, 2012. **279**: 2128-2134

“Stand-scale productivity is a function of the **Capacity To Harvest Light** (leaf area index, **LAI**) and to **Biochemically Fix Carbon** (canopy nitrogen concentration, **%N**)”

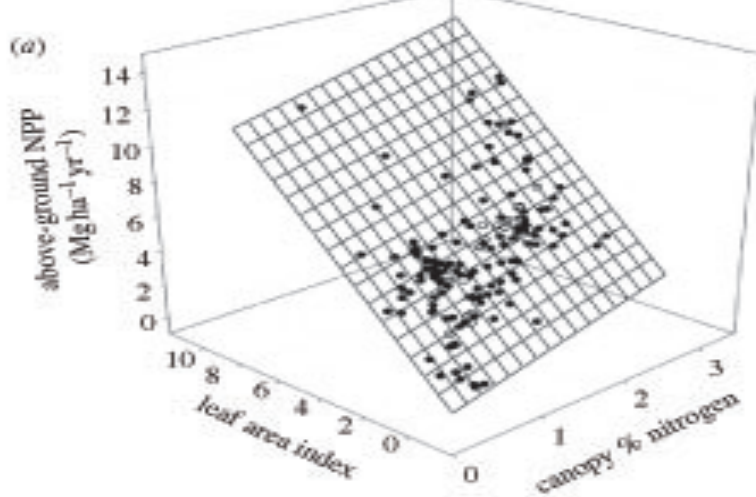
- LAI + % canopy N explain >75% variation in above-ground NPP among temperate and boreal forests, per year or per day of growing season.
- Including **Growing Season Length** and **Climate** effects explains **90% variation**

* Eddy flux measures show similar dependence of photosynthetic rates on LAI and N.

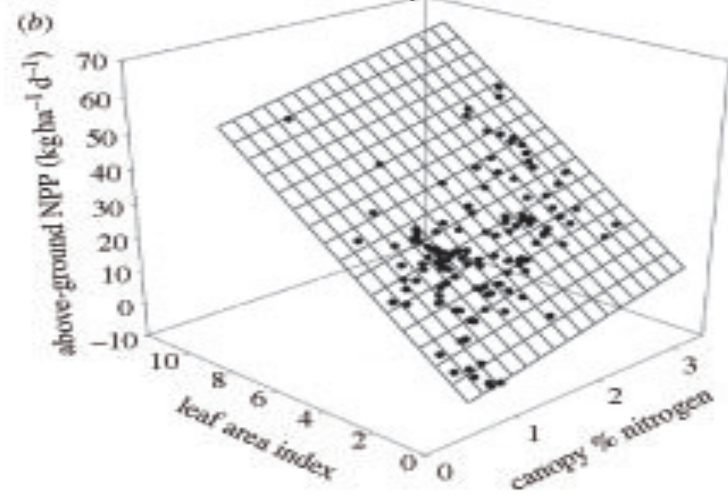
Relationships between Leaf Area Index and % Canopy Nitrogen with Measures of Productivity

Key canopy traits—forest productivity P. B. Reich 2131

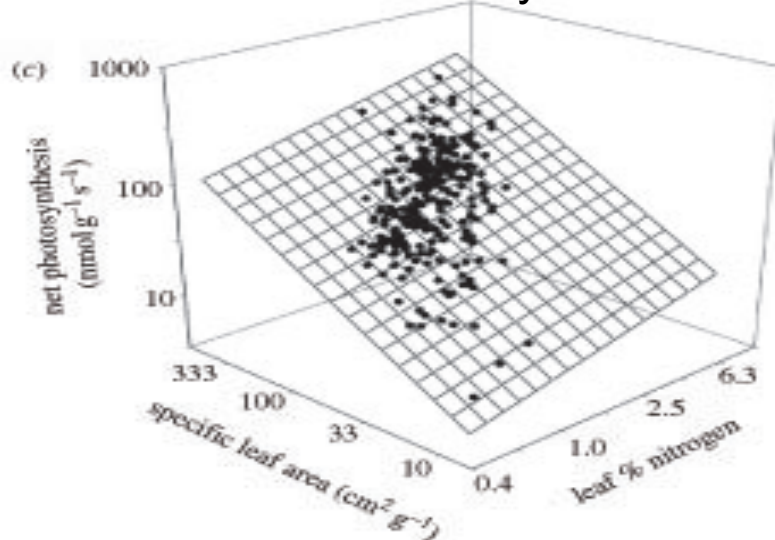
Annual Rate



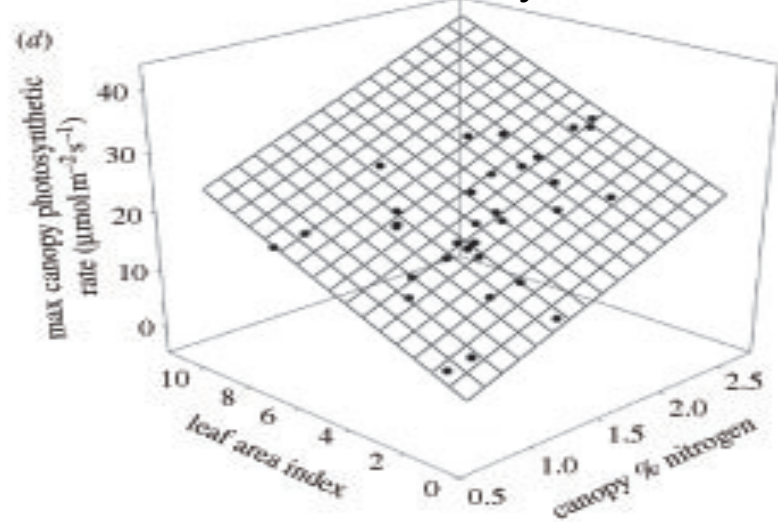
Daily Rate



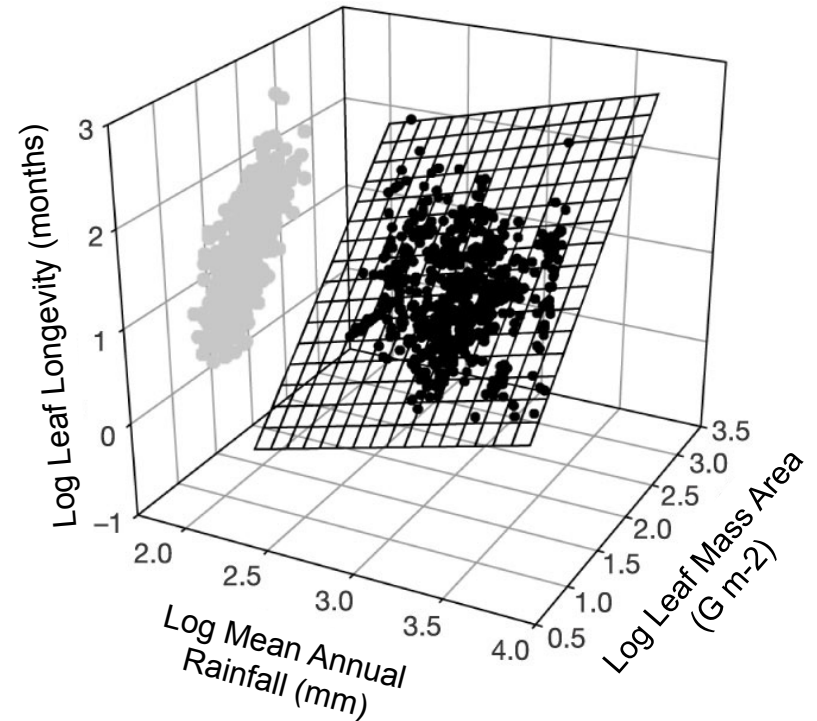
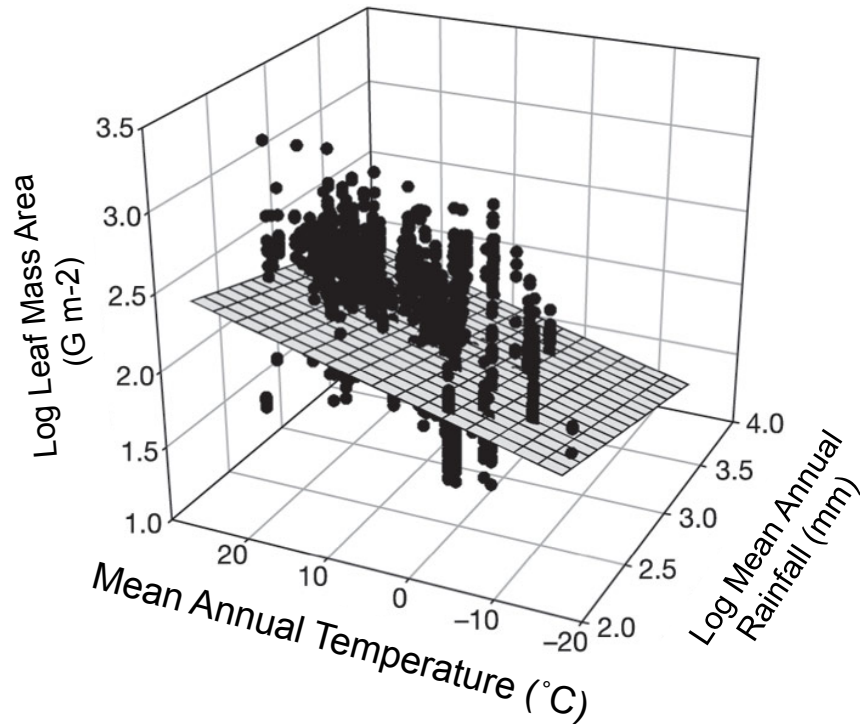
Net Photosynthesis



Maximum Photosynthetic Rate



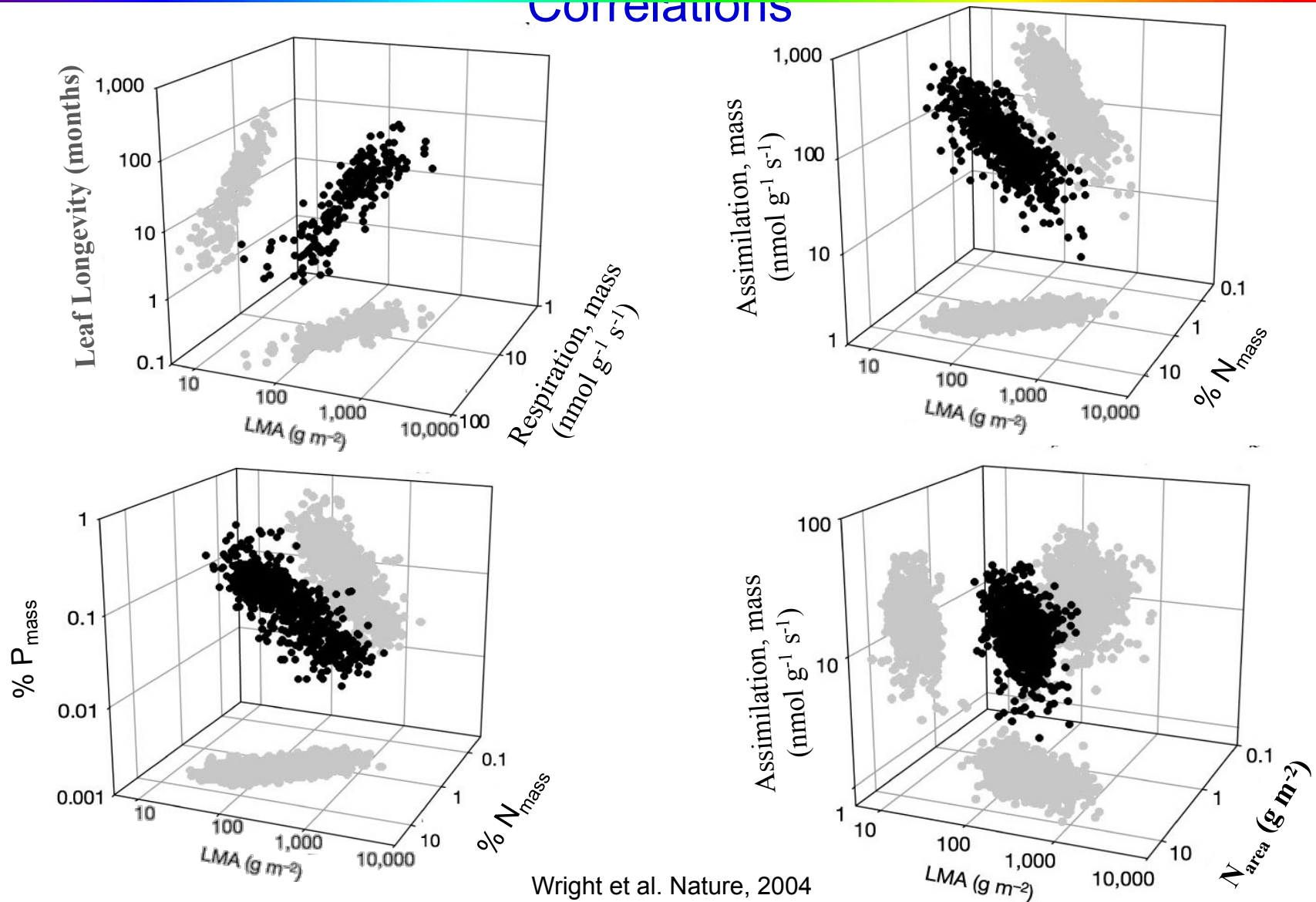
A Global Universal Spectrum of Leaf Economics: Key Co-Varying Structural and Physiological Properties



Traits vary from fast to slow return on investments in nutrients and dry mass in leaves, and operate largely independently of growth form, PFT or biome
>2500 species from 175 global sites

Leaf Investment Strategies are Largely Arrayed Along A Single Spectrum, With a Globally Consistent Pattern of Trait

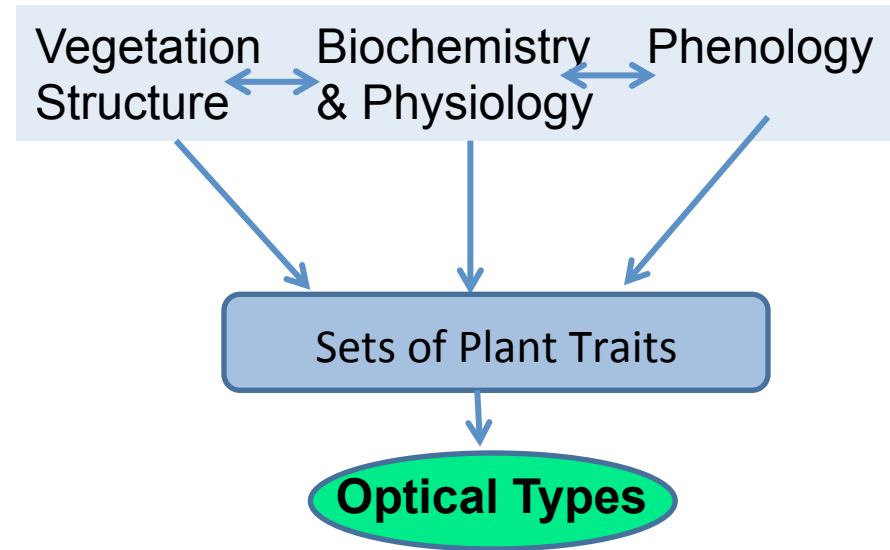
Correlations



Wright et al. Nature, 2004

The Concept of Leaf Optical Types: An Alternative to Mapping Functional Types

- Based on Theory of Functional Convergence
- Limited Range of Leaf Functional Strategies



A few key leaf traits (“trait syndromes”) regulate the fluxes of carbon and water in terrestrial ecosystems

Ustin and Gamon: New Phytologist 186: 795-816

The Generalized Leaf Trait literature, developed over the past decade, provides a framework to translate changes in spectral properties into measurements of ecosystem function

Retrieving Leaf Chemistry from Reflectance: PROSPECT

OPTICAL CONSTANTS

Refractive index $n(\lambda)$
Specific absorption
coefficients $k_i(\lambda)$

INPUT VARIABLES

Biochemical content c_i
Leaf structure
parameter N

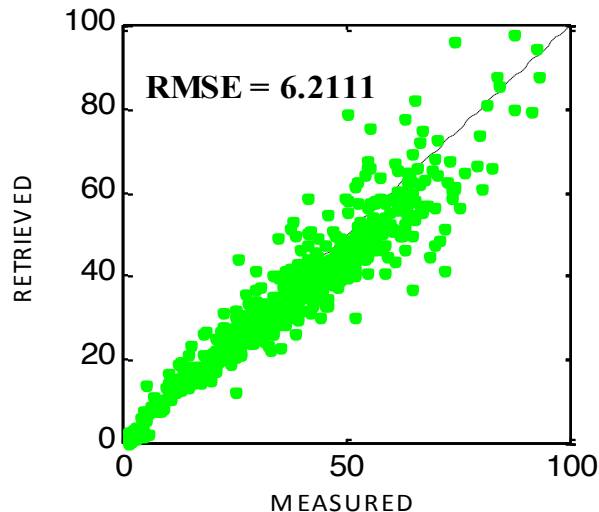
Direct mode



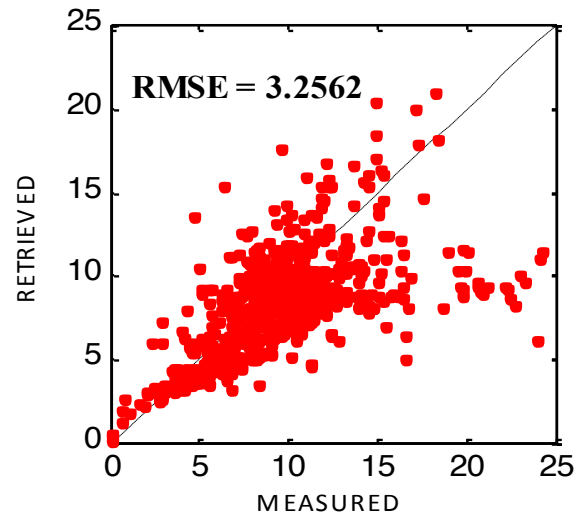
Leaf reflectance
and transmittance

Inverse mode

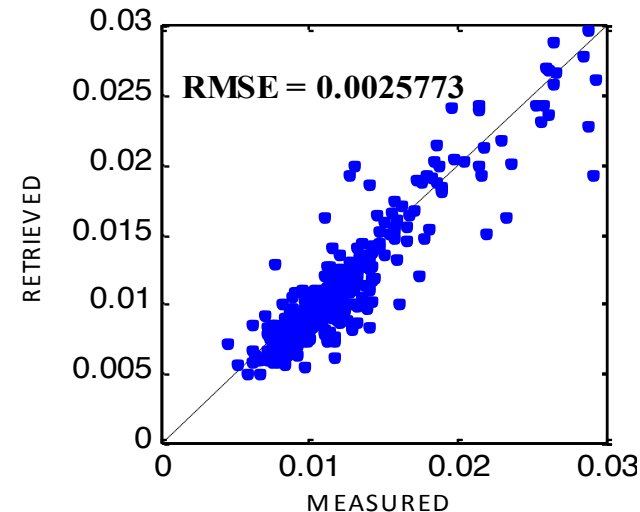
CHLOROPHYLL



CAROTENOIDS



WATER



Detectable Optical Properties Using Imaging Spectroscopy



Leaf area index

Leaf Mass Area ($=1/\text{SLA}$)

Chlorophyll and Carotenoid Pigments

Canopy Water Content

Xanthophyll pigments

Leaf Longevity

Leaf Nitrogen

Ligno-cellulose

Do plants optimize light harvesting, water & nutrient resources for given climate conditions?

These Optical Properties are consistent with Generalized Leaf Trait literature developed over the past decade.

Finding Universal Features for Spectral Traits



1. Plant Trait Databases (spectral libraries)
2. Using models to identify better band placements for retrievals

Most vegetation indexes are based on the available bands
not the best wavelengths

Leaf Mass Area:

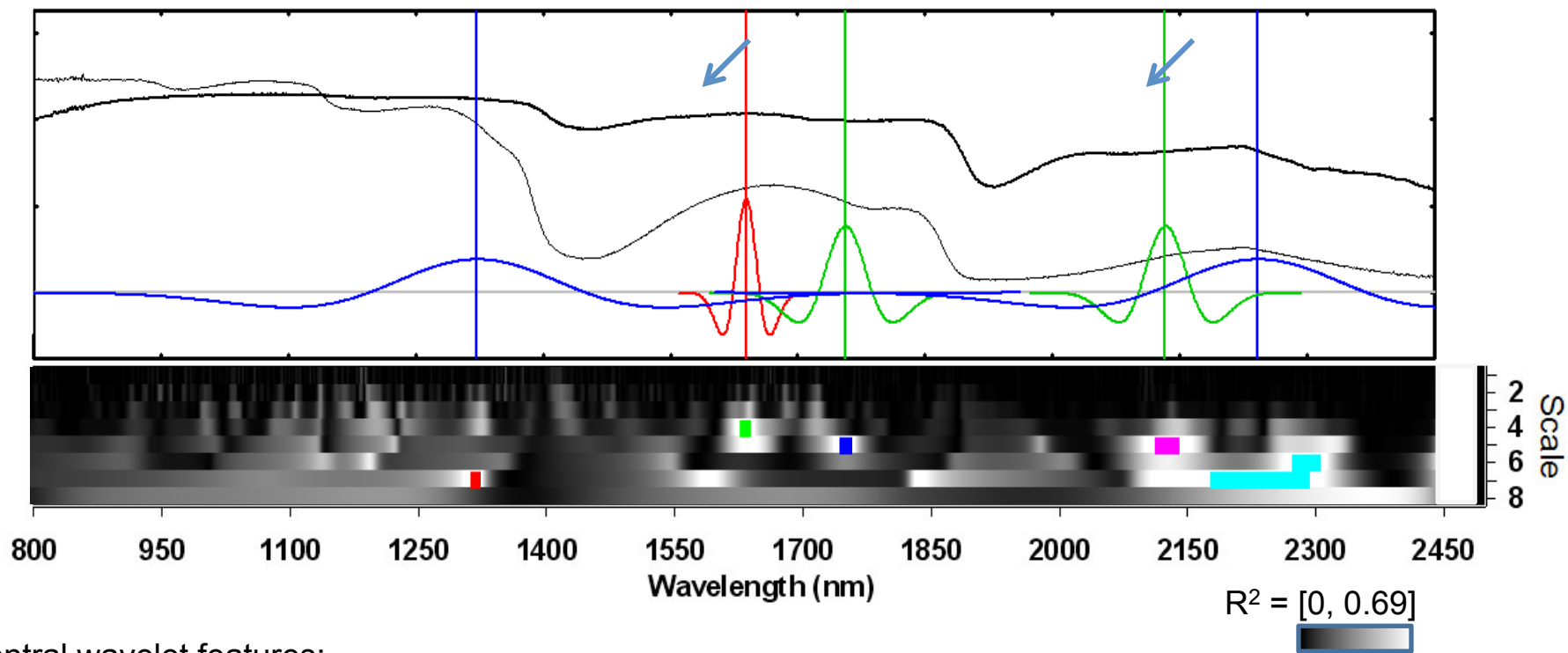
$$ND\downarrow LMA = R\downarrow 1368 - R\downarrow 1722 / R\downarrow 1368 + R\downarrow 1722$$

(Feret et al., 2011)

$$NDMI = R\downarrow 1649 - R\downarrow 1722 / R\downarrow 1649 + R\downarrow 1722$$

(Wang et al., 2011)

Finding Universal Features for Spectral Traits: Wavelet Analysis



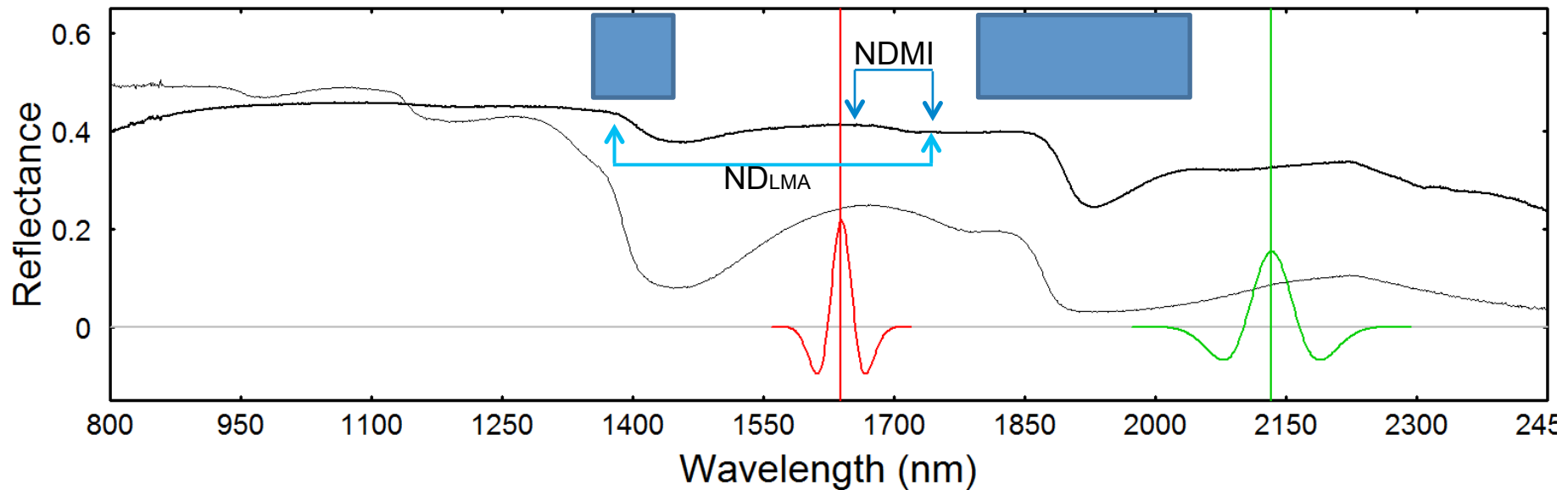
Central wavelet features:

- (1322 nm, scale 7): broad absorption by water @ 1200 nm and 1430 nm
- (1639 nm, scale 4): absorption by starch and sugar @ 1580 nm and lignin @ 1690 nm
- (1756 nm, scale 5): absorption by lignin @ 1690 nm, absorption by cellulose @ 1780 nm
- (2133 nm, scale 5): absorption by starch @ 2100 nm, absorption by protein and nitrogen @ 2180 nm
- (2242 nm, scale 7): overlapping absorptions by dry matter constituents starch, cellulose, protein, nitrogen and oil

Most robust features for transferring a regression model from simulated data to measured data:

- (1639 nm, scale 4)
- (2133 nm, scale 5)

Sensitivity of Indexes to Atmospheric Water Absorption



Effect of removing two strong atmospheric absorption regions: 1340-1450 nm and 1790-2030 nm:

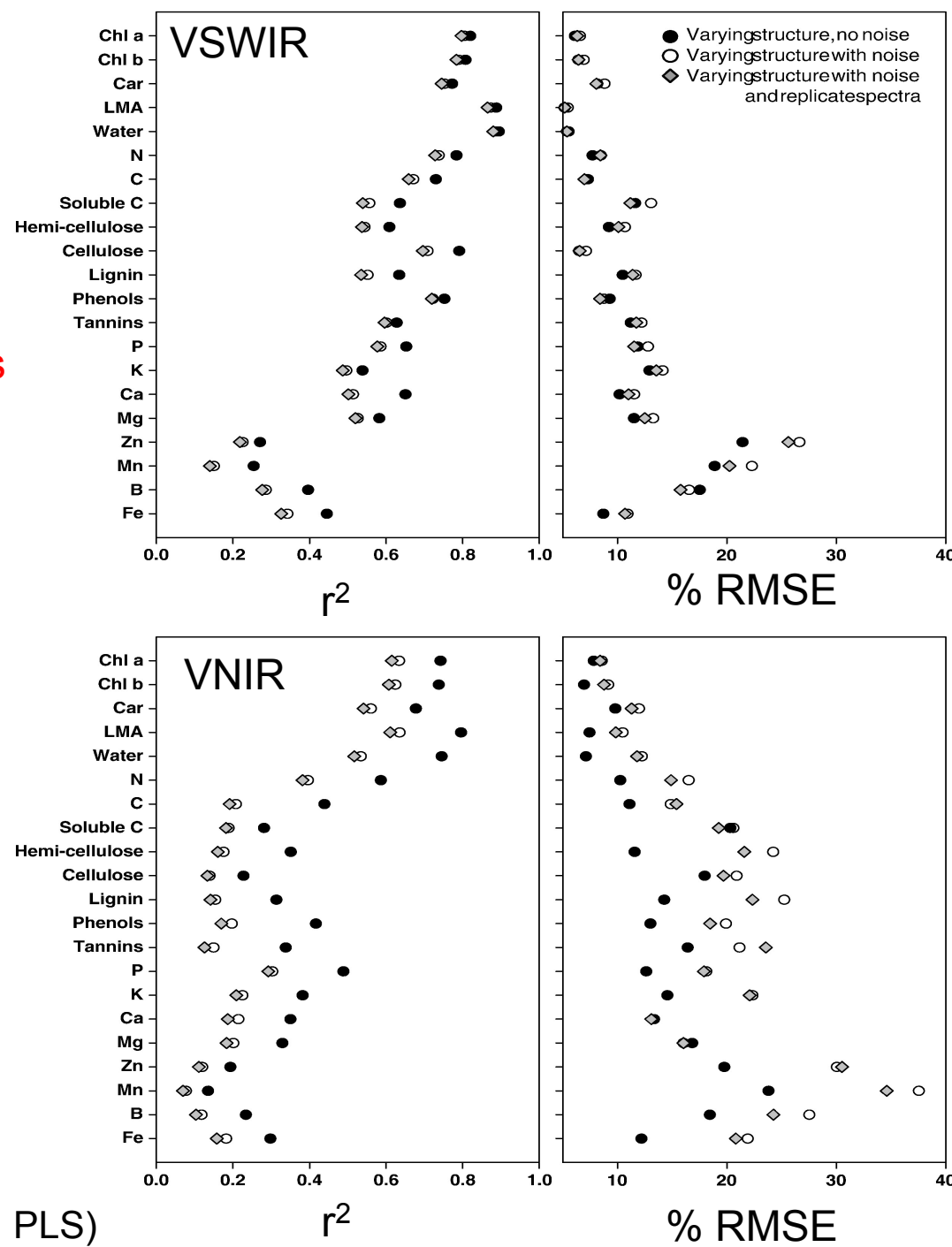
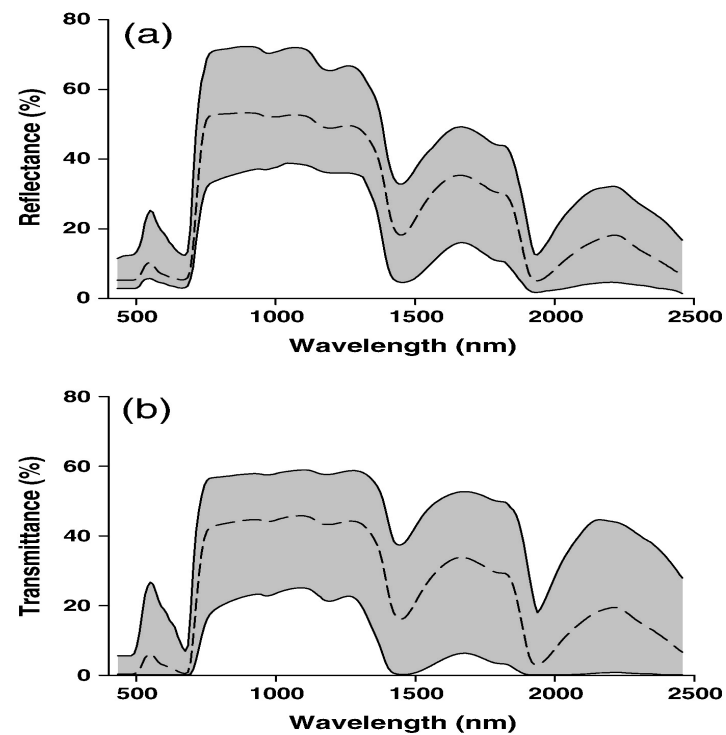
- The feature (1639 nm, scale 4) is not affected. The influence on the feature (2133 nm, scale 5) is minimal.
- **NDLMA** **IS** affected. The 1368 nm band must be shifted to shorter wavelengths for satellite data.
- **NDMI** is not affected.

$$NDLMA = \frac{R_{1368} - R_{1722}}{R_{1368} + R_{1722}} \quad (\text{Feret et al., 2011})$$

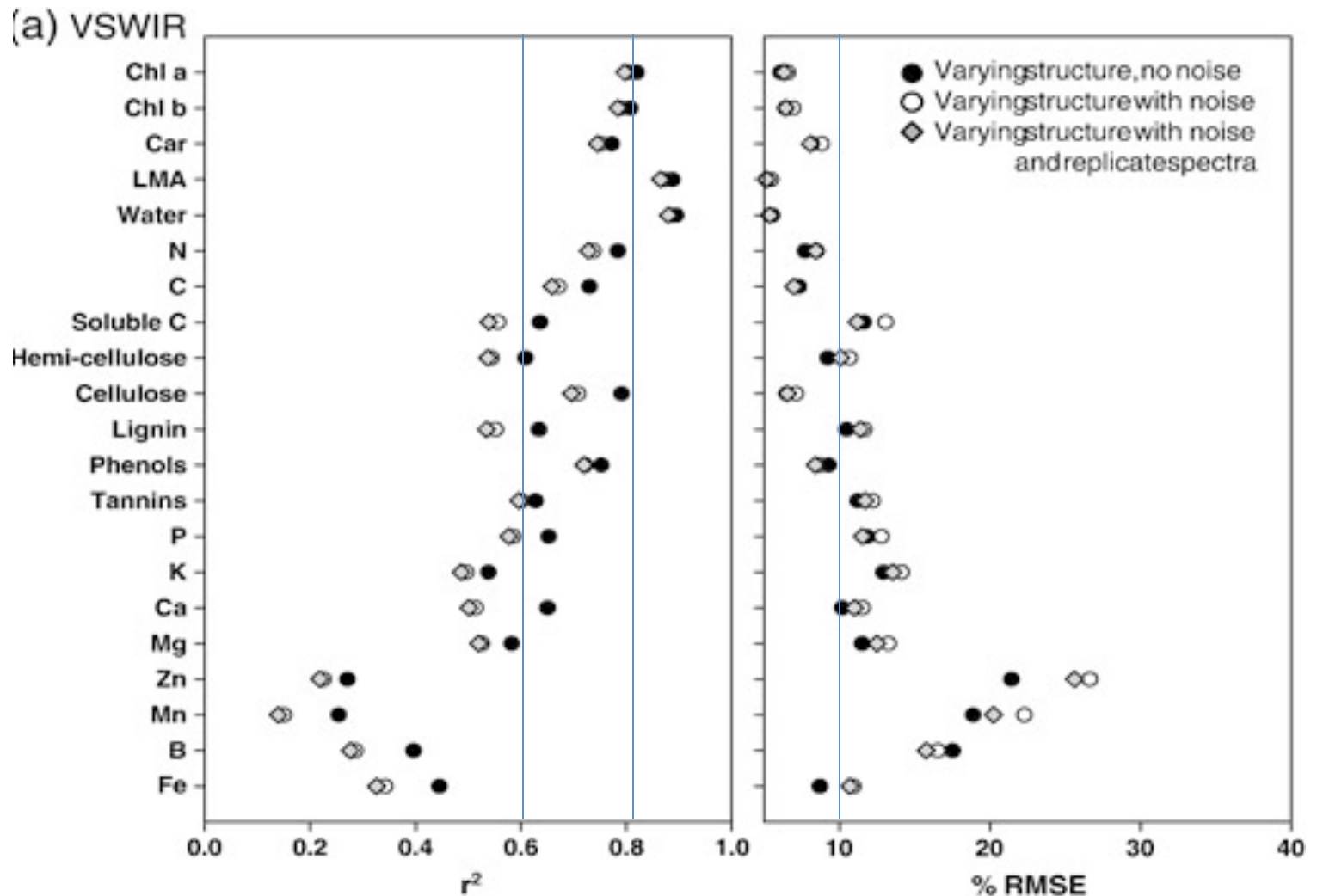
$$NDMI = \frac{R_{1649} - R_{1722}}{R_{1649} + R_{1722}} \quad (\text{Wang et al., 2011})$$

Detailed Chemistry Retrieval from Canopy Reflectance

6136 Humid Tropical Forest Canopies



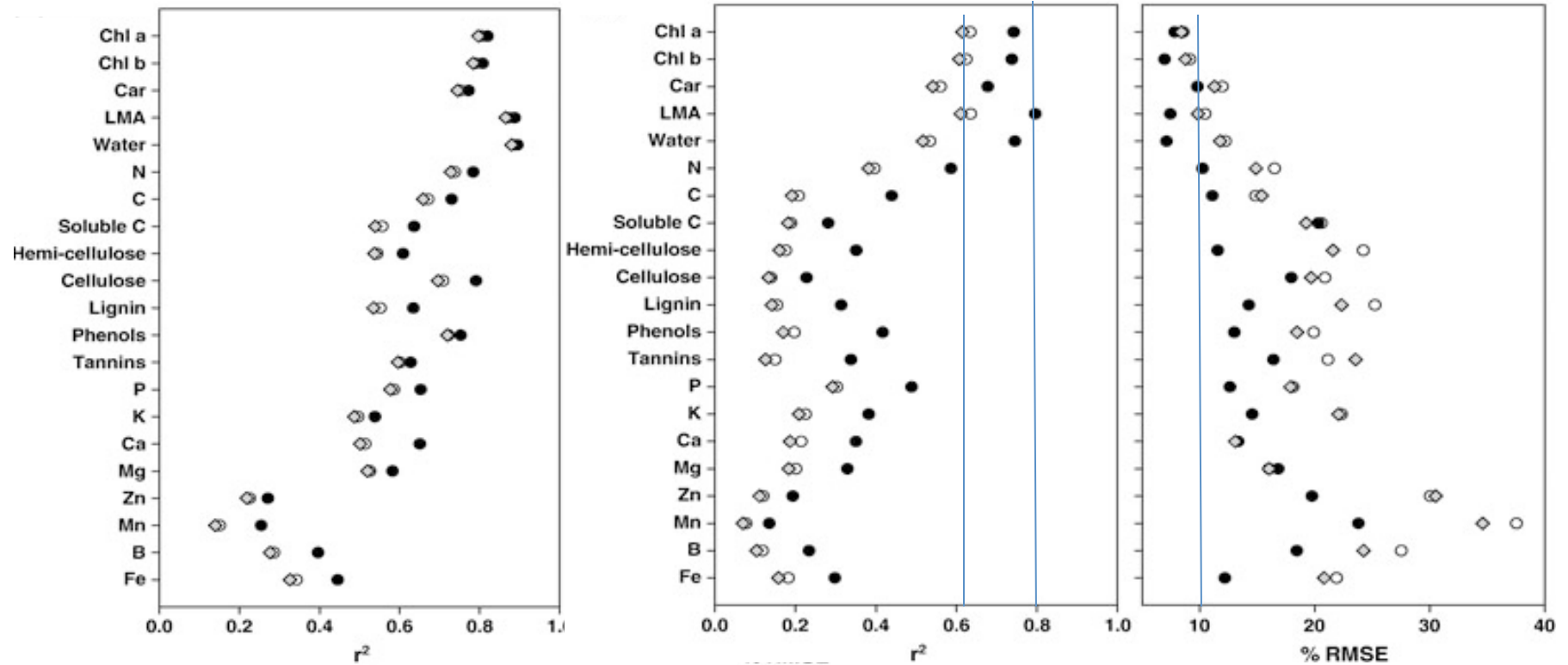
Detailed Chemistry Retrieval from Canopy Reflectance



Detailed Chemistry Retrieval from Canopy Reflectance

(a) VSWIR

(b) VNIR



New & Improved IS Products for Monitoring Ecosystem Functioning

1) Ecosystem chemistry and physiological condition

- Leaf mass area (LMA)*, Leaf Longevity*
- Water*
- Ligno-cellulose*
- Foliar pigments (*chlorophyll**, *carotenoids*[‡], *anthocyanins*[‡])
- Nitrogen[†], Phosphorous[†]

2) Ecosystem health

- Canopy structure (*improved LAI**, *gap distribution**)
- Species Composition (*fractional composition*[‡])
- Other Canopy Chemistry[†]
- General stress indicators (*e.g., PRI*[‡], *red edge*[‡])

*application ready ‡advanced †researched

What is the Impact of Biodiversity on Ecosystem Productivity?

Tillman and Reich (2012) studied effects of biodiversity on NPP compared to:

Added nitrogen: 0, 34, 54 or 95 kg N·ha⁻¹·y⁻¹

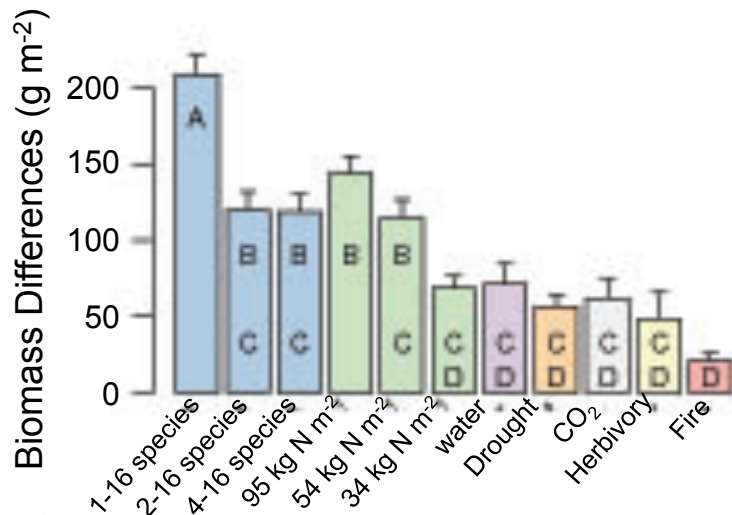
Water: ~50% increase or decrease

Elevated CO₂, 560 ppm

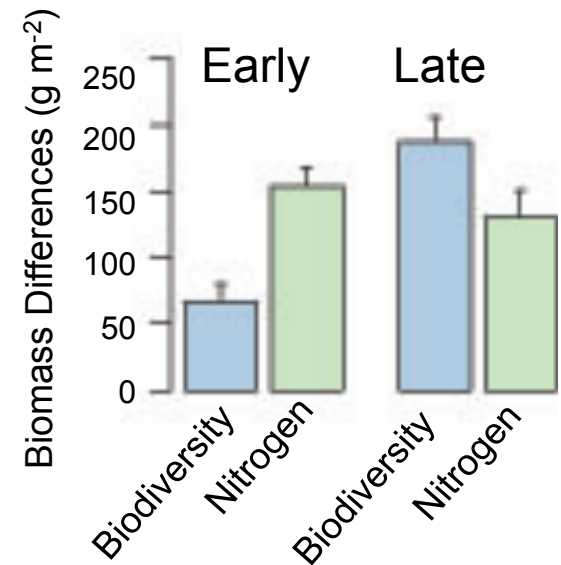
Annual fire

Herbivory (Deer exclosures)

11 experiments manipulated one or more factors over a period of 5 to 28 yr



Tillman and Reich, 2012. PNAS 109: 10394-10397.



Impact of biodiversity increases with time

Improvements in Monitoring Ecosystem Multifunctionality with Imaging Spectroscopy (+LiDAR and TIR)

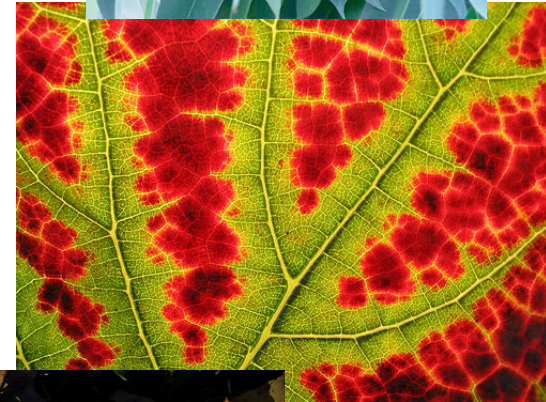
Major Model Inputs	CASA	SiB3	Ecosystem Demography
Vegetation Distributions	IS	IS	IS
Multifunctional Plant Distributions	IS+LiDAR+TIR	IS+Lidar+TIR	IS+Lidar+TIR
Fractional Carbon Cover	IS	IS	IS
Vegetation Greenness	IS	IS	IS
Fractional PAR Absorption	IS	IS	IS
Leaf Area Index (0-4 LAI units)	IS	IS	IS
Leaf Area Index (4-10 LAI units)	IS	IS	IS
Canopy Gap Frequency and Size	LiDAR +IS	LiDAR +IS	LiDAR +IS
Light-use Efficiency (leaf water, N)	IS	IS	IS
Live vs. Senescent Biomass	IS/LiDAR	IS/LiDAR	IS/LiDAR
Woody vs. Leaf Biomass	LiDAR	LiDAR	LiDAR
Canopy Allometry	Prescribed	Prescribed	Prescribed
Disturbance Type and Intensity	IS	IS	IS

*based on assumption that IS has capability of HypSIIRI like instrument

Acknowledgements:

Greg Asner, Carnegie Inst. (published and unpublished data)
Tao Cheng and David Riano, CSTARS, UC Davis (LMA data)
Stéphane Jacquemoud and John Baptiste Féret, U. Paris
John Gamon, U Alberta

NASA TE, Hydrology, Biodiversity and HyspIRI programs!



Questions?



Model of Proposed HypIRI Satellite