



# Status of HyTES/PHyTIR Concepts and Technologies being Validated for the HypIRI TIR Instrument Concept

Presented at:

**2011 HypIRI Science Workshop  
Washington, D.C.**

Simon Hook & The HyTES/PHyTIR Team

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Bjorn T. Eng, Bruno M. Jau



# Outline

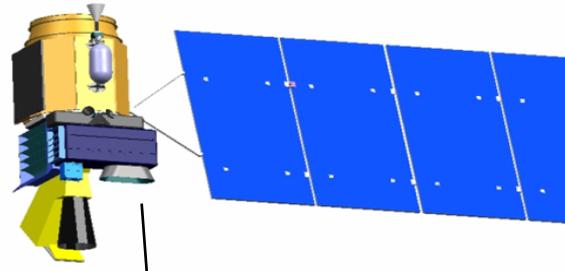
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- HypsIRI Background
- Prototype Hyperspectral Thermal Infrared Radiometer (PHyTIR)
- Hyperspectral Thermal Emission Spectrometer (HyTES)
- Summary



# HyspIRI Background

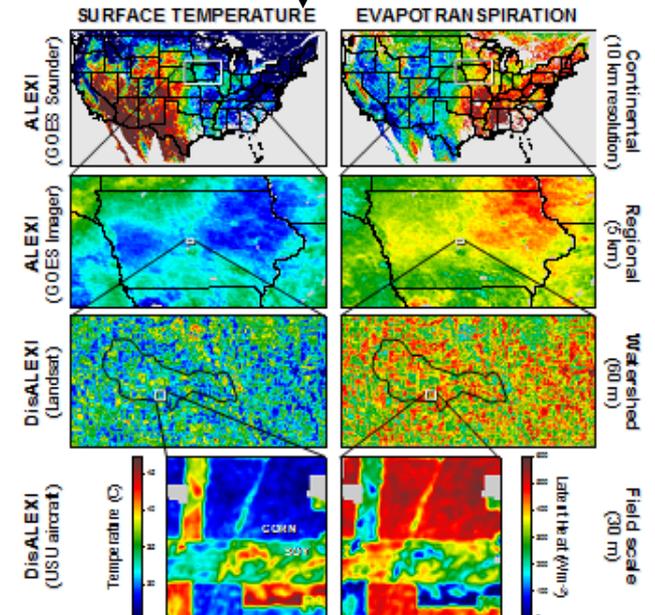
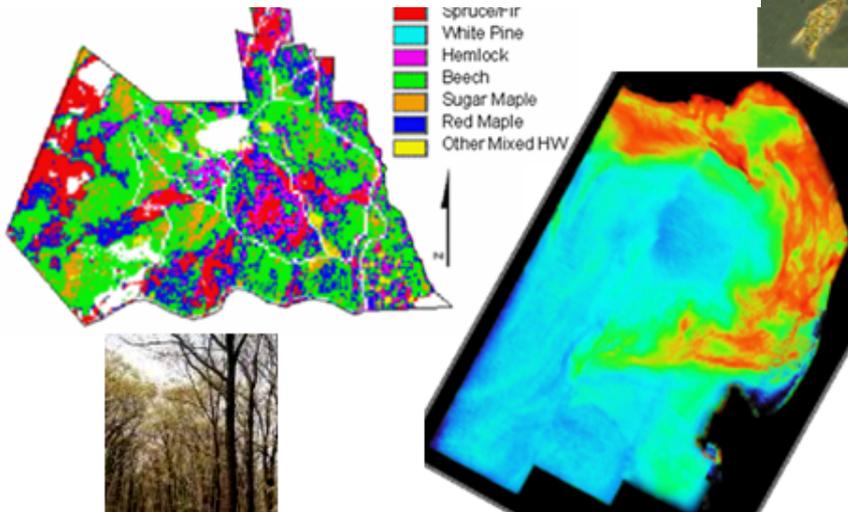
Visible ShortWave InfraRed (VSWIR) Imaging Spectrometer  
+  
Multispectral Thermal InfraRed (TIR) Scanner



VSWIR: Plant Physiology and Function Types (PPFT)

Multispectral TIR Scanner

Map of dominant tree species, Bartlett Forest, NH





# HyspIRI Background

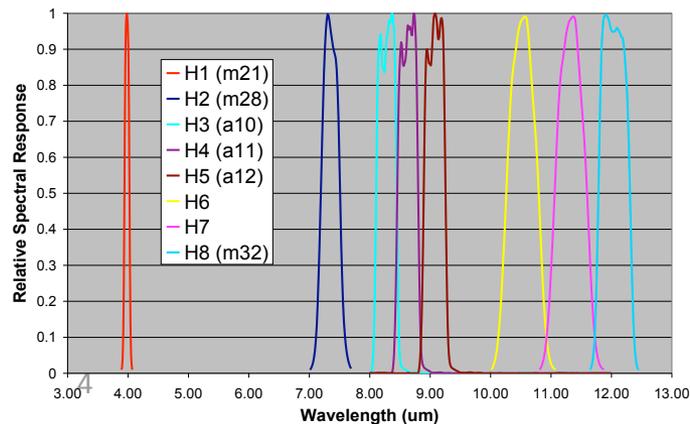


### Science Questions:

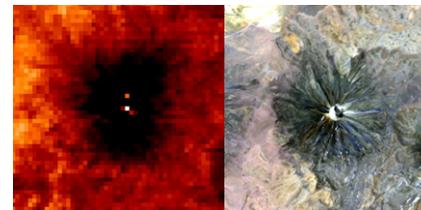
- TQ1. Volcanoes/Earthquakes (MA,FF)  
 – How can we help predict and mitigate earthquake and volcanic hazards through detection of transient thermal phenomena?
- TQ2. Wildfires (LG,DR)  
 – What is the impact of global biomass burning on the terrestrial biosphere and atmosphere, and how is this impact changing over time?
- TQ3. Water Use and Availability, (MA,RA)  
 – How is consumptive use of global freshwater supplies responding to changes in climate and demand, and what are the implications for sustainable management of water resources?
- TQ4. Urbanization/Human Health, (DQ,GG)  
 – How does urbanization affect the local, regional and global environment? Can we characterize this effect to help mitigate its impact on human health and welfare?
- TQ5. Earth surface composition and change, (AP,JC)  
 – What is the composition and temperature of the exposed surface of the Earth? How do these factors change over time and affect land use and habitability?

### Measurement:

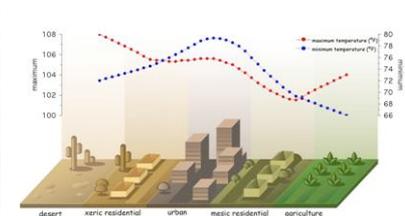
- 7 bands between 7.5-12  $\mu\text{m}$  and 1 band at 4  $\mu\text{m}$
- 60 m resolution, 5 days revisit
- Global land and shallow water



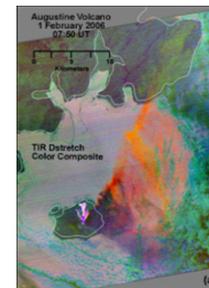
### Andean volcano heats up



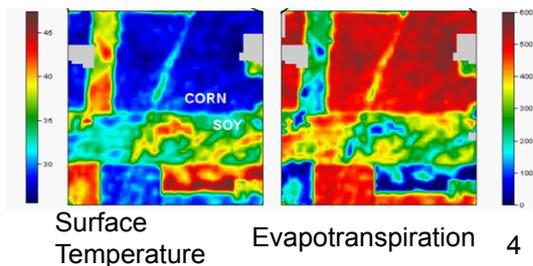
### Urbanization



### Volcanoes

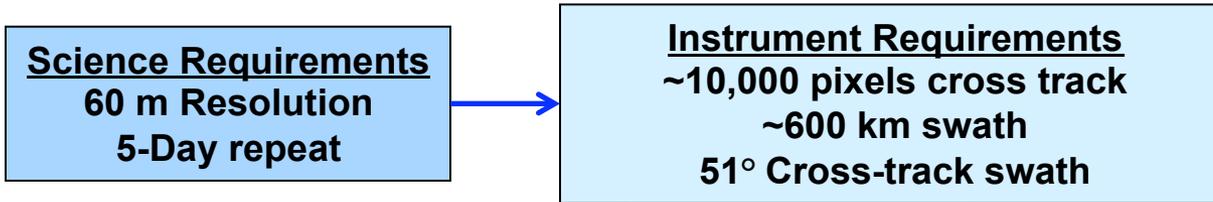


### Water Use and Availability





# HyspIRI-TIR Instrument Concept



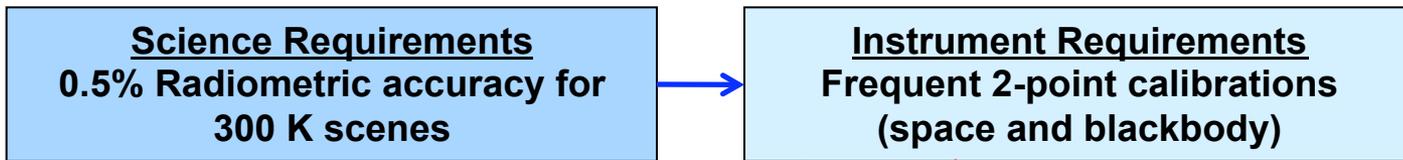
**Whiskbroom (Push-Whisk)**

- Single telescope with scanning mirror
- Single detector array with 256 pixels per band



**Pushbroom**

- ~10 detector arrays, each with ~1,000 pixels per band
- ≥3 telescopes



**Whiskbroom (Push-Whisk)**

- Scanning mirror allows easy and frequent 2-point calibrations
- No mapping gaps



**Pushbroom**

- Calibration mechanism required – must enable multiple telescopes to view space and blackbody
- Gap in mapping during calibration





# HyspIRI-TIR Instrument Concept

**Science / Instrument Requirement**  
0.2 K resolution for 300 K scene

**MCT Detectors**  
• Quantum efficiency  $\geq 70\%$   
• 0.06 K resolution at 60 K  
(69 W cooler power)



**QWIPs**  
• Quantum efficiency  $\sim 3\%$   
•  $> 0.3$  K resolution at 40 K  
(225 W cooler power)



**Uncooled Microbolometers**  
• Too slow for push-whisk method  
• Even with pushbroom, resolution  $\sim 0.8$  K



**Science / Instrument Requirement**  
8 spectral bands 4-12  $\mu\text{m}$

**MCT Detectors**  
• Single band-gap material can cover full spectral range



**QWIPs**  
• Multiple arrays required to cover all bands



**Uncooled Microbolometers**  
• Not sensitive to 4  $\mu\text{m}$  band

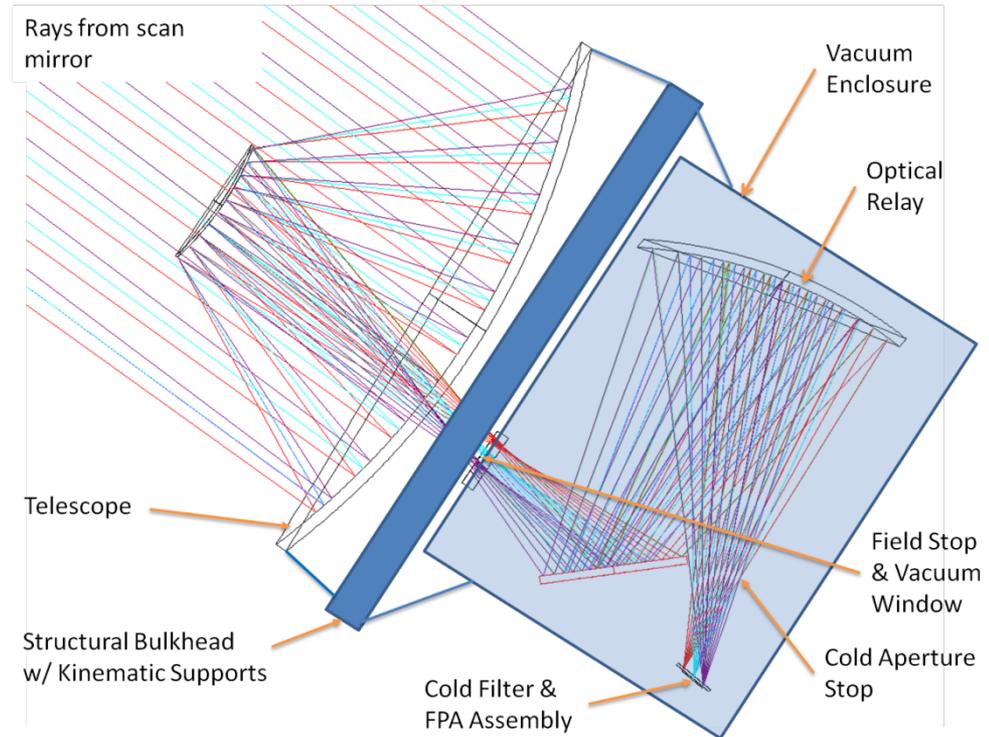




# HyspIRI-TIR Optical Assumptions

## Optical Design Assumptions

- Altitude: 623km (5-day repeat)
- Ground Sample Distance: 60m (@ NADIR)
- Pixel pitch: 40 $\mu$ m
- Aperture: F/2
- IFOV: 96.308  $\mu$ rad
- FOV: 1.413 $^\circ$  (along track)
- FOV: 51 $^\circ$  (cross track scanning)
- Focal Length: 415.3mm
- Aperture Size: 207.7m
- Cross track pixels: 9242 pixels
- Swath: 600km ( $R_{\text{earth}} = 9378\text{km}$ )
- Swath overlap: 10% along track pixels
- Dwell time: 32microseconds
- Scan Mirror Rotation Rate: 14.15rpm (double sided scan mirror)
- Spectral coverage (Passband) = 4 to 12 $\mu$ m
- $\text{MTF}_{\text{Nyquist}} = 60\%$  for all fields and wavelengths
- Obscuration <15% (by area)
- 3 aspheric mirrors (telescope and relay)
- 2 flat mirrors (fold and scan)
- 2 transmissive elements (window and interference filter)
- chromatic aberration negligible

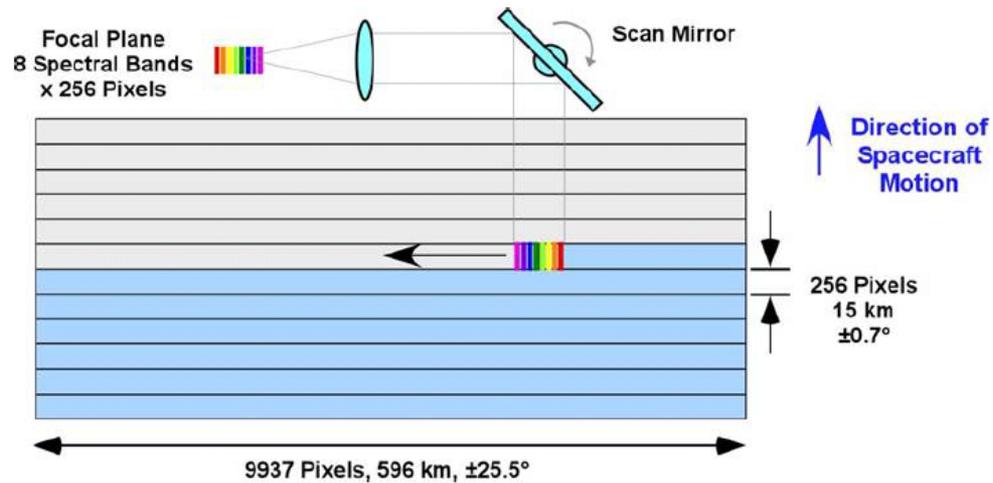
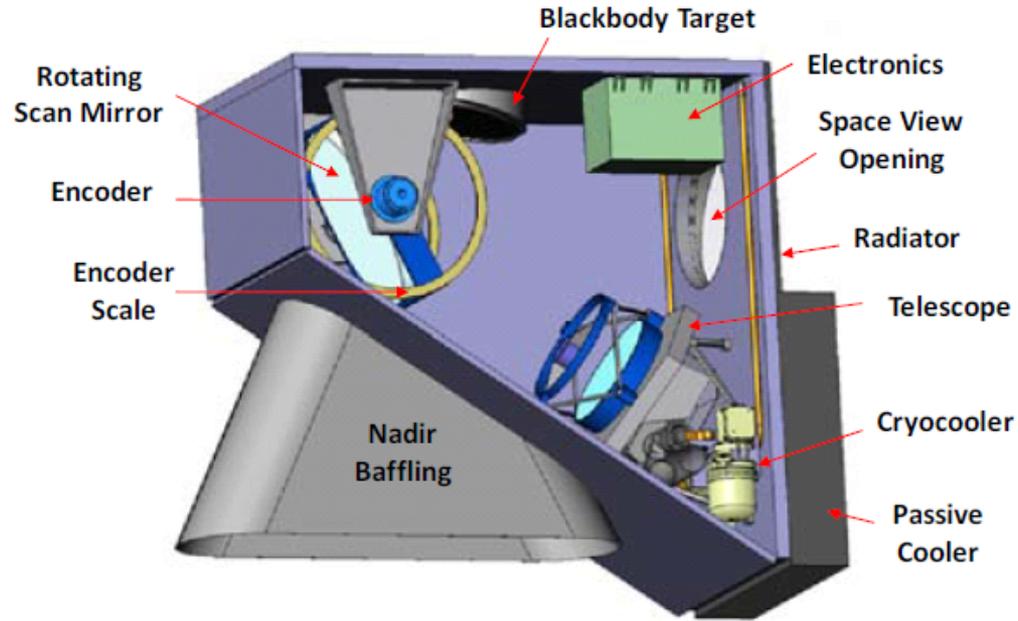


## Summary

Parameter	Value
Aperture Size	208 mm (<10% obstruction)
F/#	2
Focal Length	416mm
Optical Throughput w/ Filters	66%



# HyspIRI-TIR Instrument Concept



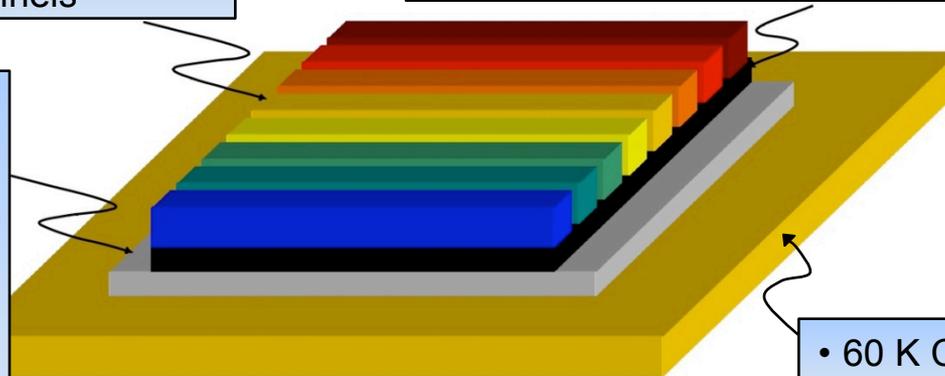


# HyspIRI-TIR Instrument Concept

HyspIRI TIR Instrument Characteristics			
Spectral		Spatial	
Bands (8)	3.98 $\mu\text{m}$ , 7.35 $\mu\text{m}$ , 8.28 $\mu\text{m}$ , 8.63 $\mu\text{m}$ , 9.07 $\mu\text{m}$ , 10.53 $\mu\text{m}$ , 11.33 $\mu\text{m}$ , 12.05 $\mu\text{m}$	IFOV	100 $\mu\text{rad}$ ; 60 m at nadir
Bandwidths	0.084 $\mu\text{m}$ , 0.32 $\mu\text{m}$ , 0.34 $\mu\text{m}$ , 0.35 $\mu\text{m}$ , 0.36 $\mu\text{m}$ , 0.54 $\mu\text{m}$ , 0.54 $\mu\text{m}$ , 0.52 $\mu\text{m}$	MTF	>0.60 at FNy
Accuracy	<0.01 $\mu\text{m}$	Scan Type	Push-Whisk, 14.2 RPM mirror rotation
Radiometric		Cross-Whisk Samples	256
Temperature Range	Channel 1: 400-1200 K Channel 2-8: 200 K – 480 K	Samples in Whisk Direction (Cross Track)	9,300
Resolution	< 0.05 K, linear quantization to 14 bits	Cross-Whisk Swath Width	15.4 km ( $\pm 0.7^\circ$ at 623 km altitude)
Accuracy	< 0.5 K at 250 K	Swath Length in Whisk Direction	596 km ( $\pm 25.5^\circ$ at 623 km altitude)
Precision (NETD)	< 0.2 K	Band to Band Co-Registration	0.2 pixels (12 m)
Linearity	>99% characterized to 0.1 %	Pointing Knowledge	10 arcsec (0.5 pixels, 30 m)

- Butcher-Block Filter Assembly
- Baffles to Prevent Crosstalk Between Spectral Channels

- CMOS Read-Out Integrated Circuit (ROIC)
- Multiple Output Signals to Enable Necessary Pixel Read Rate
- On-Chip Digitization Under Study



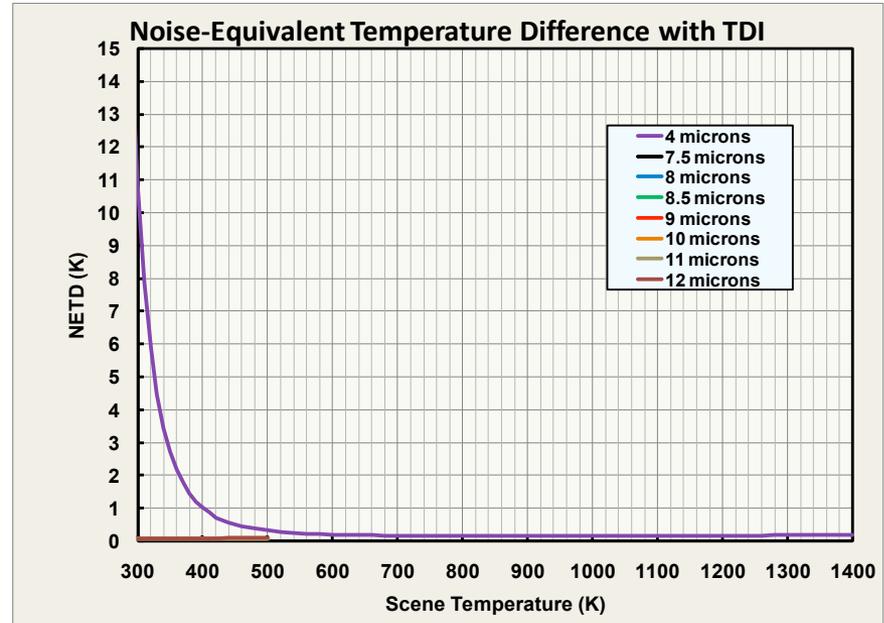
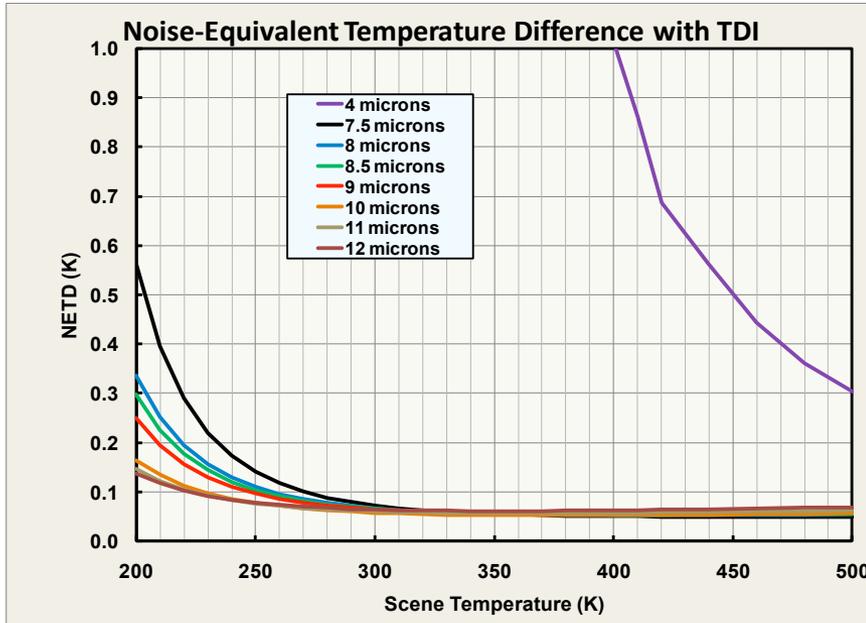
- MCT Detector Array – 256 elements cross-sweep
- 1 Bandgap to Cover Full Spectral Range
- $\geq 4$  Detector Columns per Spectral Channel to Allow Time Delay and Integration (TDI)

- 60 K Cold Tip of Cryocooler

HyspIRI-TIR will use a Butcher-block filter layout on top of focal plane. PHyTIR will not use focal plane filters but will spin a filter wheel in the optical path.



# HyspIRI-TIR Instrument Concept



**Expected HyspIRI-TIR Sensitivity Metric expressed as Noise Equivalent Delta Temperature (NETD)**

**Expected HyspIRI-TIR Sensitivity Metric expressed as Noise Equivalent Delta Temperature (NETD)**

- Predicted sensitivity better than 0.2 K @ 300 K requirement.
- Good sensitivity in overlap region between channel 1 and channels 2-8.
- Expected saturation temperature of 1200K based on HyspIRI: Hot Target Saturation Subgroup (HTSS)



# The Prototype HypsIRI Thermal Infrared Radiometer (PHyTIR)

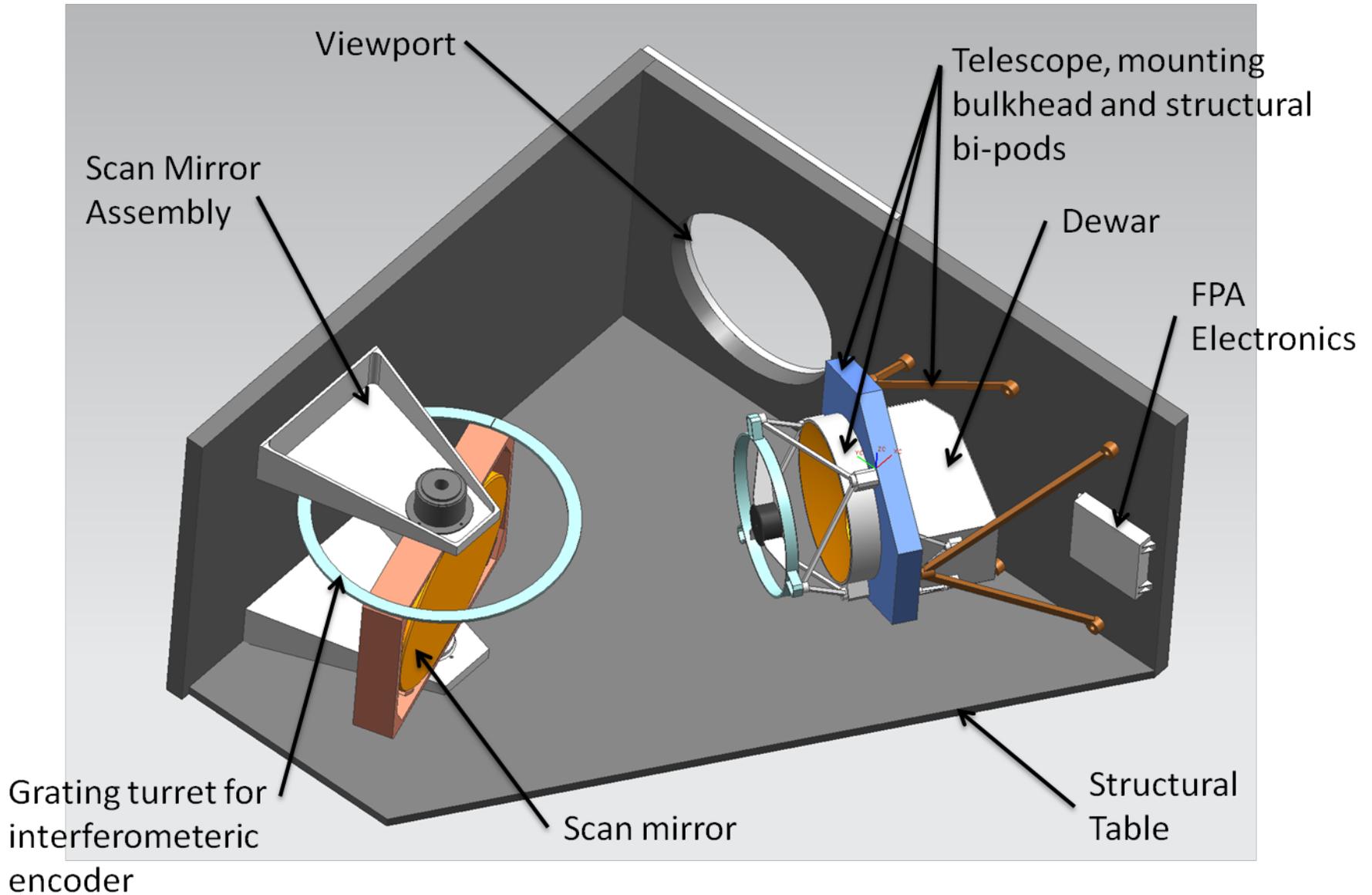


# PHyTIR Rational

- HypsIRI-TIR requires a high throughput FPA and scanning approach to meet the revisit time (5 days), the high spatial resolution (60m), and the number of spectral channels (8).
- PHyTIR will demonstrate that:
  - The detectors and readouts meet all signal-to-noise and speed specifications
  - The scan mirror, together with the structural stability, meets the pointing knowledge requirements
  - The long-wavelength channels do not saturate below 480 K
  - The cold shielding allows the use of ambient temperature optics on the HypsIRI-TIR instrument without impacting instrument performance.

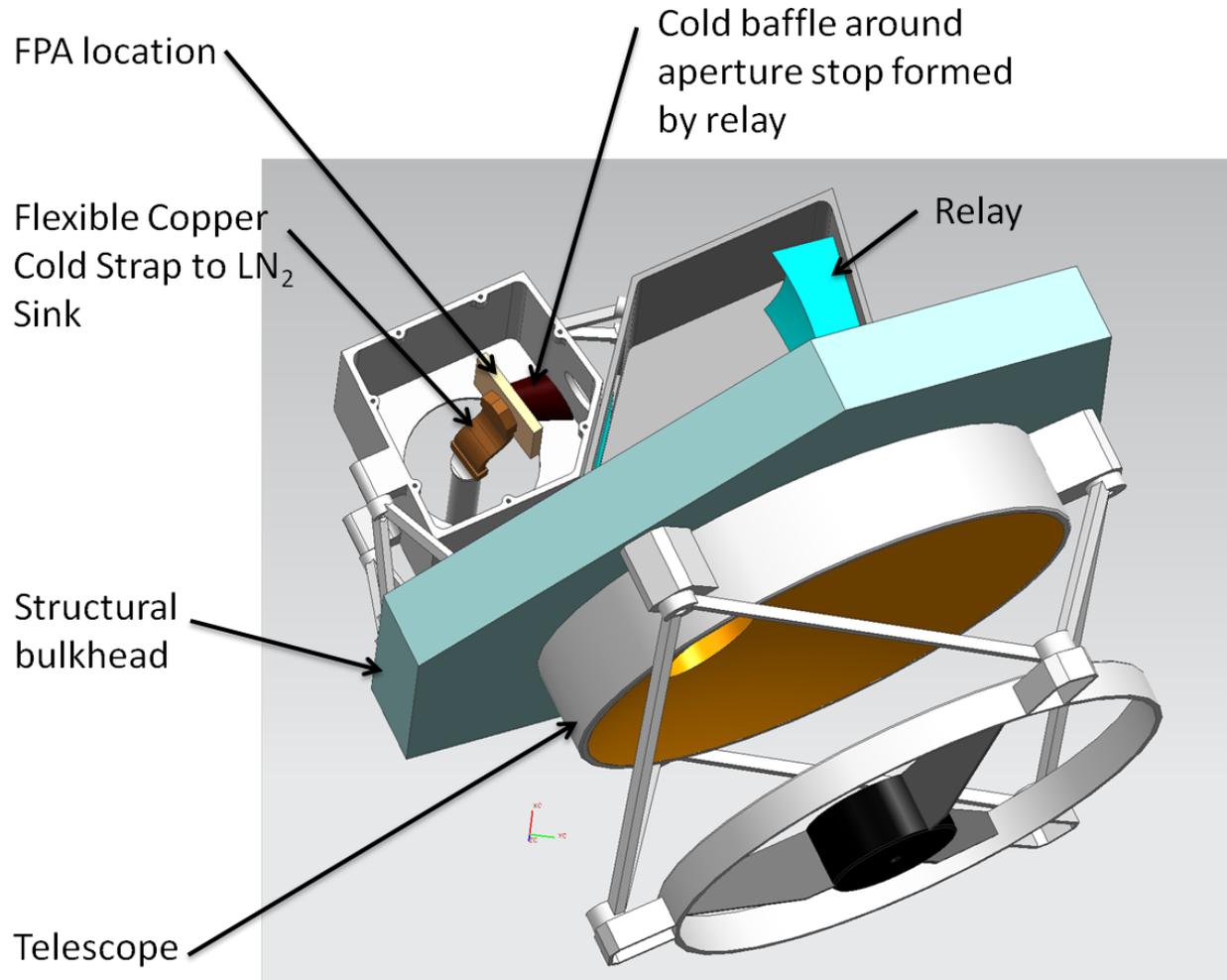


# PHyTIR Implementation





# PHyTIR Implementation

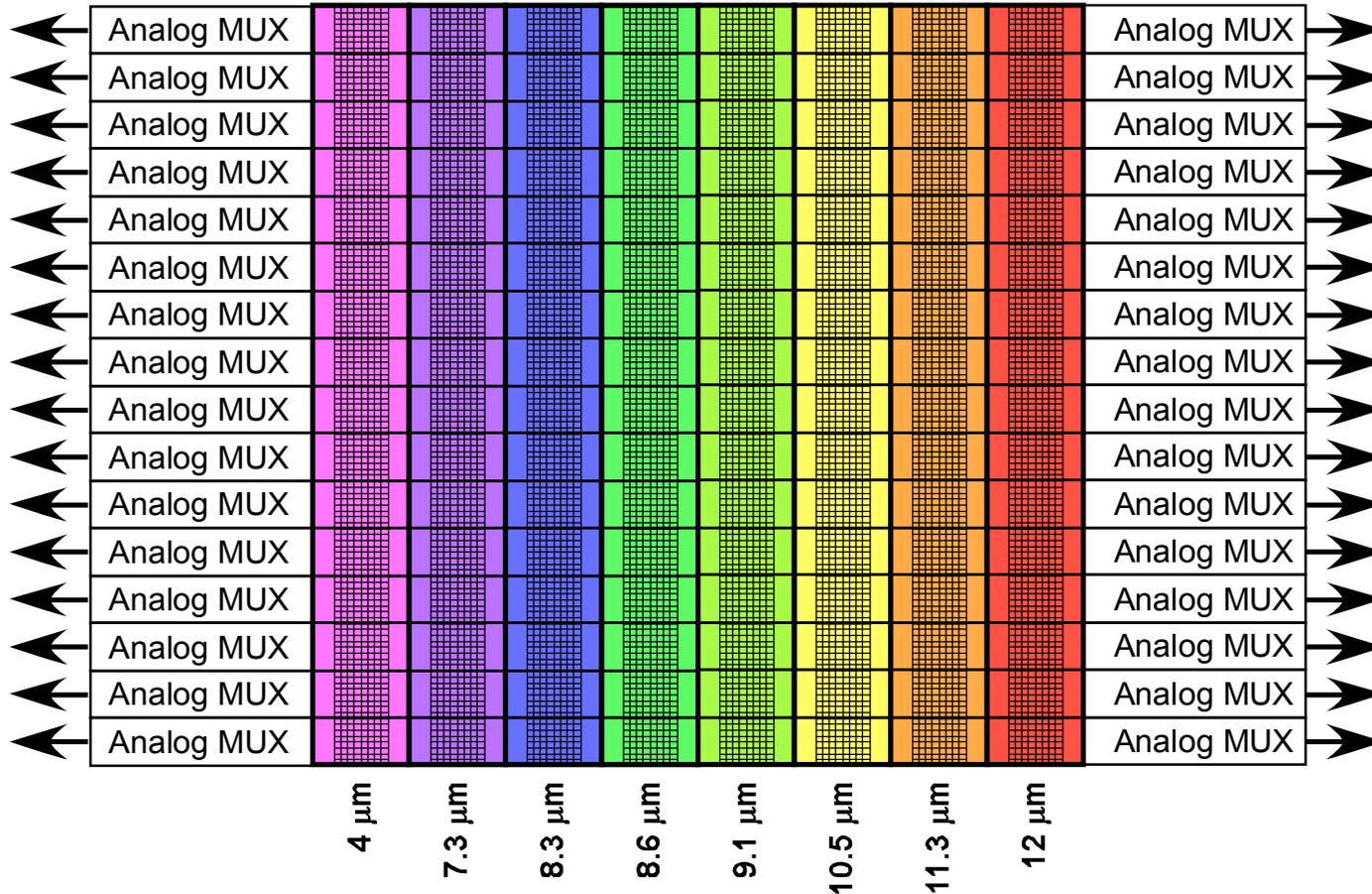


Dewar removed to show internal components



# PHyTIR Implementation

16 x 256 pixels in each spectral band.  
Only 4 x 256 pixels are read out.

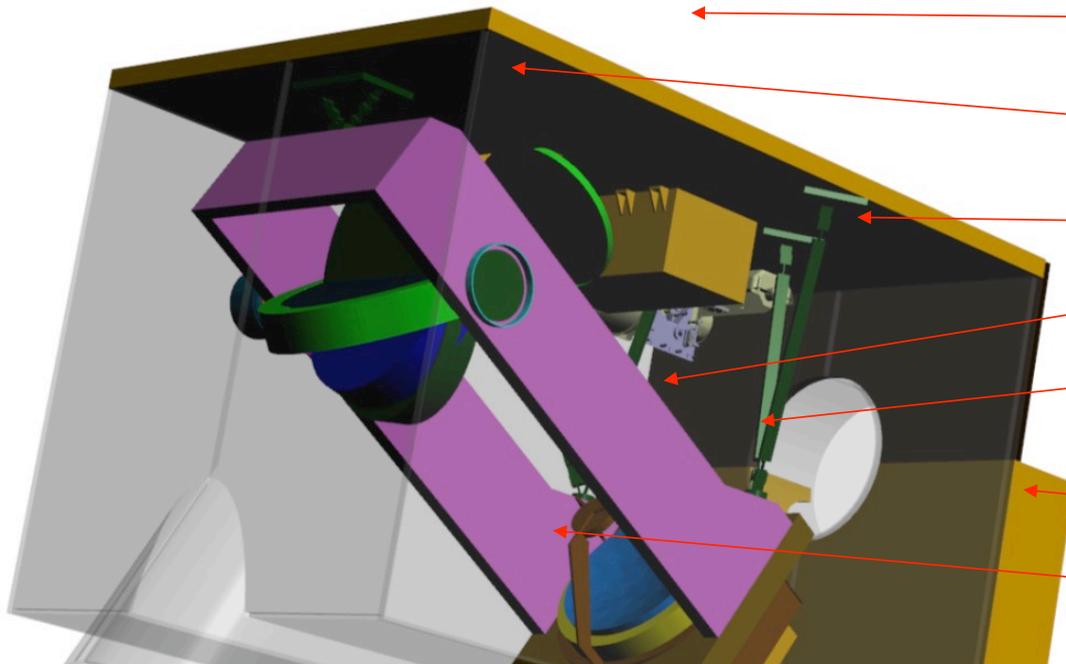


**PHyTIR will not have focal plane filters but will implement the identical ROIC design to HypIRI-TIR.**

**32 parallel output at  $\geq 10$  MHz allow 32  $\mu$ s frame times.**



# PHyTIR Current Design



Common spacecraft interface

Bi-pod struts

Kinematic yoke mounting

Onboard blackbody

Electronics

Fine and coarse  
encoding scan mirror

Space view port

Telescope

Relay optics enclosure

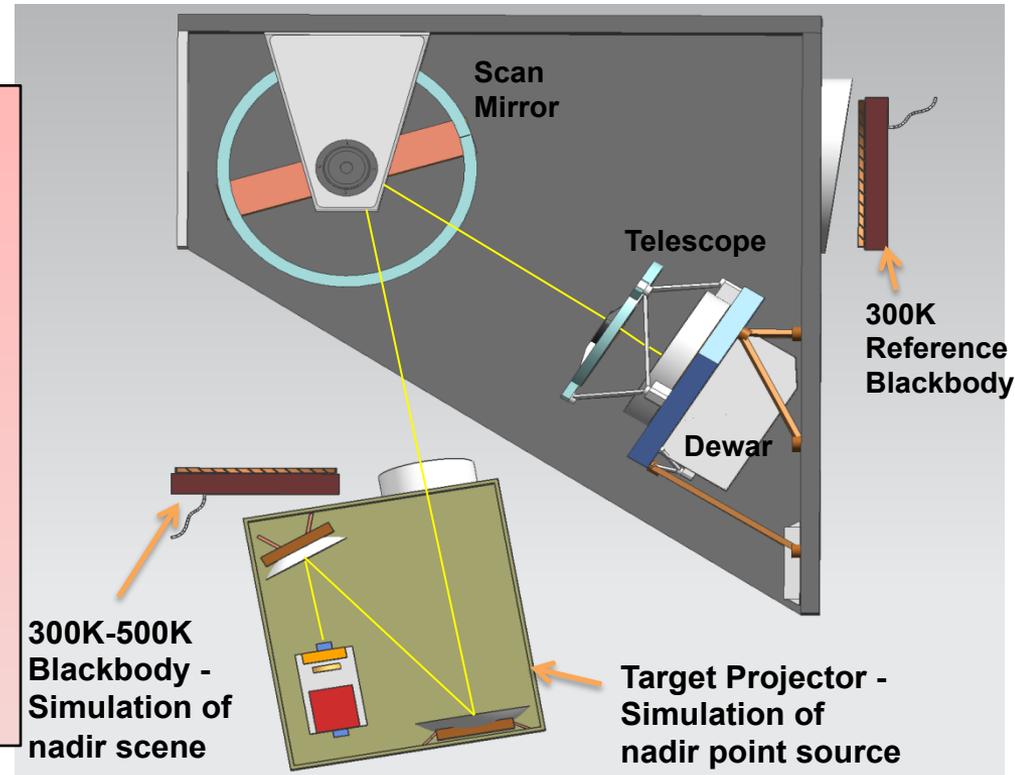
Passive radiator/cooler

Baffling



# PHyTIR Testing

- PHyTIR Prototype Consists of
  - Full HypsIRI TIR MCT detector array
  - Spectral range 4-12  $\mu\text{m}$  (TIR spectral range)
  - Scan mirror prototype with precise encoder
- Testing of PHyTIR Prototype
  - Measuring response to two blackbody targets, combined with detector noise, will provide S/N (tested at full frame rate)
  - Imaging of target-projector slit while inducing T gradients will test pointing stability
  - Increasing blackbody T will determine saturation T and high-T S/N
  - Measuring background, noise, and drift will show effects of uncooled optics





# PHyTIR Summary

The following steps are currently being undertaken to build PHyTIR:

- 1) Design and Build the Scan Mechanism
- 2) Design and Build a Scan Mirror
- 3) Integrate the Spectral Filters with Focal Plane Array and ROIC
- 4) Assemble the Dewar with external telescope, internal relay and focal plane assembly
- 5) Build the prototype Electronics
- 6) Assemble PHyTIR

Once PHyTIR is assembled it will be used to retire the four key risks as noted earlier. A key part of this effort is the final testing to prove these four key risks.

- a) Detectors and readout meet all signal-to-noise and speed specifications.**
- b) Scan mirror and structure meet pointing knowledge requirements.**
- c) Long-wavelength channels will not saturate below 480 K.**
- d) Background from ambient temperature optics does not affect instrument performance.**



# **The Hyperspectral Thermal Emission Spectrometer (HyTES)**

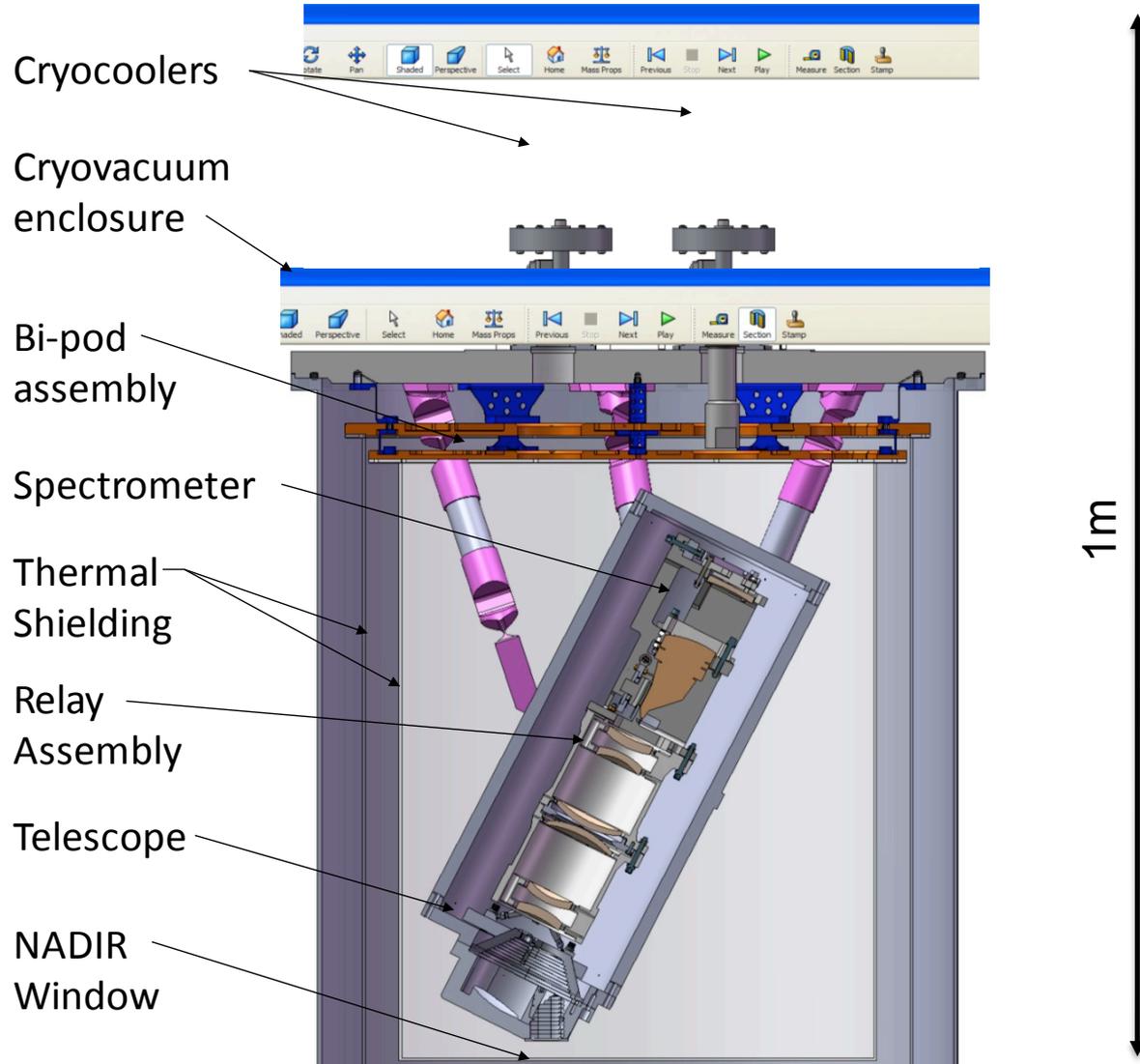


# HyTES Rational

- Determine of the optimum position for the band filters for HypsIRI
- Provide antecedent data for testing HypsIRI algorithms
- Provide a new measurement capability, with high spectral and spatial imaging data useful for a range of applications such as volcanic gas detection
- Capitalize on the Quantum Well Earth Science Testbed (QWEST) an internally funded laboratory demonstration system for component testing, e.g. Detectors (QWIP), diffraction gratings, and slits at the system level.



# HyTES Instrument Design





# HyTES & QWEST Characteristics

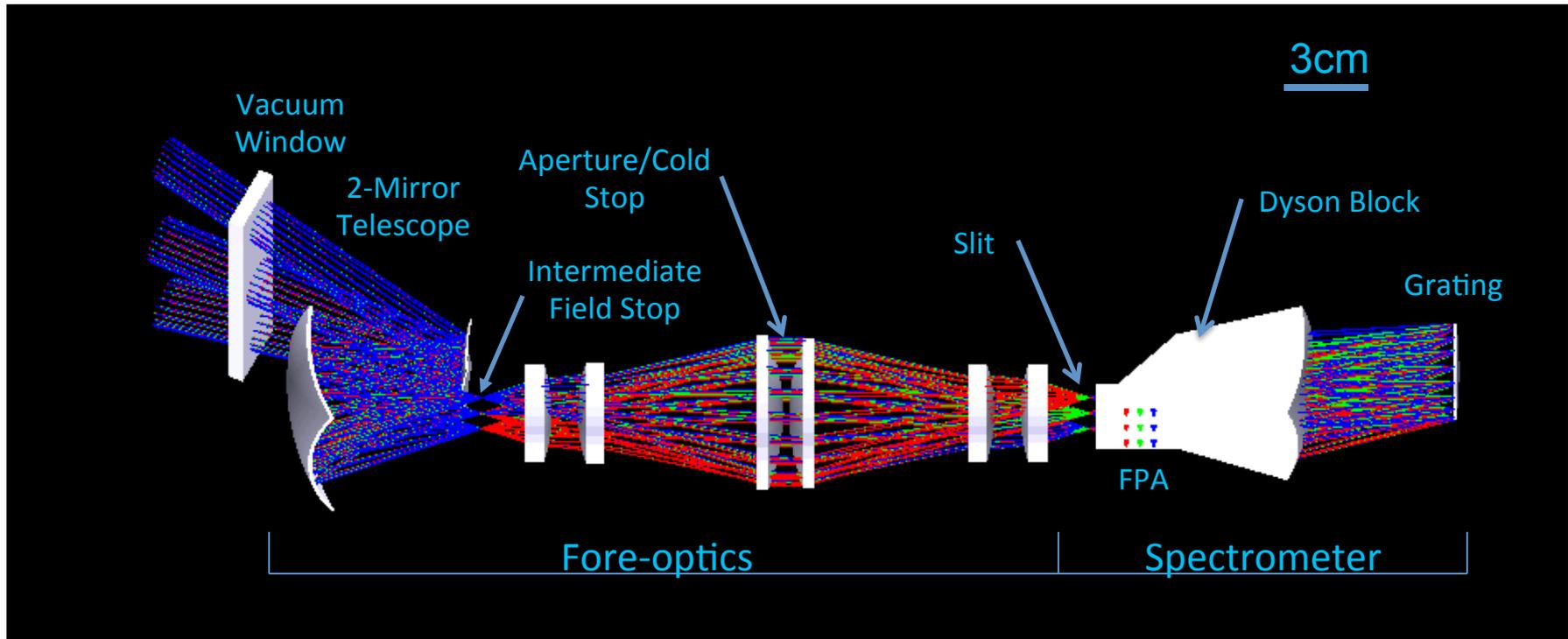
Instrument Characteristic	QWEST	HyTES
Number of pixels x track	320	512
Number of bands	256	256
Spectral Range	8-12 um	7.5-12 um
Integration time (1 scanline)	30 ms	30 ms
Total Field of View	40 degrees	50 degrees
Calibration (preflight)	Full aperture blackbody	Full aperture blackbody
QWIP Array Size	640x512	1024x512
QWIP Pitch *	25 um	19.5um
QWIP Temperature	40K	40K
Spectrometer Temperature	40K	100K
Slit Width	50 um	39 um
Pixel size at 2000 m flight altitude	4.5 m	3.64
Pixel size at 20,000 m flight altitude	45 m	36.4

Operational

Late 2011



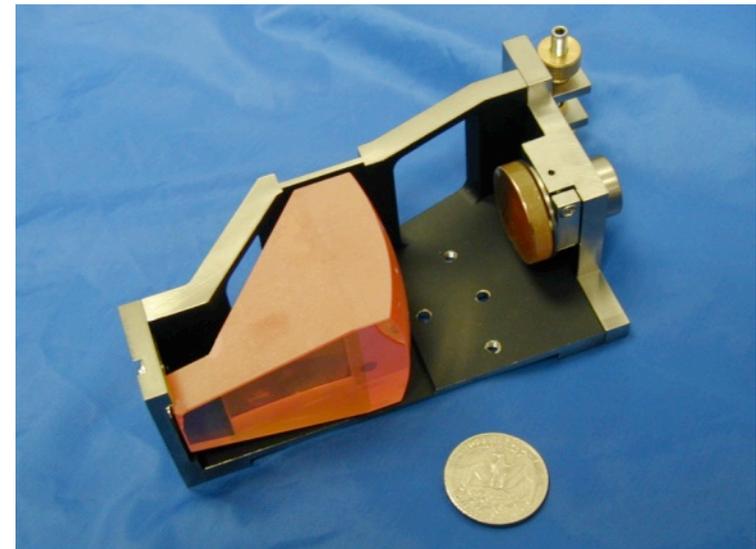
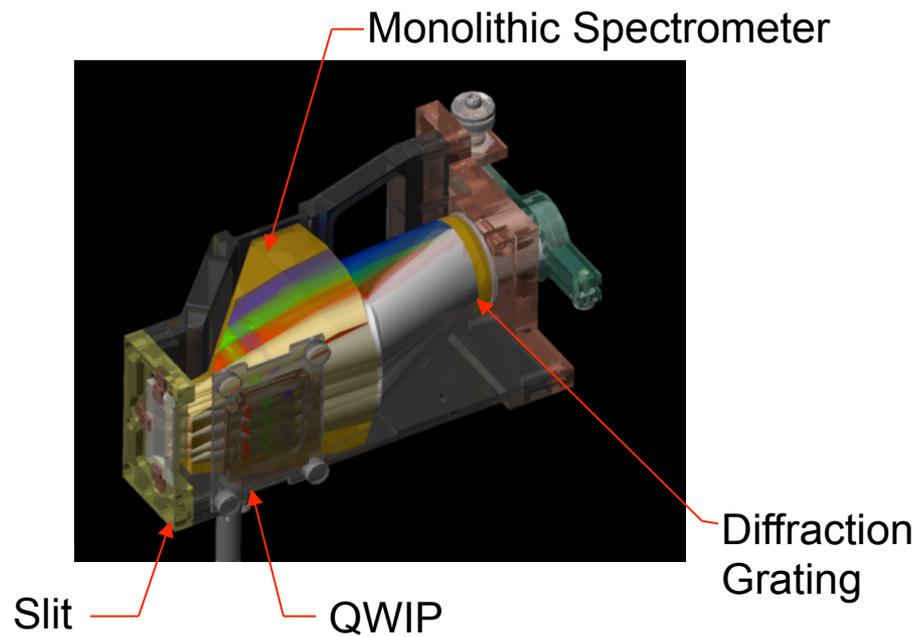
# HyTES Optics



HyTES Optical Layout  
(i.e. The entire system is cold, so there's no real "cold stop" in the traditional fashion)



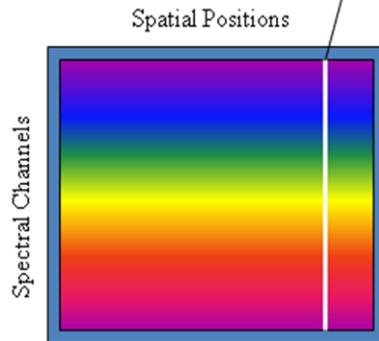
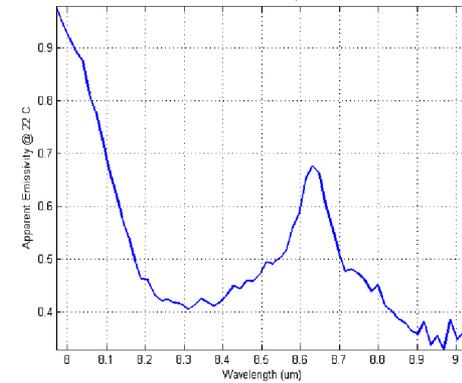
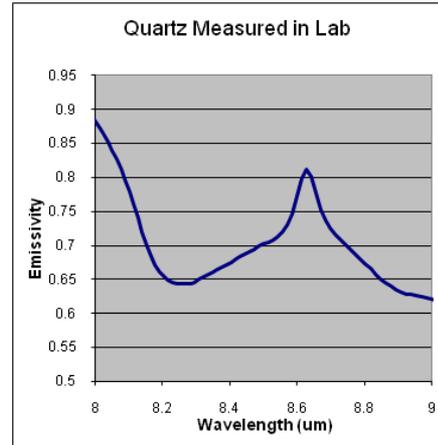
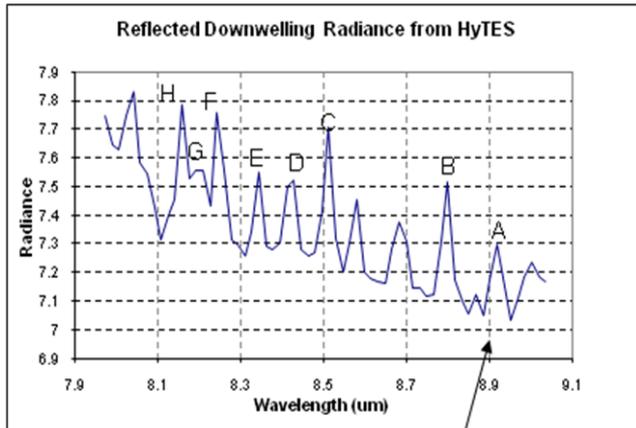
# HyTES Precursor: Technology Demo



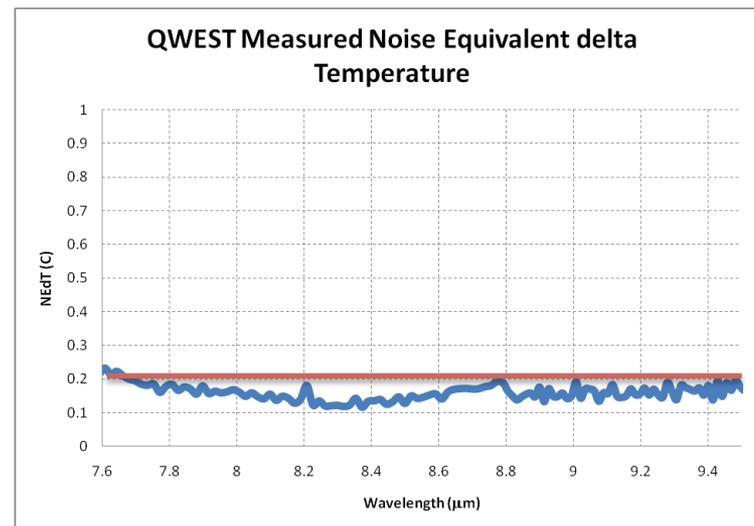
Compact Thermal Spectrometer Designs and Hardware



# HyTES Precursor: Data Train

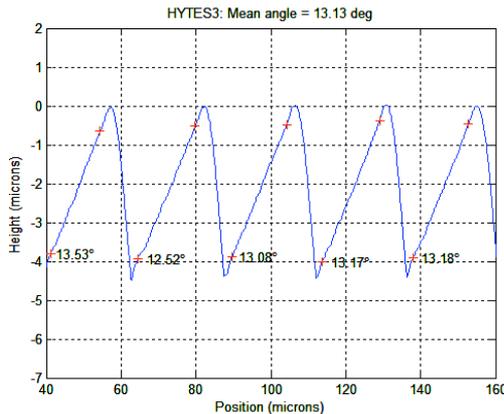
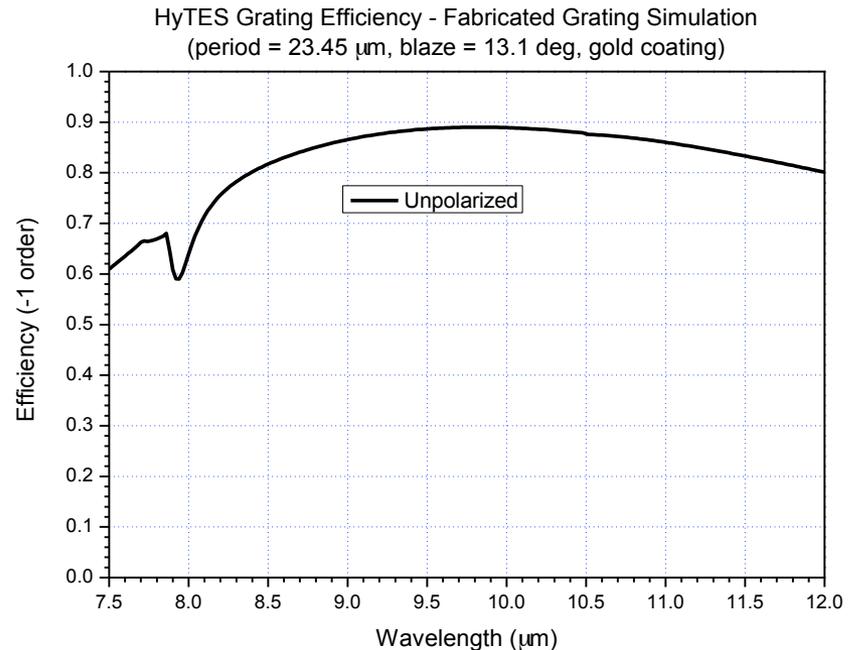


	Model	QWEST	Delta
A	8.92061	8.9179	0.00271
B	8.79894	8.7995	-0.00056
C	8.51426	8.5121	0.00216
D	8.4246	8.4276	-0.003
E	8.34725	8.3431	0.00415
F	8.25082	8.2418	0.00902
G	8.20345	8.208	-0.00455
H	8.16327	8.1573	0.00597



# HyTES Diffraction Grating

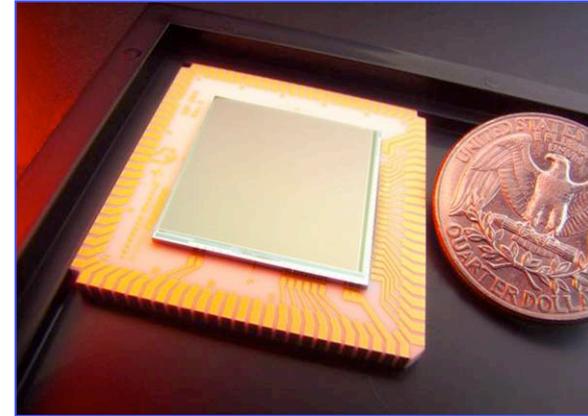
- Grating successfully fabricated (ZnSe substrate, grooves etched in PMMA resist, gold reflective coating)



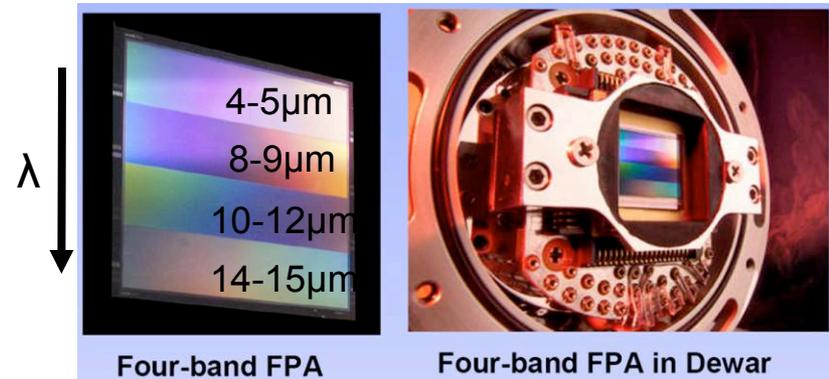
- Measured blaze angle is 9% deeper than 12° design – will decrease efficiency at 7.5  $\mu\text{m}$  and increase efficiency at 12  $\mu\text{m}$  as simulated above

# HyTES Focal Plane Array

- HyTES will use a 1024x1024 pixel array covering the 7.5-12 $\mu$ m spectral band (typical array package shown at top right)
- JPL has fabricated and delivered similar large area and multi-band (dual color, three color and four color) arrays in the past
- This is not a commercially available technology, and only JPL delivers large format, high performance multi-band QWIP arrays
- The detector array has the following requirements:
  - 1kx1k format, SBF 184 ROIC
  - Operating temperature 40K
  - Performance such that in each of the 2x2 superpixels in the 7.5-12 $\mu$ m band the NEDT is less than 200 mK



1024x1024 pixel single-band QWIP FPA



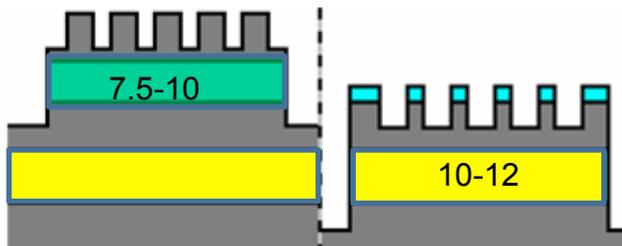
Four-band FPA

Four-band FPA in Dewar

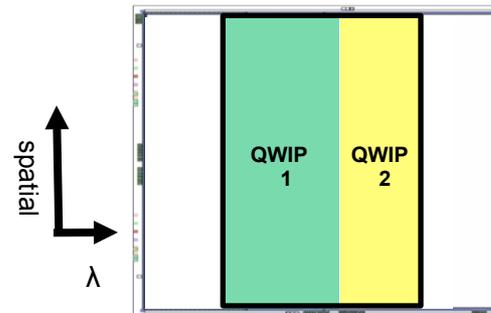


# HyTES Focal Plane Array

- A cross section of the two-band quantum well infrared photodetector (QWIP) used as the detector material is shown below
- This is similar to the previous three-band design but much simpler to process
- Roughly half of the illuminated area of the array will respond in the 7.5-10 $\mu\text{m}$  range, and the other half will cover the 10-12  $\mu\text{m}$  range
- Different fabrication approaches on each half of the array are required to achieve the 7.5-12 $\mu\text{m}$  operation



HyTES pixel design – cross-sectional zoom at the transition point, showing two bands with  $\frac{1}{4}$  lambda gratings on each



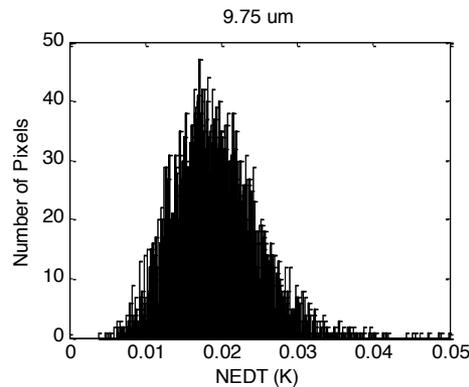
Top-down view of FPA  
Illuminated area shown in green and yellow



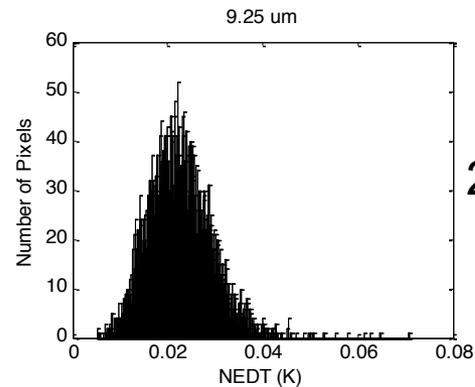
# HyTES Focal Plane Array

Measured noise equivalent delta temperature (NE $\Delta$ T) for existing focal plane array. Single pixel data.

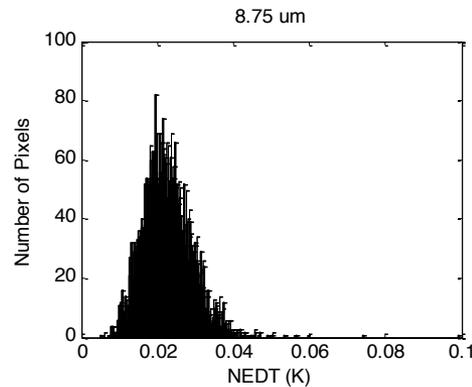
19.2 mK



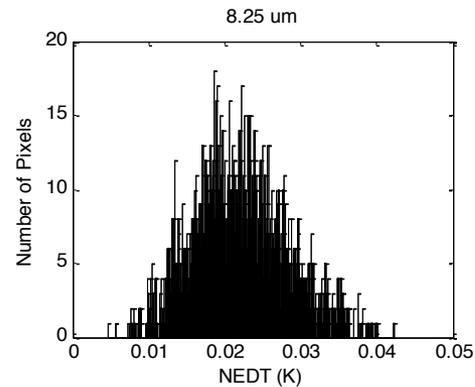
22.5 mK



22.7 mK

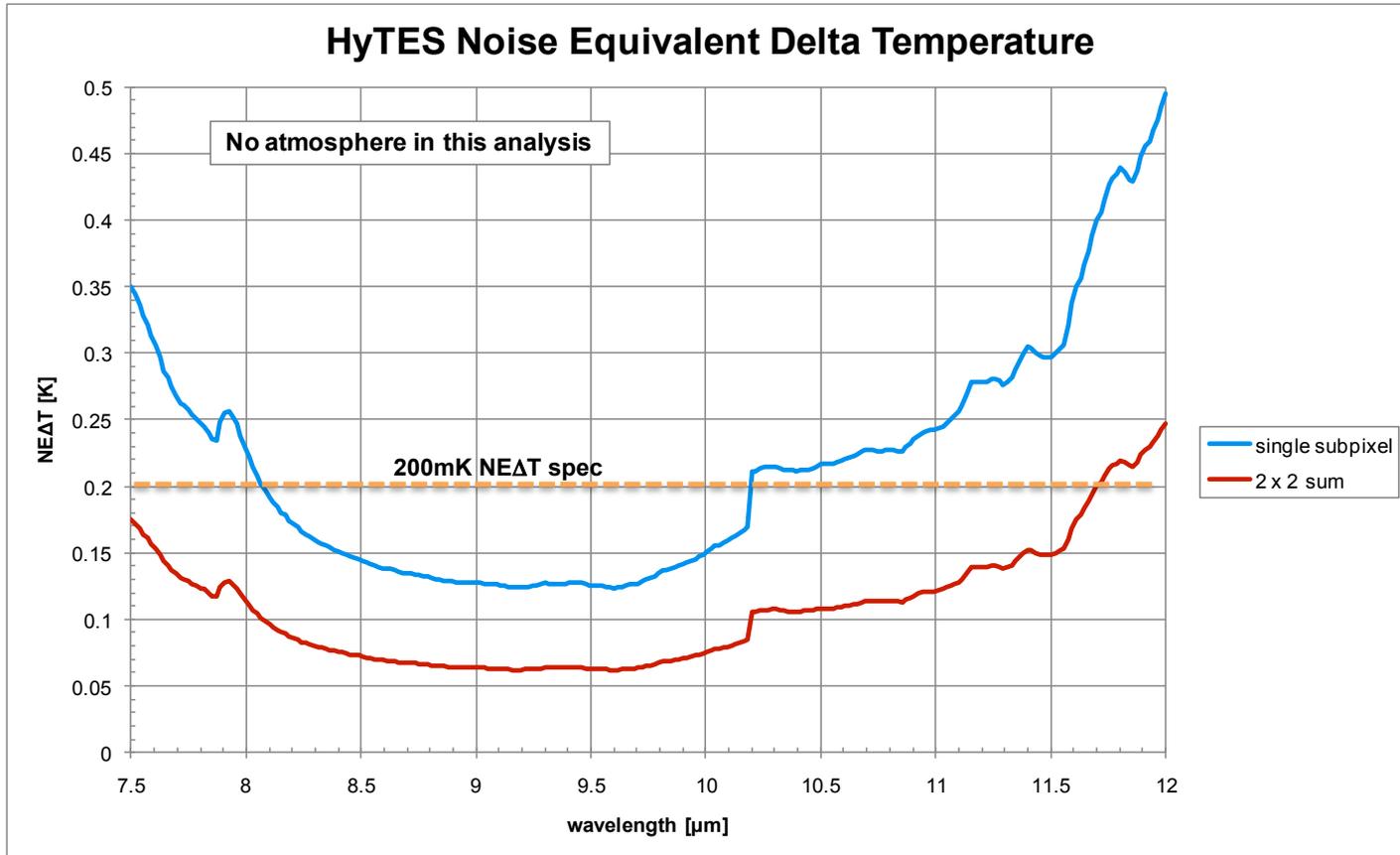


22.0 mK





# HyTES Sensor Modeling

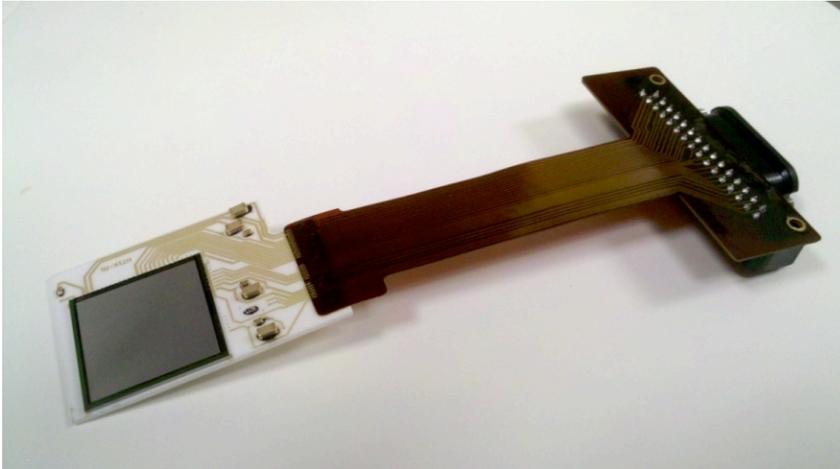


- The final design exhibits a smoother transition between bands than the original three-color version and meets spec (2x2 pixel summation  $NE\Delta T < 200\text{mK}$ ) in nearly all of the 7.5-12 $\mu\text{m}$  band



# HyTES System

Focal Plane Electronics



Airborne Cryovacuum Enclosure



Spectrometer housing and Relay Assembly



Various delivered hardware. The current effort is focused on integration and testing.



# HyTES Summary

- HyTES will allow the determination of the optimum position for the band filters for HypsIRI
- HyTES will provide antecedent data for testing HypsIRI algorithms
- HyTES will provide a new measurement capability, with high spectral and spatial imaging data useful for a range of applications such as volcanic gas detection
- HyTES instrument laboratory testing will begin in the next few weeks
- HyTES airborne flights are planned for mid 2011



# Overall Summary

- Exciting technology development and risk reduction underway for the HypsIRI-TIR program
- PHyTIR provides instrument risk reduction for key components of HypsIRI-TIR in particular the scan mirror and detectors
- HyTES provides science risk reduction for HypsIRI-TIR by allowing the optimum band positions to be determined as well as providing antecedent data at higher spectral and spatial resolution for algorithm development



# Backup



# PHyTIR Summary

This activity will benefit the development of any airborne or spaceborne system that will utilize a high speed scanning mirror coupled with a MCT detector array to obtain a wide swath width, high spatial resolution, thermal infrared measurement with an NE $\Delta$ T of approximately 0.2K.

Similar systems have been used in the Moderate Resolution Imaging Spectroradiometer (MODIS), Visible Infrared Imaging Radiometer Suite (VIIRS), Advanced Spaceborne Thermal Emission Radiometer (ASTER) and Landsat (TM5/ETM+) instruments (Barnes et. al. 1998; Mitchel 2008; Ohmae and Kitamura, 1994; Barsi et al. 2003).

However, none of these existing systems has sufficient performance to meet the measurement requirements of the HypsIRI-TIR instrument. PHyTIR will demonstrate that HypsIRI-TIR required high accuracy measurements can be made and help enable both the HypsIRI-TIR instrument as well as other future instruments built by Governments or Commercial Companies that utilize similar technology.



# HyTES Diffraction Grating

HyTES Grating Efficiency - Design Simulation  
(period = 23.462  $\mu\text{m}$ , blaze = 12 deg, gold coating)

